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The Mid-Infrared Instrument for the *James Webb Space Telescope*, I: Introduction

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ABSTRACT. MIRI (the Mid-Infrared Instrument for the *James Webb Space Telescope* [*JWST*]) operates from 5 to 28.5 μm and combines over this range: (1) unprecedented sensitivity levels; (2) subarcsecond angular resolution; (3) freedom from atmospheric interference; (4) the inherent stability of observing in space; and (5) a suite of versatile capabilities including imaging, low- and medium-resolution spectroscopy (with an integral field unit), and coronagraphy. We illustrate the potential uses of this unique combination of capabilities with various science examples: (1) imaging exoplanets; (2) transit and eclipse spectroscopy of exoplanets; (3) probing the first stages of star and planet formation, including identifying bioactive molecules; (4) determining star formation rates and mass growth as galaxies are assembled; and (5) characterizing the youngest massive galaxies.

Online material: color figures

1. INTRODUCTION

The growth in capabilities for infrared astronomy since the 1960s (Low et al. 2007) has been spectacular. What is little appreciated is that the gains are not evenly distributed over even the wavelength range accessible from the ground. In the near infrared, there has been a gain of about 10,000 in detection limits and instruments have gone from single detectors to mosaics of arrays totaling nearly 100 million pixels (Caldwell et al. 2004; Dalton et al. 2006). By comparison, the sensitivity gains for mid-IR photometry from the 1970s are more like a factor of 30 (mostly due to the growth in telescope size) and until recently the largest arrays in use have no more than 100 thousand pixels (Kataza et al. 2000; Lagage et al. 2000);¹⁹ i.e., 1000 times fewer than the most ambitious instruments in the NIR. Some major telescopes (e.g., Gemini, Keck) do not even offer general-purpose mid-IR instruments, i.e., wide field imagers and moderate-resolution spectrometers.

The reason for this disparity is that the huge thermal background from the atmosphere and ground-based telescopes both blinds mid-infrared instruments and also overwhelms large-format detector arrays. Consequently, the mid-infrared range has been strongly dependent on cooled telescopes in space such as *IRAS* (the *Infrared Astronomical Satellite*), *ISO* (the *Infrared Space Observatory*), *Spitzer*, *Akari*, and *WISE* (the *Wide-Field Infrared Survey Explorer*). The elimination of thermal emission by the atmosphere and telescope for these missions resulted in huge gains in sensitivity. However, launching these telescopes into space imposed another limitation: they all had small

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¹⁹ VISIR has recently been upgraded to a million pixels.

apertures of only 40–85 cm, and therefore provided limited angular resolution. In fact, for some of the mid-infrared bands on *Spitzer*, the ultimate sensitivity limit in deep imaging was set by confusion noise because of the limited angular resolution.

A simple comparison indicates the advance afforded by the James Webb Space Telescope (*JWST*). Compared with 8-m ground-based telescopes (e.g., COMICS [the Cooled Mid-IR Camera and Spectrometer] on Subaru, CanariCam on the Gran Telescopio Canarias, VISIR [the VLT Imager and Spectrometer for the mid-IR] on the VLT [Very Large Telescope]), the angular resolution is similar but with a potential gain in imaging sensitivity by a factor of about 3000 and for moderate-resolution ($R = \lambda/\Delta\lambda \sim 3000$) spectroscopy a factor of about 1000 (see Glasse et al. [2015] [Paper IX] for more information about the MIRI sensitivity). Compared with *Spitzer*, the potential gain in the mid-IR where *JWST* is natural background limited (roughly 5–12 μm) is about a factor of 50 (see <http://www.stsci.edu/JWST/science/sensitivity> for more comparisons). The factor of seven improvement over that mission in the diffraction limit with *JWST* (e.g., from an image full width at half maximum of 5".8 at 24 μm with *Spitzer* to 0".7 at 21 μm with *JWST*) is equivalent to going from a 330 Kpixel to a 16 Mpixel digital camera. That is, for the first time in the mid-IR, MIRI on *JWST* will combine: (1) the incredible sensitivity levels just mentioned; (2) subarcsecond angular resolution; (3) freedom from atmospheric interference; (4) the inherent stability of observing in space; and (5) a suite of versatile capabilities including imaging, low- and moderate-resolution spectroscopy (with an integral field unit), and coronagraphy.

2. MIRI AND THE JWST

“HST and Beyond” (Dressler et al. 1996) provided the initial momentum for a large, infrared-optimized space telescope that has led to *JWST*.²⁰ The report described the science opportunities for wavelengths between 1 and 5 μm , strongly emphasizing the potential for extremely high redshift galaxy studies. Out of this concept came the “First Light Machine” theme. The telescope was then envisioned as an ambitious but relatively inexpensive mission for which a focused science program was appropriate. The core instruments were aligned with the “HST and Beyond” program; they consisted of an imager and a spectrometer both operating out to 5 μm . However, this report added “Extension of this telescope’s wavelength range shortward to about 0.5 μm and longward to at least 20 μm would greatly increase its versatility and productivity. The Committee strongly recommends this course...”

The astronomy community understood the huge advances possible at the longer wavelengths, and almost immediately plans were explored for a Mid-Infrared Instrument (MIRI), building

on the scientific momentum gained with the ISO results and the technical advances in preparation for *Spitzer*. The project decided that this instrument would require a 50/50 partnership between Europe and the US. Following separate competitive processes, the MIRI team was selected on both sides of the Atlantic. Initially, a cryostat to cool the instrument was to be provided by the European Space Agency (ESA), but this component was shifted to the US as part of the negotiations for ESA to supply the *JWST* launch vehicle. Eventually to save weight, a closed cycle cooler was adopted in place of the cryostat.

The MIRI optical system was built by the European Consortium of national efforts, from Belgium, Denmark, France, Germany, Ireland, the Netherlands, Spain, Sweden, Switzerland, and the United Kingdom, led by Gillian Wright, the European Principal Investigator, and Alistair Glasse, Instrument Scientist. EADS-Astrium provided the project office and management, and the full instrument test was conducted at Rutherford Appleton Laboratory. The Jet Propulsion Laboratory (JPL) provided the core instrument flight software, the detector system, including infrared detector arrays obtained from Raytheon Vision Systems, collaborated with Northrop Grumman Aerospace Systems on the cooler development and test, and managed the US effort. The JPL Instrument Scientist is Michael Ressler and the MIRI Science Team Lead is George Rieke. The fully tested instrument was delivered to Goddard Space Flight Center at the end of 2012 May, where it is being integrated with the other components of *JWST*. The Space Telescope Science Institute (STScI) is the *JWST* operations center and is developing software for the MIRI operations, user interaction, data reduction, and archive. However, the success in building MIRI and the work to integrate it with the rest of *JWST*, to devise plans for its use, and to support that use with data pipelines and analysis tools depends on the efforts of many MIRI team members in both Europe and the US. Some of these people appear as coauthors of the following papers, but there are many more.

The MIRI design was driven by the need to provide a full suite of capabilities in a single instrument for wavelengths beyond 5 μm . It therefore combines imaging, coronagraphy, low-resolution spectroscopy, and medium-resolution, integral-field-unit spectroscopy. Tables 1–3 and Figure 1 provide a high-level summary of the instrument capabilities, based on current estimates (which remain uncertain in some areas, such as the level of emission from the observatory into the long wavelength MIRI bands). This information is provided here for convenience, but a more detailed summary is provided by Glasse et al. (2015) (Paper IX). The instrument images will be diffraction limited at all wavelengths, so their full width at half maxima is given by the expression $0.035 \times \lambda(\mu\text{m})''$. Additional information about MIRI can be found at <http://ircamera.as.arizona.edu/MIRI> and <http://www.stsci.edu/JWST/instruments/miri/>. Up-to-date estimates of the instrument sensitivity and other performance parameters should be obtained from these websites or from the relevant observing planning tools at STScI. We describe a few

²⁰ This concept was then termed the Next Generation Space Telescope (NGST).

TABLE 1
MIRI MEASUREMENT CAPABILITIES

Name	FOV	Wavelength range (μm)	Spectral properties	Reference (this volume)
Diffraction-limited imaging	$74'' \times 113''$	5.6–25.5	9 bands	Paper III
Low-resolution spectroscopy	$0''.51 \times 4''.7$ slit	5–12	$\lambda/\Delta\lambda \sim 100$	Paper IV
Slitless spectroscopy	$7''.9$ wide	5–12	$\lambda/\Delta\lambda \sim 100$	Papers IV and VIII
Phase mask coronagraphy	$24'' \times 24''$	10.65–15.5	3 bands	Paper V
Lyot coronagraphy	$30'' \times 30''$	23	1 band	Paper V
Medium-resolution spectroscopy	$3''.44 \times 3''.64$ IFU ^a	4.9–28.8	$\lambda/\Delta\lambda \sim 1500$ –3500	Paper VI

^a Four integral field units (IFUs); the listed field is common to all, while the longer wavelength units have larger fields up to $7'' \times 7''$.

of the science programs enabled by these capabilities in the following section.

3. SAMPLE SCIENCE PROGRAMS

The overall science capabilities of *JWST* are presented in Gardner et al. (2006). Rather than trying to condense that discussion, here we describe a small number of programs to highlight the impact of the unique capabilities provided by MIRI and in some cases to introduce new suggestions from the astronomical community for *JWST* programs.

3.1. Exoplanet Imaging

The discovery and study of exoplanets are the greatest astronomy initiative of the past decade. However, virtually all known exoplanets have been found indirectly—through their effect on the radial velocities of their stars, or by transit observations. Imaging of exoplanets is the next frontier; from the current successes (e.g., Kalas et al. [2008]; Marois et al. [2008]; Lagrange et al. [2010]; Bailey et al. [2014]), it promises to be

rich with discoveries. Exploring it thoroughly will reveal the regions of planetary systems beyond 10 AU and return information needed to probe the origins and physical nature of the gas- and ice-giant planets that form there. The strength of the *JWST* instruments in general and MIRI in particular is their ability to image very faint planets, probing down to masses of order 0.1 – $0.2 M_{\text{Jup}}$.

Possible methods for direct imaging of exoplanets have been evaluated by Beichman et al. (2010). The MIRI coronagraph is optimized for such studies, since its four quadrant phase masks (4QPMs) allow information to be obtained to within $\sim\lambda/D$ of the star. The large telescope aperture places this limit at about 5 AU at 10 pc for the coronagraph channels at 11–16 μm , and the sensitivity allows detection of low-mass planets (see Fig. 2). MIRI detects the thermal radiation (rather than reflected light) and hence is most sensitive to young exoplanets. Figure 2 summarizes the results of the Beichman et al. (2010) study; it projects that many planets are most detectable with MIRI among the *JWST* instruments. For the 25 most favorable cases, the study predicted that MIRI would have a high success rate in

TABLE 2
IMAGER AND CORONAGRAPH PROPERTIES

Name	FOV ^a (arcseconds)	λ_0 (μm)	$\lambda/\Delta\lambda$	10- σ in 10,000 s	λ/D (arcseconds)
F560W	74×113	5.6	5	$0.16 \mu\text{Jy}$	0.19
F770W	74×113	7.7	3.5	$0.25 \mu\text{Jy}$	0.26
F1000W	74×113	10	5	$0.56 \mu\text{Jy}$	0.34
F1130W	74×113	11.3	16	$1.35 \mu\text{Jy}$	0.39
F1280W	74×113	12.8	5	$0.84 \mu\text{Jy}$	0.44
F1500W	74×113	15	5	$1.39 \mu\text{Jy}$	0.52
F1800W	74×113	18	6	$3.46 \mu\text{Jy}$	0.62
F2100W	74×113	21	4	$7.09 \mu\text{Jy}$	0.72
F2550W	74×113	25.5	6	$26.2 \mu\text{Jy}$	0.88
F1065C ^b	24×24	10.65	20	0.37	0.37
F1140C ^b	24×24	11.40	20	0.39	0.39
F1550C ^b	24×24	15.5	20	0.53	0.53
F2300C ^c	30×30	23	5	0.79	0.79

^a All imager functions have $0''.11$ projected pixels.

^b Four quadrant phase mask coronagraph.

^c Lyot coronagraph.

TABLE 3
SPECTROMETER PROPERTIES

Name	FOV, slice width, pixel size (arcseconds)	Sub- band	λ range (μm)	$\lambda/\Delta\lambda$
LRS	0.51×4.7 –, 0.11		5 – ~ 12	$\sim 100^a$
MRS Channel 1	3.0×3.9 0.176, 0.196	A B C	4.87–5.82 5.62–6.73 6.49–7.76	2450–3710
MRS Channel 2	3.5×4.4 0.277, 0.196	A B C	7.45–8.90 8.61–10.28 9.94–11.87	2480–3690
MRS Channel 3	5.2×6.2 0.387, 0.245	A B C	11.47–13.67 13.25–15.80 15.30–18.24	2510–3730
MRS Channel 4	6.7×7.7 0.645, 0.273	A B C	17.54–21.10 20.44–24.72 23.84–28.82	2070–2490

^a At 7.5 μm . The detection limit at this wavelength is estimated to be 3 μJy , 10- σ , 10,000 s.

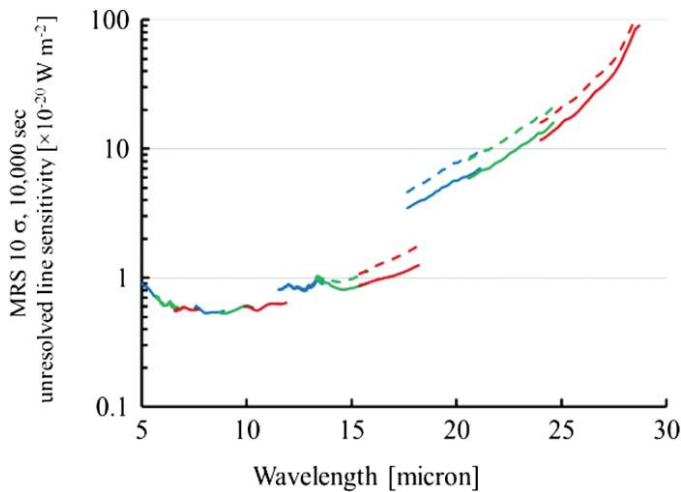


FIG. 1.—Estimated detection limits ($10\text{-}\sigma$ in 10,000 s of integration) for an unresolved spectral line from a point source, with the medium-resolution spectrometer (MRS). The *solid* and *dashed* lines show estimates for two different possible levels of observatory emission as discussed in Paper IX. See the electronic edition of the *PASP* for a color version of this figure.

finding any planets with average masses of $1\text{--}2 M_{\text{Jup}}$ at average separations of 60 AU. More importantly, *JWST* will achieve detections with multiple instruments, and these cases are the ones where the additional information will allow testing theories of exoplanet evolution.

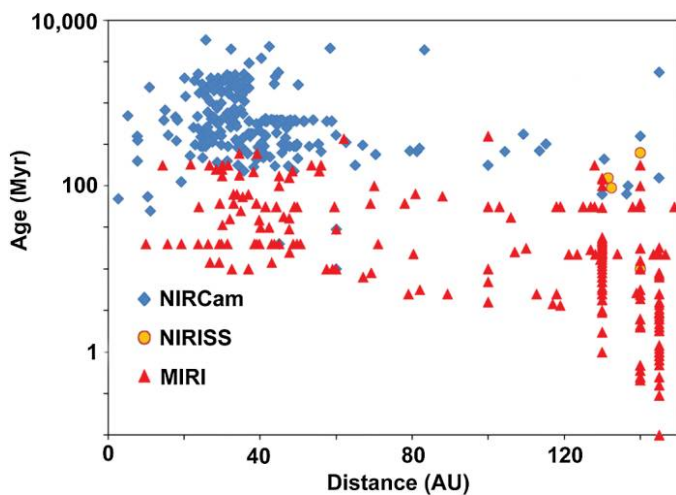


FIG. 2.—Detectability of young planets as a function of age and distance from their stars, based on a Monte Carlo analysis of high-contrast (coronagraphic) *JWST* imaging. The investigation was centered on the stars most likely to have detectable planets (e.g., nearby, and young or of very low mass) and planet fluxes were predicted from the CONDO3/DUSTY models (Baraffe et al. 2003). The symbols are coded according to the instrument with the highest likelihood of achieving a detection; MIRI is the instrument of choice for planets younger than 200 Myr, NIRCcam in most cases for older planets, and the Near-IR Imager and Slitless Spectrograph (NIRISS) for those closest to their stars. More importantly, many of the simulated planets would be detected in multiple ways, allowing derivation of many of their intrinsic properties (Beichman et al. 2010). See the electronic edition of the *PASP* for a color version of this figure.

3.2. Transit and Eclipse Spectroscopy of Exoplanets

The stability of observations from space has allowed *HST* and *Spitzer* to make a series of remarkable observations of transits and secondary eclipses by exoplanets during the past decade, initiating the study of their physical properties such as energy balance and atmospheric composition and circulation. The expansion of this field of study with *JWST* is described in Deming et al. (2009) and Fortney et al. (2013). From the former paper, we take one example that builds on the unprecedented sensitivity of MIRI and the stability of the space environment.

Figure 3 shows the spectrum of a model atmosphere of a super-Earth with deep absorption around $15 \mu\text{m}$ due to CO_2 . The ratio of the signals in the two indicated filters is a sensitive measure of the depth of the $15 \mu\text{m}$ absorption and hence of the CO_2 content of the atmosphere of the planet; observations of secondary eclipses with the two filters would allow a sensitive search for CO_2 absorption. The scheduled launch of the *Transiting Exoplanet Survey Satellite (TESS)* in 2017 will provide optimal targets for transit and eclipse observations over the *JWST* mission. Figure 4 shows that a number of the anticipated super-Earths might be searched for CO_2 with a high priority program (the signal-to-noise ratios are calculated assuming all eclipses available during the *JWST* mission are observed).

In addition, the figure demonstrates that high signal-to-noise ratios could be achieved on eclipses of many Neptune-sized

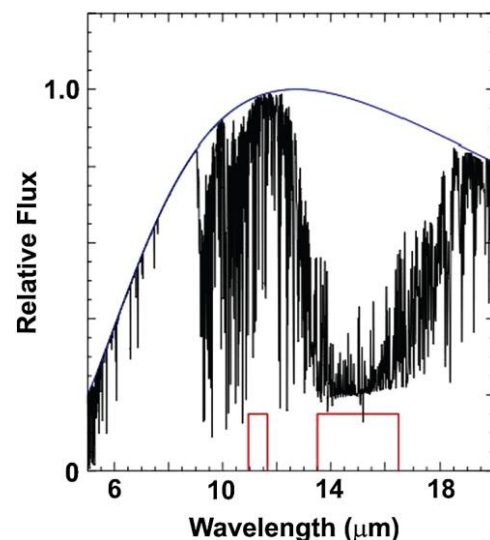


FIG. 3.—Simulated spectrum of a super-Earth and two MIRI photometric bands. The spectral continuum is in blue and the effects of absorption features in black. The MIRI imager spectral bands at 11.3 and $15 \mu\text{m}$ are shown in red along the x -axis (Deming et al. 2009). The depth of the CO_2 absorption indicates whether the planetary atmosphere is hydrogen poor (shown here) or hydrogen rich (in which case there is virtually no absorption) (Miller-Ricci et al. 2009). It therefore allows a critical test of whether the planet is habitable in any conventional sense. Fig. 4 shows the number of systems that could be measured in this way (Deming et al. 2009). See the electronic edition of the *PASP* for a color version of this figure.

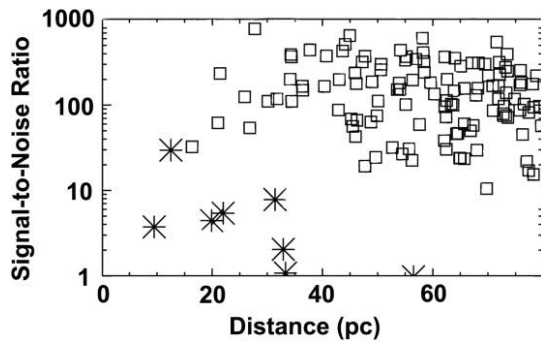


FIG. 4.—Signal-to-noise ratios for simulated TESS planets, hypothesized to be measured by MIRI eclipse photometry at $15\ \mu\text{m}$. The *star symbols* represent super-Earths in the habitable zones of their stars and apply to detection of CO_2 as in Fig. 3, with signal-to-noise ratios assuming all available transits are measured with *JWST*. The *open squares* are planets with radii between 3 and 5 Earth radii (i.e., Neptunes), and the signal-to-noise ratio is for continuum radiation at $15\ \mu\text{m}$ (Deming et al. 2009).

planets, opening the possibility of obtaining spectra of them. Taking the example of GJ 436b (a hot Neptune measured in transit with *Spitzer* photometry [Stevenson et al. 2010]), ten transits would yield a LRS spectrum with a signal-to-noise ratio of about 20 and a resolution of 100. Such a spectrum would allow detailed studies of the atmospheric composition, including molecular features such as those from H_2O , NH_3 , and CH_4 . Such species dominate the molecular atmospheric opacity where giant planets have significant levels of thermal emission and hence are important in understanding their energy balance and emission. In general, the targets suitable for MIRI will also yield spectra with the *JWST* near infrared spectrometer (NIRSpec) that are sensitive to water and the CO_2 band at $4.3\ \mu\text{m}$ (Deming et al. 2009). Monitoring planets around their orbits with these instruments will probe energy transport by their atmospheres as different regions of the planet are exposed and potentially, if the planet is on an elliptical orbit, as it experiences differing levels of heating. Thus, MIRI will enable detailed characterization of super-Earths and larger planets.

3.3. Early Stages of Star and Planet Formation

The embedded phase is a critical period in the evolution of a young star, when its final mass and the characteristics of any protoplanetary disk are determined. Many physical processes occur simultaneously in the first few $\times 10^5$ yr: infall in the collapsing envelope, formation of the disk, outflows sweeping up and shocking the material, and UV photons heating and dissociating the gas, thereby affecting the next generation of protostars. Large scale surveys of Galactic star-forming clouds with *Herschel* and *Spitzer* have advanced our understanding enormously (Dunham et al. 2014), but studies of individual sources have been hampered by poor spatial and spectral resolution and sensitivity. MIRI's integral field unit (IFU) spatial resolution down to $0''.2$ and field of view (FOV) of $3''.5\text{--}7''$ are well matched

to the sizes of the young disks (~ 100 AU) and envelopes (~ 2000 AU).

Figure 5 shows the *Spitzer*-IRS spectrum of a deeply embedded low-mass protostar (b1c) and a less-obscured object for comparison (HH 46). The mid-infrared continuum is generated by warm dust close to the protostar in the inner envelope or young disk. Silicate grain cores and ices in the cold surrounding envelope produce deep absorption features. There are a few windows ($5\text{--}8\ \mu\text{m}$, near $14\ \mu\text{m}$, and beyond $20\ \mu\text{m}$) for looking deep into protostellar cores to characterize the physical structure of the warm component (Cernicharo et al. 2000). These interstellar windows give access to a rich set of spectral emission features that can trace chemical processes in the youngest protoplanetary disks, including astrobiologically important substances such as water and organic molecules. Ironically, much of the spectral range in these windows is blocked by the terrestrial atmosphere. MIRI will be able to probe the earliest, most deeply embedded stages of star formation, from very low-luminosity objects ($<0.1 L_\odot$, proto brown dwarfs) to the highest luminosity ($>10^5 L_\odot$), highly obscured protostellar sources.

Figure 6 illustrates the richness of the molecular spectrum in a more evolved protoplanetary disk around a T Tauri star for which the envelope has dissipated (similar spectral richness is expected for embedded protostars). The high spectral resolution provided by MIRI will allow detailed study of the volatiles that dominate the condensable mass and it will support increased understanding of processes near snow lines during the period when volatile-rich planetesimals form in gas-rich protoplanetary disks. The pioneering spectroscopy of these regions with *Spitzer* (Carr & Najita 2008; Pontoppidan et al. 2010) has posed many questions that MIRI and *JWST* with their combination of high sensitivity and spectral resolution can answer: What are the abundances, temperatures, and distributions of the disk molecules, and how do they differ between substellar and higher mass young stars? Are the gas and dust distributions decoupled? The answers will give us a new perspective on the physical and chemical conditions in the giant-planet forming zones of disks.

In general, *JWST*/MIRI is unique for many studies of the environments where stars and planets form. The fundamental vibrational transitions of many organic molecules are in the mid-IR, e.g., CH_4 ($7.7\ \mu\text{m}$), C_2H_2 ($13.7\ \mu\text{m}$), HCN ($14.0\ \mu\text{m}$), and CO_2 ($15.0\ \mu\text{m}$). The first two have no dipole moment and therefore are not accessible through rotational transitions in the mm-wave. H_2O has many transitions in the mid-IR, such as the bending mode at $6.0\ \mu\text{m}$. Ices of these materials also absorb in broadened features near the fundamental transitions. The lowest transitions of H_2 also occur within the MIRI spectral range, e.g., the $J = 2 - 0$ S(0) line of para- H_2 at $28.22\ \mu\text{m}$ and the $J = 3 - 1$ S(1) line of ortho- H_2 at $17.03\ \mu\text{m}$. Since the populations of these levels are usually thermalized, measurements of these two lines provide a direct measure of the mass and temperature of the bulk of molecular gas at temperatures between 50 and 200 K. Atomic fine structure lines throughout the mid-IR

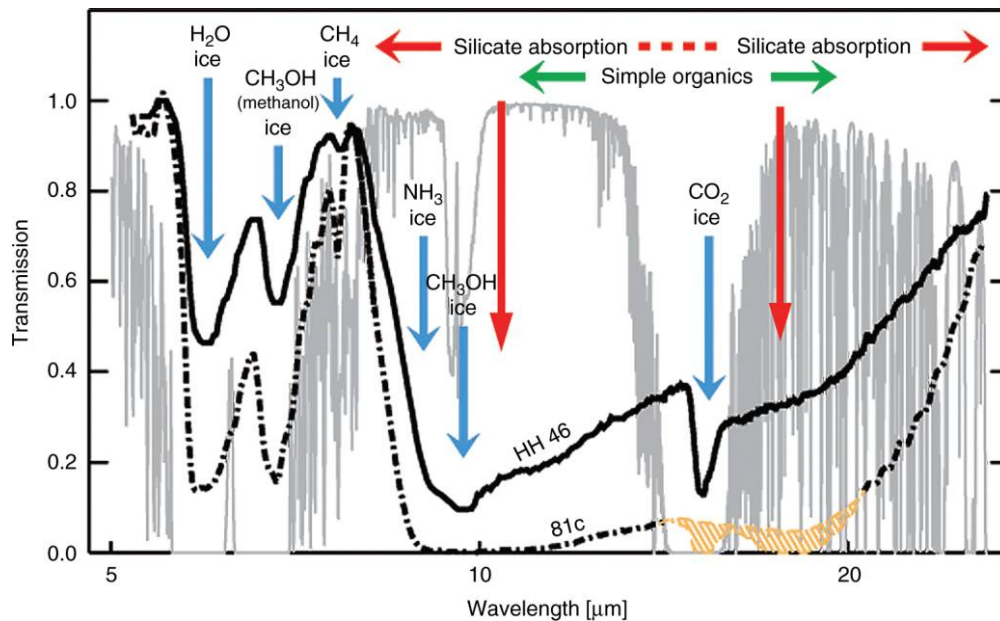


FIG. 5.—Windows for probing cold cloud cores. The gray tracing is the transmission of the terrestrial atmosphere (1 mm water vapor). The two *Spitzer* IRS low-resolution spectra are of lightly (HH 46), and heavily (the B1c protostar in Persius [Boogert et al. 2008]) obscured sources. For the latter, a spline area interpolation has been used (hashed green area) to show what might be expected for the spectral region not observed with IRS. The positions of important spectral features are marked. The 10 and 18 μm silicate absorptions are indicated by the downward pointing red arrows. They leave windows into the cloud core at 5–8 μm and 14–17 μm , but these ranges are blocked by the terrestrial atmosphere. See the electronic edition of the *PASP* for a color version of this figure.

(e.g., [Ne II] 12.8 μm , [Ne III] 15.6 μm , [Ar II] 7.0 μm , [Ar III] 9.0 μm , and [OIV] 25.91 μm ; also [FeII] 25.988 μm) can probe the hardness of the radiation field, the gas density, metallicity, and the presence of shocks. This rich set of diagnostic lines can also explore the physics of the primeval jets and compare them with those from more evolved protostars. The

high spatial resolution will let us identify source components, such as the outflow cavity walls, or internal disk shocks. The latter reflect the accretion history of the protostar and can test theories of episodic versus steady-state accretion (Dunham et al. 2014). These capabilities are complementary to those of ALMA (the Atacama Large Millimeter Array) for probing the gas in

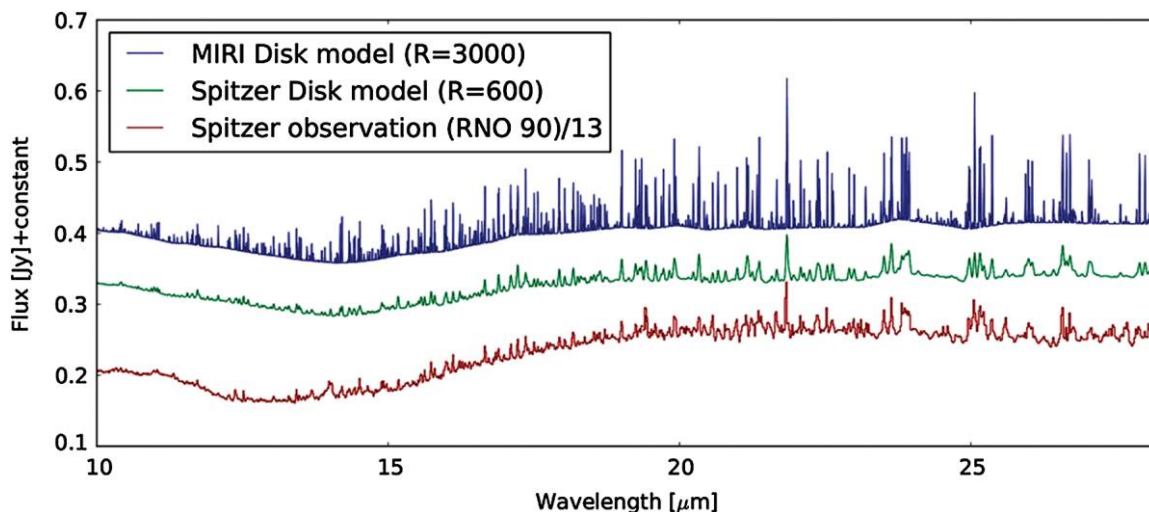


FIG. 6.—The lowest spectrum is an observation with the IRS on *Spitzer* of the molecular forest in the protoplanetary disk around RNO 90 (Pontoppidan et al. 2010); the spectrum above it is a two-dimensional radiative transfer model of water vapor adjusted to the same resolution ($R = 600$). The upper spectrum is the same model but at the MIRI resolving power, $R \sim 3000$. Although the spectrum of RNO 90 is dominated by H_2O transitions, the forest of lines should also contain contributions from OH, and from ro-vibrational bands of simple organic molecules such as CO_2 , HCN, and C_2H_2 . Illustration from K. M. Pontoppidan, STScI, <https://blogs.stsci.edu/newsletter/2013/03/27/new-window-into-planet-formation-with-webb>. See the electronic edition of the *PASP* for a color version of this figure.

forming stars and planets: the two capabilities have similar angular resolution and together will probe the warm, inner, planet-forming region (MIRI) and cold outer parts (ALMA) of young stellar systems (van Dishoeck 2004).

3.4. Galaxy Assembly

Even for well-observed local galaxies, it has proven difficult to measure star formation rates accurately because of the effects of absorption and scattering by interstellar dust (e.g., Calzetti et al. [2010]). Dust obscuration remains a significant obstacle to accurate measures of star formation to z of 2 and beyond (e.g., Reddy et al. [2010]), and the available data (*Spitzer*, *Herschel*) cannot survey deep enough to characterize it completely (see, e.g., Fig. 4 in Elbaz et al. 2011). With careful calibration of the evolution of infrared spectral energy distributions, it has been found that photometry at $24\ \mu\text{m}$ can measure star formation rates accurately (typical errors of 0.13 dex) out to $z \sim 2.5$ (Rujopakarn et al. 2013); see Figure 7. Thus, deep MIRI surveys at $21\ \mu\text{m}$ will be able to measure dust-embedded star formation rates to $z = 2$ and down to far infrared luminosities of $3 \times 10^{10} L_{\odot}$. To complete our understanding of dust-embedded star formation at even higher redshift, $z \geq 2$, accurate star formation rates in dusty galaxies will require ALMA observations.

The reason that galaxies at $z \sim 1$ and above have relatively similar infrared spectral energy distributions is that their star formation, even at very high luminosities, tends to be spread over a multi-kpc diameter region. High optical depths in the

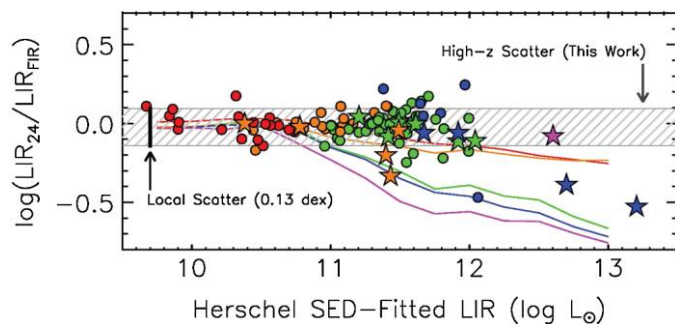


FIG. 7.—Comparison of $L(\text{TIR})$ (the total infrared luminosity) estimated from $24\ \mu\text{m}$ measurements only with that from integrating spectral energy distributions (SEDs), fitted to *Herschel* SPIRE (Spectral and Photometric Imaging Receiver) photometry (Rujopakarn et al. 2013). This figure refers to purely star-forming galaxies only and to apply the results requires that strong active nuclei be eliminated from the sample, e.g., through deep X-ray imaging and identification of power-law objects in the mid-IR. The ratio of the two determinations ($L_{\text{IR}24}$ and L_{IRFIR}) has a scatter of 0.12 dex, similar to the scatter in determining $L(\text{TIR})$ from $24\ \mu\text{m}$ measurements locally. The individual points are color-coded to redshift, red ($z < 0.3$), orange ($0.3 < z < 0.6$), green ($0.6 < z < 1.0$), blue ($1.0 < z < 2.0$), and purple ($z > 2.0$). The lines, color-coded similarly, show the ratios that would result if the high-redshift galaxies had infrared SEDs similar to those of local ones of the same luminosities, demonstrating the importance of accounting for the SED evolution in this result. About 6% of high redshift star-forming galaxies appear to be compact like local ones, and for them determination of the star formation rate from $24\ \mu\text{m}$ will have larger errors. See the electronic edition of the *PASP* for a color version of this figure.

mid-infrared are therefore rare and seldom have a substantial effect on the mid-infrared output, allowing a standard template to produce accurate estimates of the star formation rates (Rujopakarn et al. 2013). This situation contrasts with local very luminous galaxies, where the star formation tends to be concentrated in regions well under a kpc in diameter centered on the galaxy nucleus.

To probe the morphologies of distant vigorously star-forming galaxies requires high-resolution imaging in the mid-infrared. Fortunately, this goal can be achieved by inverting gravitationally lensed images as shown, for example, for the huge lensed arc in Abell 370 by Richard et al. (2009). In these cases, the resolution of MIRI will provide good reconstructed images and the MRS IFU will make observations efficient as shown in Figure 8.

At higher redshifts, MIRI will make fundamental contributions to understanding the mass assembly of galaxies by achieving images of unprecedented depth and resolution matching the deepest images currently available in the near infrared (Fig. 9). It is expected that the distribution of galaxy masses will be strongly skewed toward small masses by $z \sim 5$, early in the process of galaxy assembly (Fig. 10). Quantifying this behavior

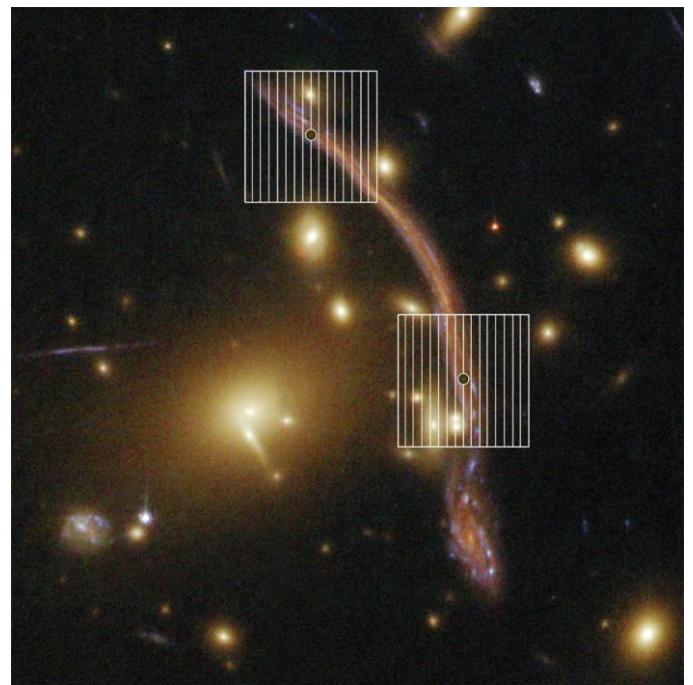


FIG. 8.—The giant arc lensed by the Frontier Fields cluster Abell 370 and imaging a background galaxy at $z = 0.725$ (Richard et al. 2009). Superimposed on the arc are two MIRI IFU fields for Channel 3 ($11.47\text{--}18.24\ \mu\text{m}$). The vertical lines delineate the IFU slices, while the circle in the middle of each field is λ/D at the middle wavelength of Channel 3 ($15.5\ \mu\text{m}$). In this example, the MIRI reconstructed image could reveal the distribution of aromatic features, characterize the radiation field through the relatively extinction-free mid-infrared fine structure lines of [ArII] and [ArIII] and [NeII] and [NeIII], and identify continuum warm spots marking heating by embedded massive stars. See the electronic edition of the *PASP* for a color version of this figure.

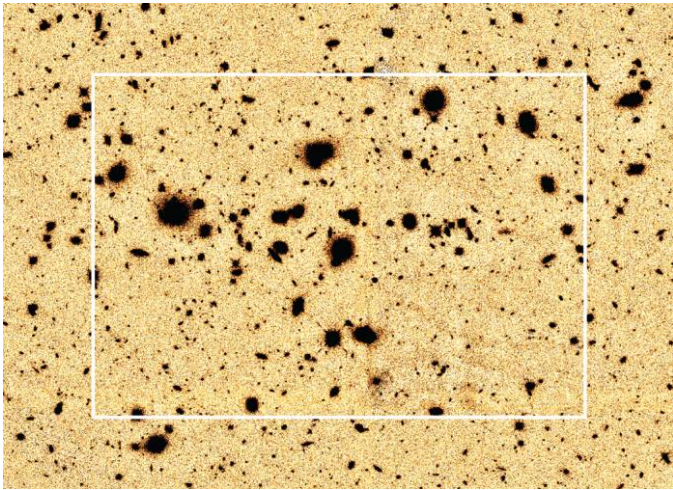


FIG. 9.—MIRI images at 5.6 and 7.7 μm will reach the depth and resolution to match very deep near infrared images such as the one above at 1.6 μm from CANDELS (Koekemoer et al. 2011). The resolution of this image ($0''.2$) matches closely that of MIRI at 5.6 μm , and the white box shows the FOV of the MIRI imager ($74'' \times 113''$). At this wavelength, the MIRI detection limits will be within a magnitude (AB) of those in this image, allowing measurement of virtually all the galaxies at reasonably high redshift (Caputi 2011). See the electronic edition of the *PASP* for a color version of this figure.

requires reliable determination of galaxy masses by measuring and modeling the stellar spectral energy distributions nearly out to their peak at a restframe wavelength of 1.6 μm (rest). At $z = 4$, this peak has been shifted to 8 μm , where *Spitzer* Infrared Array Camera (IRAC) data have been used to model galaxy masses. However, as shown in Figure 10, the sensitivity limitations (in large part due to confusion) have kept us from measuring masses down into the interesting range. The additional depth with MIRI (dashed lines in Fig. 10) will probe galaxy mass assembly definitively.

3.5. The First Galaxies

Finding the first galaxies was the original justification for *JWST* and remains its top priority. There are a number of recent theoretical studies of what this goal might entail (e.g., Pawlik et al. [2011, 2013]; Zackrisson et al. [2011, 2012]; Safranek-Shrader et al. [2012]; Muratov et al. [2013]). These works predict a substantial range of possible properties (e.g., Zackrisson et al. [2011]; Safranek-Shrader et al. [2012]), but generally agree that a “typical” first light galaxy will be of very low metallicity (by definition) and also of low mass and very faint, close to the detection limits with NIRC*am* (the *JWST* Near Infrared Camera). In such cases, *JWST* will be able to find candidates based on photometric redshifts, but characterizing the candidates (and even confirming that they are first light objects, i.e., with no metals and no prior history of star formation) will be challenging.

Therefore, systematic study of galaxies at these epochs will focus on the brighter examples. It is now predicted that Population III galaxies (i.e., with zero or only trace metallicity) may

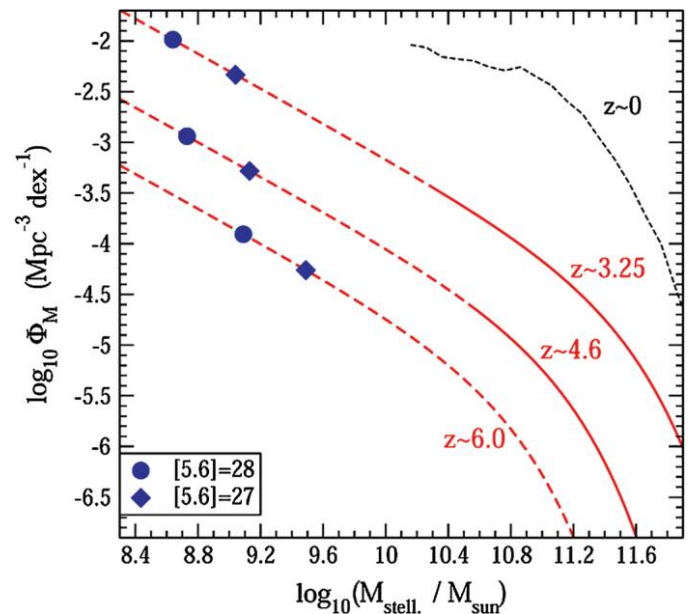


FIG. 10.—Theoretical predictions of the evolution of the galaxy mass function with redshift from Caputi (2011), according to the models of Choi & Nagamine (2010). The *solid parts* indicate how far down in mass it is possible to go with the deepest IRAC images (Caputi 2011). The additional depth with MIRI is indicated by the *blue diamonds and circles* indicating completeness limits corresponding to AB magnitudes, respectively, of 27 and 28 at 5.6 μm , which can be reached ($4\text{-}\sigma$) in 3 and 20 hr, respectively. These measurements will allow exploration of the *dashed regions* where the predicted changes with redshift should be readily apparent. See the electronic edition of the *PASP* for a color version of this figure.

exist in significant numbers over a broad range of redshift (see Fig. 11), down to $z \leq 7$ (Zackrisson et al. 2012; Muratov et al. 2013). The luminosity distance is reduced by a factor of 1.8 (or 3.1) at this redshift compared with $z = 10$ (or 16), corresponding to increases in brightness by 3 (or 10). In addition, there will be a large range of halo masses, with a corresponding range of galaxy masses; studies will by necessity focus on these higher mass galaxies (Bromm & Yoshida 2011). For example, Behroozi & Silk (2014) find that a single NIRC*am* survey field could have hundreds of galaxies between $z = 9$ and 10 and with mass $>10^8 M_\odot$ (the upper limit to first-light galaxy masses in many theoretical studies [Bromm & Yoshida 2011]). Oesch et al. (2014) report a significant number of candidates for galaxies at $z \sim 10$ and $M \sim 10^9 M_\odot$. Such galaxies are where we will definitively test the “first light” hypothesis and use MIRI and the other *JWST* instruments to study key characteristics such as the history of star formation, the presence of active nuclei, and the buildup of metals.

MIRI will play central roles in the first three of these four investigations. From Figure 12, MIRI colors (with NIRC*am* ones) can identify true Population III galaxies. MIRI detections of $\text{H}\alpha$ (which moves into the MIRI spectral range for $z > 6.7$) will give insights to the instantaneous star formation rates (Paardekooper et al. 2013). A 30-hr integration with the MIRI

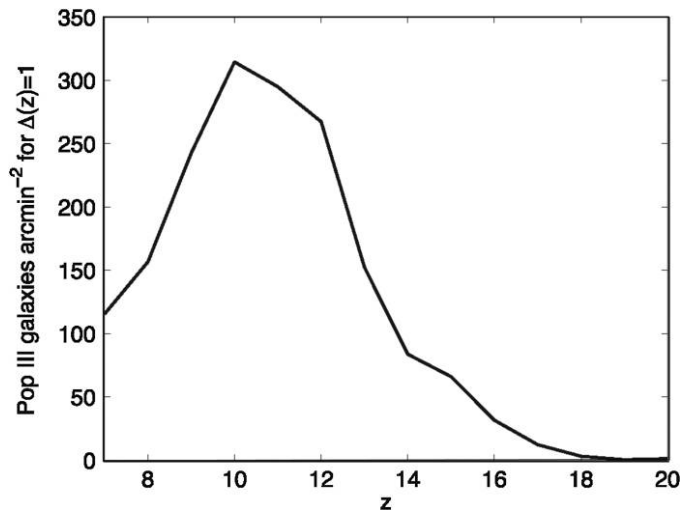


FIG. 11.—The predicted number of pop III galaxies per square arcminute and unit redshift in an unlensed field (Zackrisson et al. 2012). The numbers are based on pop III halo catalogs generated as described by Trenti et al. (2009), i.e., by calculating the evolution from a synthetic Λ CDM model at $Z = 199$. Halo masses are converted to fluxes as in Zackrisson et al. (2011).

medium-resolution spectrometer on a galaxy at $z = 10$ would measure ($4\text{-}\sigma$) the $H\alpha$ from star formation at the rate of $8 M_{\odot}/\text{yr}$. Candidate objects at this redshift and star formation level are already being identified (Labbé et al. 2013; Holwerda et al. 2014). $H\alpha$ line profiles will also be useful to find active

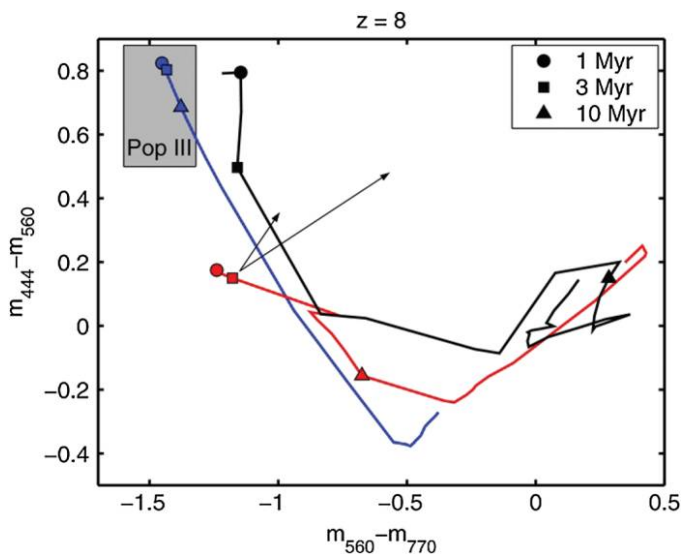


FIG. 12.—*JWST* photometric signatures of Pop III galaxies at $z = 8$ (Zackrisson et al. 2011). The lines are for models of instantaneous bursts of star formation, with symbols indicating ages as in the inset. Blue is for Pop III.2, red for Pop II, and black for Pop I. The *arrows* show how the colors of a 3 Myr old Pop II galaxy would be affected by LMC-type (*short arrow*) or Calzetti (*long arrow*) extinction, assuming $E(B - V) = 0.25$. The Pop III galaxies will lose their unique color identities after some time of order a few 10^7 years (Zackrisson et al. 2011). For more details, see Zackrisson et al. (2011). See the electronic edition of the *PASP* for a color version of this figure.

galactic nuclei (AGNs). Very low metallicity galaxies have no strong emission lines between Lyman α and the Balmer series (Groves et al. 2008); predictions of the strength of Ly α are uncertain (e.g., Anders & Fritze v. Alvensleben [2003]; de Barros et al. [2014]), with indications that it may be very weak at $z > 7$ (e.g., Jiang et al. [2013]). Hence, $H\alpha$ with MIRI may be the most readily detectable emission line for galaxies at $z > 10$. At these redshifts, MIRI photometry will also be the best way to determine the strength of Balmer breaks and hence the longer term history of star formation.

4. INTRODUCTION TO SERIES OF PAPERS

This special issue of *PASP* contains a series of papers describing MIRI in full. The papers deal with each of the main science capabilities, in turn, including imaging, prism spectroscopy, coronagraphy, and integral field spectroscopy. Key to achieving the science goals is the understanding and optimization of the performance of the three detector arrays in MIRI and of their control electronics. The operating characteristics of the detectors themselves and of their readouts and control electronics are therefore described separately. The paper on sensitivity then combines our understanding of the performance of the entire electro-optic detection chain with the expected observatory environment, into an estimation of the sensitivity that will be achieved in an observation using a single spacecraft pointing on-orbit. Finally, a snapshot of the still-developing operating model and calibration pipeline for MIRI observing is presented, where the plans for calibrating, mapping, and background subtraction are illustrated.

The specific papers are:

II: “Design and Build” (Wright et al. 2015) is an overall introduction to the instrument system and its thermal, mechanical, electronic, and software interfaces with the *JWST* spacecraft. It also describes subsystems common to all the individual instrument capabilities (e.g., calibration sources).

III: “MIRIM, the MIRI imager” (Bouchet et al. 2015) gives an overview of the imaging module, which also supports the prism spectrometer and coronagraphic capabilities. It describes the overall optical design, and gives the details for the imaging band-defining filters. It also has an overview of the test campaign for the imager and its results.

IV: “The MIRI Low-Resolution Spectrograph” (Kendrew et al. 2015) describes the double prism in the imager filter wheel that provides spectra with resolution of $\lambda/\Delta\lambda \sim 100$, used either with a single slit (for spectra of very faint objects) or in a slitless mode (primarily envisioned for planetary transit measurements).

V: “The Predicted Performance of the MIRI Coronagraphs,” (Boccaletti et al. 2015) describes another capability included in the MIRI imager. There are four coronagraphs, three based on quarter wave phase plates and one a classical Lyot design. The paper describes the principles of operation, the implementation in MIRI, and then models the expected performance in detail,

taking account of the current best estimates for the telescope wavefront error and guiding accuracy.

VI: “The Medium-Resolution Spectrometer” (Wells et al. 2015) discusses the principles behind the optical design of the general-purpose MIRI spectrometer, followed by a description of its construction and ground-test results. It concludes with a number of areas required for effective use of the instrument, such as correction for fringing in the detector arrays, generating calibration data, and corrections for stray light.

VII: “The MIRI Detectors” (Rieke et al. 2015) describes the general principles of operation of the Si:As IBC detectors in the instrument and their basic performance properties. It follows with more detailed theoretical analyses of : (1) the trend of response with bias voltage; (2) the detector/readout nonlinearity; (3) latent images; and (4) the cross-like image artifact in the 5–8 μm spectral range.

VIII: “The MIRI Focal Plane System” (Ressler et al. 2015) shows how the detector array readout circuits work, how they are controlled, and how their signals are digitized and formatted. It discusses the options for subarrays. Finally, it includes a listing of the primary anomalies in the photometric performance of the detectors that need to be corrected in the data pipeline.

IX: “Predicted Sensitivity” (Glasse et al. 2015) combines the test results on the flight instrument into our best projection of the signal-to-noise ratio it will achieve on orbit.

X: “Operations and Data Reduction” (Gordon et al. 2015) shows how MIRI observations will be planned using Observation Templates, one template for each of the primary instrument modes (imaging, coronagraphy, low-resolution spectroscopy, medium-resolution spectroscopy). It then outlines the approach being developed for data reduction and closes with some samples of potential MIRI observations.

We are very grateful to the PASP editor, Jeffrey Mangum, for his support of this project and his patience while we finished it. The work presented is the effort of the entire MIRI team and the enthusiasm within the MIRI partnership is a significant factor in its success. MIRI draws on the scientific and technical expertise of the following organizations: Ames Research Center, USA; Airbus Defence and Space, UK; CEA-Irfu, Saclay, France; Centre Spatial de Liège, Belgium; Consejo Superior de Investigaciones Científicas, Spain; Carl Zeiss Optronics, Germany; Chalmers University of Technology, Sweden; Danish Space Research Institute, Denmark; Dublin Institute for Advanced Studies, Ireland; European Space Agency, Netherlands; ETCA,

Belgium; ETH Zurich, Switzerland; Goddard Space Flight Center, USA; Institute d’Astrophysique Spatiale, France; Instituto Nacional de Técnica Aeroespacial, Spain; Institute for Astronomy, Edinburgh, UK; Jet Propulsion Laboratory, USA; Laboratoire d’Astrophysique de Marseille (LAM), France; Leiden University, Netherlands; Lockheed Advanced Technology Center (USA); NOVA Opt-IR group at Dwingeloo, Netherlands; Northrop Grumman, USA; Max Planck Institut für Astronomie (MPIA), Heidelberg, Germany; Laboratoire d’Etudes Spatiales et d’Instrumentation en Astrophysique (LESIA), France; Paul Scherrer Institut, Switzerland; Raytheon Vision Systems, USA; RUAG Aerospace, Switzerland; Rutherford Appleton Laboratory (RAL Space), UK; Space Telescope Science Institute, USA; Toegepast-Natuurwetenschappelijk Onderzoek (TNO-TPD), Netherlands; UK Astronomy Technology Centre, UK; University College London, UK; University of Amsterdam, Netherlands; University of Arizona, USA; University of Bern, Switzerland; University of Cardiff, UK; University of Cologne, Germany; University of Ghent; University of Groningen, Netherlands; University of Leicester, UK; University of Leuven, Belgium; University of Stockholm, Sweden; Utah State University, USA. A portion of this work was carried out at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with the National Aeronautics and Space Administration.

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