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A novel dual-structure, self-healable, polysaccharide based hybrid nanogel for biomedical uses

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A new unique dual-structure hydrogel composed of nanostructures of amphiphilic chitosan (CHC) dispersed in a sodium alginate matrix (SAL) is presented. The successful creation of the composite is based on combining chitosan and sodium alginate without precipitation or agglomeration, which has not been previously reported. The CHC/SAL composite gels presents a number of properties making them attractive for biomedical applications, in particular as implantable depot gels or in dermal applications. The gels are shown to form rapidly upon exposure of the combination solution to Ca²⁺ containing gelation medium. The formed gels have storage moduli similar to soft tissue and displays shear reversible gelation with fast recovery of mechanical properties, in addition to self healing capability at certain compositions. The gels exhibit moderate swelling in deionized water and low swelling in simulated body fluid and cell culture media. The drug release from the composite gels is demonstrated using the hydrophobic drug all-*trans* retinoic acid, which is used in cancer and skin disorder therapies. The drug release initially occurs through a Fickian mechanism for a fraction of the loaded drug, where the fraction released during this process depends on release media and gel composition. A large fraction of loaded drug can be retained for long term depot drug delivery. Furthermore, the CHC/SAL gels are determined to have low toxicity and skin irritation.

Introduction

With the rapidly evolving knowledge in the biomedical field of today there is increasing demand for materials that can meet the needs of new applications. One specific application is depot systems for sustained drug delivery. Depot systems hold the benefit of providing sustained drug release over long times, as well as being able to provide local therapeutic effect.¹⁻³ There are several challenges in the design of materials to be used in such systems. The materials should allow for high loading of hydrophobic drugs and control over the release process.⁴ In the case of implantable depot systems the administration should be easy, as for injectable *in vivo* gelling formulations. Furthermore, the materials should be biocompatible in their given application. Obviously, the materials used should be non toxic and non irritant for transdermal applications. For implantable devices the mechanical properties of the device are also of great importance, it has been stated that “the mechanical property of the interface between an implant and its surrounding tissues is critical for the host response and the performance of the device”.⁵

One way to overcome the low solubility of hydrophobic drugs is to make use of amphiphilic copolymers or modified polymer micelles, such polymer materials tend to self-assemble into nanoscale micelle-like structures, having core-shell architecture in aqueous solution.⁶⁻⁸ This structure provides the ability to encapsulate and release hydrophobic compounds. In addition, such drug carriers can commonly be designed to be

biocompatible and/or biodegradable.^{6, 9, 10} This kind of nano carriers can be effectively utilized in designing hydrogel systems for release of drugs with low solubility. Gou et al. presented the idea to combine a nanoscale carrier and a hydrogel matrix into a composite dual structure delivery system for hydrophobic drug release,¹¹ inspired by similar reports on micro- and nano-particles in thermo-sensitive hydrogel composite drug delivery systems.^{12, 13}

The mechanical properties, and in particular the storage modulus, of a gel matrix is dependent on the crosslink density.¹⁴ However, for composites where particle additives are dispersed in a gel network the mechanical properties can be significantly different from the pure matrix, depending on additive concentration, modulus and the extent of additive-matrix interaction.¹⁵⁻¹⁷ When the additives are hard fillers two ultimate cases can be discriminated:¹⁸ (1) No interaction between the dispersed particles; this causes a decrease in gel modulus with increasing polymer volume fraction. (2) A strong interaction between the fillers and the matrix; this causes an increase in modulus of the gel with the increasing polymer volume fraction if the filler material is harder than the gel matrix. For additives having sizes similar to the matrix network the effects are more complex, and aggregation of the additive is an important factor.¹⁹ Nanofillers having strong interaction with the matrix have been reported to increase the storage modulus of the composite far more than expected from traditional filler theory.^{20, 21} On the other hand, alginate gels prepared using glycerol or low

molecular weight dextran as additives displayed an increased viscosity in the gel liquid phase but did not show any changes in storage modulus. However, for high molecular weight dextran the storage modulus was significantly lowered.^{22, 23} this was explained by that the high molecular weight dextran disturbed the crosslink structure of the alginate.

Alginate and chitosan are two natural polymers that are biodegradable, biocompatible, non-toxic, and mucoadhesive.²⁴ Because of those desirable properties they are commonly used and have great future potential in biomedical applications, such as; drug delivery systems, tissue engineering scaffolds, and in food industry as stabilizers, thickeners and gelling agents.^{3, 24-30} Alginate is a linear block copolymer composed of homopolymeric blocks of (1-4) linked α -L-guluronate (G) and β -D-mannuronate (M) residues.^{24, 31, 32} The relative number of M- and G-blocks depends on the origin of alginate. One of the most important properties of alginate, with regard to biomedical applications, is the ability to form gels by interaction with divalent cations such as Ca^{2+} . The gelation and cross-linking of the alginate is mainly achieved by interaction between the carboxyl groups and the divalent cations, and the stacking of these G-blocks to form the characteristic egg-box structure.^{24, 33-35} In addition, the highly swelling sodium alginate form (SAL) also has the ability to form gels by the exchange of sodium ions from the G-blocks with divalent cations. Amphiphilically modified chitosan, named carboxymethyl-hexanoyl chitosan (CHC), has previously been synthesized in an aqueous system without the aid of surfactants, organic solvents, emulsion phases, or template cores, to form a hollow nanocapsules in water.^{27, 28, 36} The CHC has excellent encapsulating efficiency for hydrophobic drugs due to its self-assembly properties and hydrophobic domains.

In this study, amphiphilic chitosan was synthesized and used as a hydrophobic drug carrier in an alginate hydrogel matrix. Composite gels of sodium alginate and micelle-like amphiphilic carboxymethyl-hexanoyl chitosan nanoparticles were prepared in various compositions, varying the SAL and CHC content, the amount of glycerol in the gel forming solution and the amount of calcium chloride in the gelation media. The composite gels were characterized with regard to a number of properties such as; gelation time, equilibrium swelling, rheological properties, self-healing behaviour and release of the hydrophobic drug all-*trans* retinoic acid. The dependences of the gel properties on the compositions of the hydrogels were then discussed.

Materials and methods

Materials

2-propanol, hexanoyl anhydride, all-*trans*-Retinoic acid, Chitosan ($M_w=215000$ g/mol, deacetylation degree=85-90 %), chloroacetic acid, sodium alginate (low viscosity, 250 cps for 2 % at 25°C) and sodium hydroxide was purchased from Sigma-Aldrich. Calcium chloride was acquired from Showa. Glycerol was purchased from Riedel-de Haën. Minimal essential medium (α -MEM) was prepared with components bought from Gibco and 1.5x simulated body fluid (1.5BF) was prepared in-house.

Preparation of amphiphilic chitosan/sodium alginate combination solutions

Amphiphilic chitosan (CHC) was prepared through both

hydrophilic carboxymethyl and hydrophobic hexanoyl substitutions, as previously described^{27, 28}. CHC solution (2 % w/w) was prepared in a vial, using sodium hydroxide solution to adjust the *pH* value to slightly alkaline (*pH* = 7.5-8.5). Sodium Alginate (SAL) solutions were prepared with different concentrations (1, 2, 3, and 4 % w/w). CHC/SAL combination solutions with different compositions were formed by mixing CHC solution containing varying amount of glycerol (0, 10, or 20 % w/w) with an equal volume of SAL solution.

Hydrogel formation

The composite hydrogels were formed in the presence of various calcium ion concentrations as follows; CHC/SAL combination solution (2 ml) in a glass Petri dish was submerged into calcium chloride solution (50 ml, 1, 2, or 3 % w/w) at room temperature. The time to form a gel (designated as gelation time) was determined using a vial tilting method, where no flow within 1 minute of inverting the vial was the criterion for gel state^{37, 38}.

Rheological measurements

Rheological characterization of the CHC/SAL composite hydrogels was performed on a strain-controlled rheometer (Rheological Scientific, ARES instrument) using parallel-plate fixture. Olive oil was used to cover the surface of the composite hydrogels in order to avoid water evaporation during the analysis. The rheological properties of the CHC/SAL composite hydrogels were characterized by strain sweep tests ($\gamma = 0.01 \sim 100$ %) and small deformation tests ($\gamma = 0.015 \sim 7$ %) using a fixed frequency ($\omega = 10$ rad / s) and a temperature of 37 °C. The gap at the apex of the parallel-plate was set to be 2 mm and samples were placed between the parallel-plate and the platform. To investigate the recovery properties of the samples after exposure to high shear strain, the following program was applied: $\gamma = 0.1$ % (100 s) $\rightarrow \gamma = 100$ % (100 s) $\rightarrow \gamma = 0.1$ % (200 s) $\rightarrow \gamma = 100$ % (200 s) $\rightarrow \gamma = 0.1$ % (300 s).

Investigation of self-healing capability

The self-healing capability of the composite hydrogels was investigated as follows; two types of samples were prepared, one was coloured by Trypan blue and the other was a pure CHC/SAL hydrogel. The samples were cut into a size of 3 × 1 × 0.5 cm and the freshly produced surfaces of two samples with different colour were brought together within one minute. After allowing healing to proceed for 30 minutes to one hour, the healed composite hydrogel bridge was suspended horizontally and vertically.

Equilibrium Swelling

To determine the equilibrium swelling under different conditions, gels made from CHC/SAL (about 2 g) were lyophilized and weighted (W_d). The dried hydrogels were immersed in di-water, medium (α -MEM+10% FBS), or 1.5SBF for 1 day until equilibrium swelling state had been attained. After removal of water from the surface of the swollen hydrogels, the samples were weighted (W_s). The equilibrium swelling degree (ESD) was calculated using the following equation:

$$ESD = (W_s - W_d) / W_d \quad (1)$$

In vitro release study of retinoic acid

Retinoic acid released from varying formulations of CHC/SAL composite hydrogels was determined in different release environments. Drug loaded gels were prepared as follows; a stock solution of retinoic acid was prepared by dissolving of retinoic acid (100 mg) in isopropanol (50 ml). The stock solution was diluted to achieve the final retinoic acid concentration (100 µg / ml), subsequently CHC was added (2 % w/w). Composite hydrogels with different compositions were then prepared as described above. To investigate the release profiles for the drug-loaded CHC/SAL composite hydrogels, samples were submerged in di-water or 1.5SBF (3 ml). At predetermined times samples were extracted (1 ml) and centrifuged at 12000 rpm for 5min. Subsequently, the drug concentration in the supernatant was determined from the absorbance at 340 nm using a UV-Vis spectrophotometer (Evolution 300, Thermo scientific). The extracted volume was replaced with an equal volume of fresh dissolution medium, which was accounted for in the release calculations.

Cytotoxicity Assay

WS1 human fetal skin fibroblast cell lines (BCRC number: 60300) were grown in minimum essential medium (MEM) with FBS (10 %), non-essential amino acids (0.1 mM), and sodium pyruvate (1.0 mM). The cells were incubated at 37 °C, in a CO₂ containing (5 %) humidified atmosphere. The culture medium was changed every two to three days. For all experiments, cells were harvested from sub-confluent cultures using trypsin and were re-suspended in fresh complete medium before plating. To investigate the in vitro cytotoxicity of the CHC/SAL composite gel, viability of human WS1 fetal skin fibroblasts was analyzed with the MTT assay. CHC/SAL gels were formed from combination solution (0.5 ml) with the weight ratios CHC/SAL/glycerol = 1/1.5/0 prepared by exposure to CaCl₂ (2 % w/w). Two kinds of samples, one loaded with retinoic acid (100 µg / ml) and one without drug (pure gel), were prepared in 24-well plates. Briefly, 3×10⁴ cells were plated to allow the cells to attach at 37 °C in an atmosphere with CO₂ (5 %). After 1 and 2 days incubation, MTT/medium (1:9) combination solution (100 µl) was added and incubation was continued for another 4 hours. Then, DMSO was added to solve the precipitate, which formed from the reaction between MTT reagents and live cells, and the solution was transferred to a 96-well plate. The result solution absorbance values were determined at 595 nm using a Sunrise absorbance microplate reader (DV990/BV4, GDV Programmable MPT Reader).

In vivo skin irritation test

The Draize model and its modification such as “UNI EN ISO10993-10:1996” and “USP Biological Tests” are generally used to examine the degree of skin primary irritation utilizing healthy rabbits³⁹⁻⁴¹. Following the Draize model, the back of healthy male New Zealand white rabbits were narrowly clipped free of fur with an electric clipper 4 hours before application of

samples. Each rabbit (n = 6) received six parallel epidermal abrasions with a sterile needle (26G 1/2 0.45 × 13 mm) at one test site while the skin at the opposite site remained intact. Samples were prepared by coating gel (0.5 ml, weight ratios CHC/SAL/glycerol = 1/0.5/0 or 1/1.5/0, prepared by exposure to 2 % w/w CaCl₂) on fixed size gauzes with 1”×1” (2.54 × 2.54 cm) square. The patches were covered with a non-reactive tape and the entire test site was swathed with a non-occlusive bandage. After a 24 hour treatment, the bandage and gauze sample were removed. The test sites were swabbed with physiological saline solution to remove any remaining test article residue. The used evaluation procedure was the one adopted in the U.S. Federal Hazardous Substance Act (FHSA)⁴², and is described in *supporting information*.

At times 24, 48, and 72 hours after sample application, the test sites were evaluated for dermal reactions, defined as erythema and edema, according to the Draize – FHSA scoring system (*supporting information*). The score of primary irritation of the test was calculated for various dosages. The Primary Irritation Index (PII) was calculated as the arithmetical mean^{40,41}:

$$PII = \frac{\sum \text{Erythema and Edema grade at 24,48 and 72h}}{\text{Number of observations}} \quad (2)$$

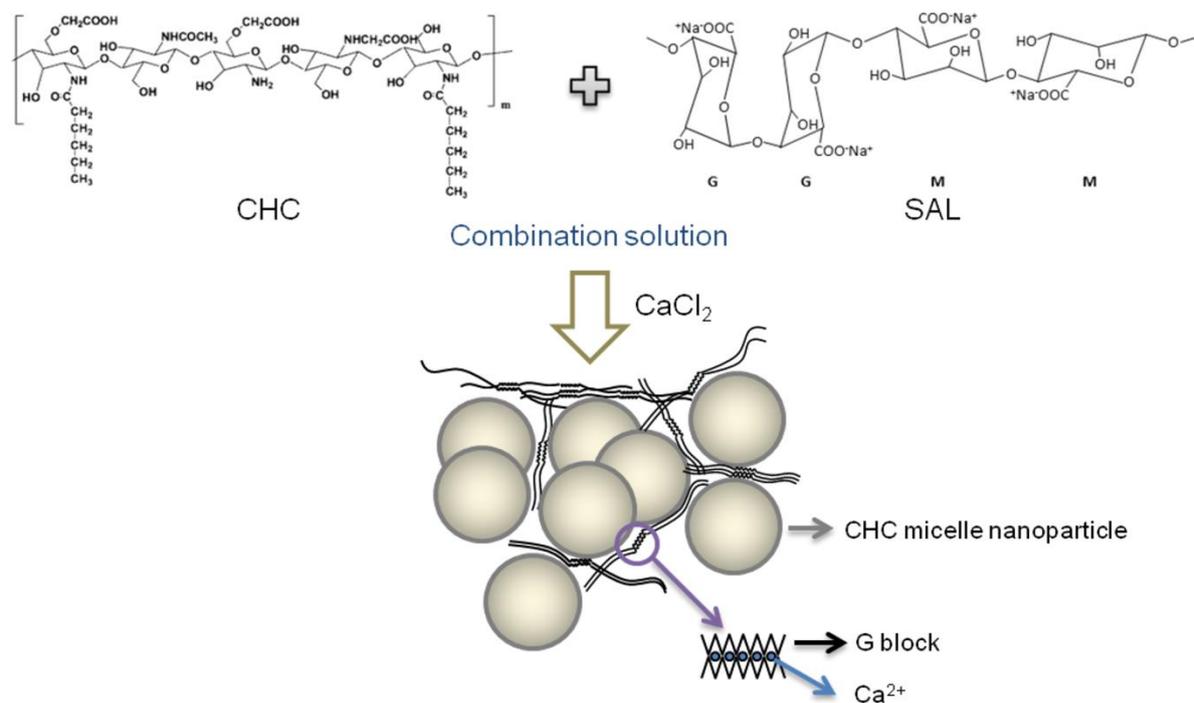
and the evaluation of PII was performed according to Table 1.

Table 1 Evaluation of Primary Irritation Index

Index	Evaluation
0.00	No irritation
0.04 – 0.99	Irritation barely perceptible
1.00 – 1.99	Slight irritation
2.00 – 2.99	Mild irritation
3.00 – 5.99	Moderate irritation
6.00 – 8.00	Severe irritation

Results and discussion

To the authors’ knowledge, there has to date been no reported method to provide a steady combination solution with chitosan and sodium alginate. This is owing to two main reasons: (1) the opposite charge of the polymers leads to electrostatic attraction promoting aggregation; (2) the property that sodium alginate (SAL) forms an acid gel by the addition of acidic chitosan solution.²⁴ Here, amphiphilic carboxymethyl-hexanoyl chitosan (CHC) was used because of its proven potential as a hydrophobic drug carrier, utilizing self-assembly.²⁷ To prevent aggregation and acid gel formation upon combination of the CHC and SAL solutions the CHC solution was adjusted to slightly alkaline (pH=7.5~8) prior to mixing. Ordinarily, an acidic chitosan solution would rapidly produce a hydrated precipitate upon addition of a strong base, such as NaOH. This is due to the reduced positive charge density along the chitosan chains (NH₃⁺).



Scheme 1 Molecular structure of modified amphiphilic chitosan (CHC) and sodium alginate (SAL) and the suggested crosslinked network structure after gelation by CaCl₂ rich medium.

The neutral chains would interact strongly through hydrogen bonding and hydrophobic interactions between chains. There was no hydrated precipitate but only a little agglomerate when the amphiphilic CHC solution was adjusted to slightly alkaline by addition of NaOH. This is because of the steric effect from the long hexanoyl groups prevents hydrogen bonding between CHC chains,⁴³ as shown in Scheme 1, and because the self-assembly property into a micelle structure may further reduce the aggregation tendency. At the set *pH* value, the zeta potential of the CHC solution would be close to neutral, as the isoelectric point was determined to be about 7.5. The fact that the modified chitosan is neutrally charged, and soluble at slightly alkaline *pH*, allows for the successful combination with sodium alginate. Indeed, no aggregation or gelation was observed upon forming the CHC/SAL combination solution.

Below the properties of hydrogels prepared from CHA/SAL combination solutions with different compositions is discussed with special focus on realistic biomedical applications.

Hydrogel formation

SAL is well known to form a strong gel upon exposure to calcium ions. The calcium cross-links the alginate chains by replacing sodium ions with calcium ions to form the well known “egg box” structure through electrostatic forces between guluronic groups expressed on different alginate chains and bridging calcium ions.²² Therefore, gelation of the CHC/SAL combination

solutions was induced by exposure to CaCl₂ solution (gelation media). The CHC concentration in the combination solution was above the aqueous critical aggregation concentration, as such the CHC should exist in self assembled micelle like structures, having diameters in the range 50-200 nm.^{36, 44} Thus the structure of the formed composite gels is proposed to be a crosslinked alginate matrix with embedded nano micelles. Scheme 1 shows the structure of CHC and SAL, as well as schematic drawing of the proposed composite gel structure.

The gel formation process and the gelation rate of the composite hydrogels were observed at room temperature. The gelation time could be adjusted by varying SAL and CaCl₂ concentration, with the fastest gelation time being close to instantaneous and the longest being about 10 s (Table 2). As an example, the gel with the weight ratios CHC/SAL/glycerol = 1/1/5, prepared by exposure to 1 % w/w CaCl₂ solution formed a gel in roughly 10 s.

Table 2 Gelation time (s) depending on SAL concentration in the combination solution and Ca²⁺ concentration in the gelation medium.

	1 % SAL	1.5 % SAL	2 % SAL
1% Ca ²⁺	10	5	3
2% Ca ²⁺	3	< 1	< 1
3% Ca ²⁺	1	< 1	< 1

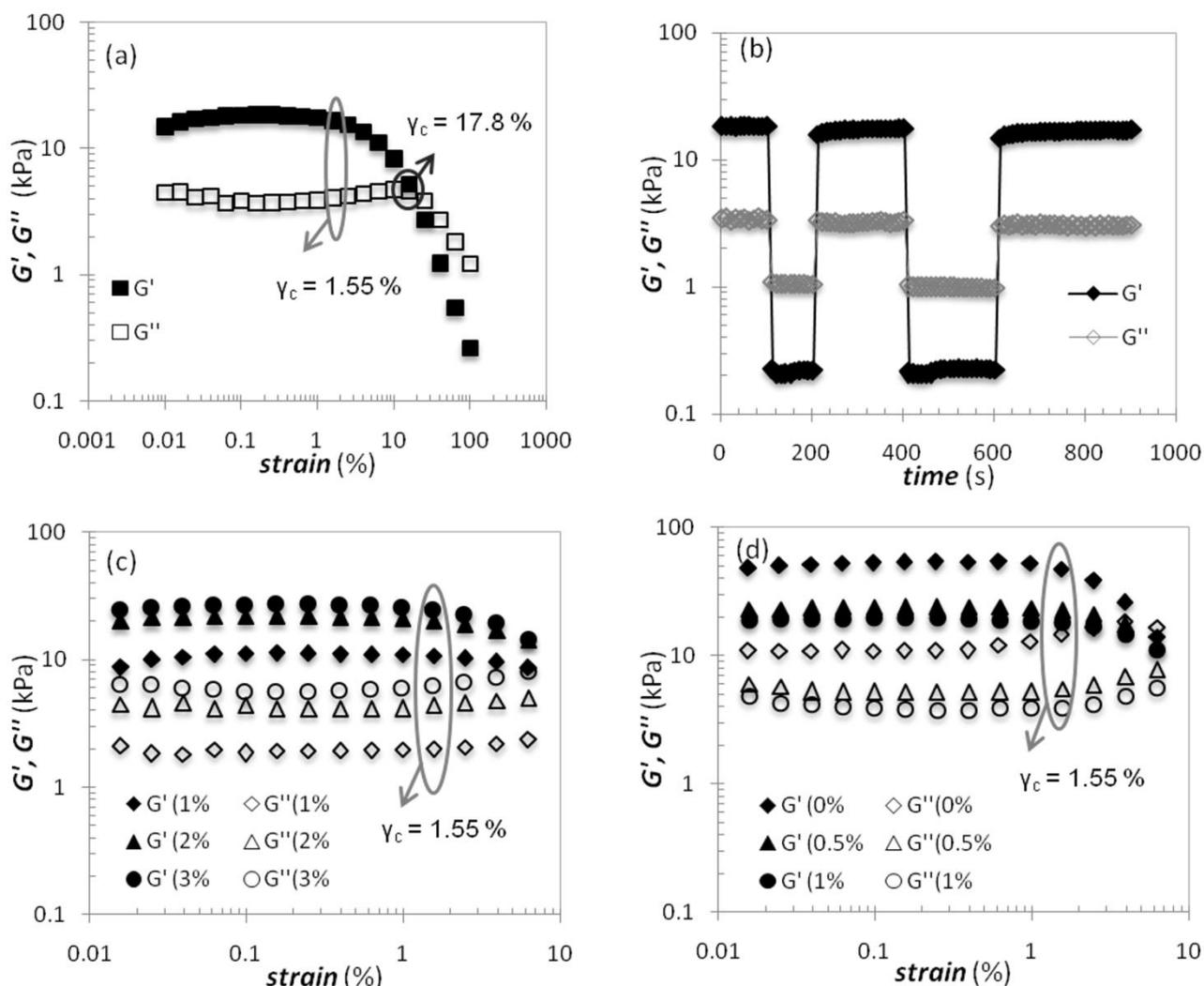


Fig. 1 Rheological properties of CHC/SAL composite hydrogels. (a) Large strain sweep ($\gamma = 0.01 \sim 100\%$) for gels having weight ratios CHC/SAL/glycerol = 1/1.5/0 prepared by exposure to 3% w/w CaCl_2 . (b) Continuous step strain measurement ($\gamma = 0.1$ and 100%) for hydrogel with the weight ratios CHC/SAL/glycerol = 1/1.5/0. Small deformation tests ($\gamma = 0.015 \sim 7\%$) for samples with weight ratios; (c) CHC/SAL/glycerol = 1/1.5/5 prepared using varying CaCl_2 concentration in the gelation medium and (d) SAL/glycerol = 1.5/0 having varying CHC content, prepared by exposure to 2% w/w CaCl_2 .

By increasing the SAL concentration from 1 to 2% w/w, keeping the other conditions constant, the gelation time displayed an obvious decrease from 10 to 3 s. With increasing ratios of Ca^{2+} in the gelation media, the gelation time was greatly decreased. In fact, the gelation appeared to occur almost immediately for all but the samples with the lowest SAL concentration. The extremely quick gelling makes the CHC/SAL system a great candidate for injectable gel applications, where the combination solution could be co-injected with calcium containing gelation medium

Rheological properties

The rheological properties of hydrogels are of great importance in

determining their performance in different applications and under different conditions; in addition it gives information about the structure of the gels. CHC/SAL composite hydrogels were subjected to strain sweep tests, monitoring the storage (G') and loss modulus (G''). As shown in Fig. 1a, there was a gel-liquid transition point ($\tan\delta = G'/G'' = 1$; $\gamma_g = 17.8\%$) indicating a breakdown of the gel state to a quasi-liquid state above a threshold strain. Reversible shear induced breakdown has previously been reported for pure alginate³² and chitosan⁴⁵ gels. To investigate if this property was retained for CHC containing gels the sample with the weight ratios CHC/SAL/glycerol = 1/1.5/0, prepared by exposure to 3% w/w CaCl_2 , was

investigated for shear reversibility. It was found to rapidly recover to its original gel state after a high shear strain induced structural breakdown, as shown in Fig. 1b. When high shear strain was applied, with the corresponding high shear stress ($\gamma = 100\%$) and ($\omega = 10$ rad/s), the G' values decreased from 18 kPa to 0.21 kPa resulting in a quasi-liquid state ($\tan\delta \approx 5$). However, when the strain amplitude was decreased ($\gamma = 0.01\%$) at the same frequency, G' instantly recovered its initial value and the system returned to a quasi-solid (gel) state ($\tan\delta \approx 0.2$). In fact, the shear recovery of the composite gels was extremely fast compared to pure alginate^{32,46} and chitosan⁴⁵ gels, for which the reported shear recovery occur over a longer period of time.

In Fig. 1c, the effect of calcium chloride concentration in the gelation medium (1, 2, and 3 % w/w) is shown for samples with the weight ratios CHC/SAL/glycerol = 1/1.5/5, as determined by small deformation test. All samples displayed a plateau region in their moduli below the same critical strain (γ_c) at which the polymeric system starts to display nonlinear viscoelastic behaviour (gel structure breaks up).⁴⁵ When the strain was larger than γ_c , there was a rapid decrease of the storage modulus. The fact that all sample preparations displayed G' values larger than G'' at small strains clearly proves the gel character under those conditions. The results show that G' and G'' values increased with the increasing concentration of CaCl_2 in the gelation medium. The modulus values of hydrogels prepared using 3 % w/w CaCl_2 in the gelation medium was almost about 1.2 times larger than using 2 % w/w CaCl_2 and 2.4 times larger than using 1 % w/w CaCl_2 . According to rubber elasticity theory the correlation between storage modulus and the network crosslink density can be described by the equation:⁴⁷

$$G = gRTN \quad (3)$$

where G is the network equilibrium shear modulus; g is a constant, nearing 1.0 for incompressible materials; R is the gas constant; T is the absolute temperature; N is the number of elastically active network chains per unit volume for a network. Although this Eq. 3 is derived for networks of Gaussian chains, it can be applied to real polymer materials to provide an indication of network structure from shear modulus behaviour.^{48,49} Segeren et al. found several features of alginate gels formed by Ca^{2+} to be consistent with rubber elasticity theory.⁵⁰ Thus, it can be concluded that increasing Ca^{2+} concentration in gelation medium resulted in a rise of crosslinking density with the corresponding increase in storage modulus.

In this study, it was also crucial to elucidate the role of CHC nanoparticles in determining the gels properties, in addition to providing a platform for delivery. As seen from Fig. 1d the presence of CHC nanoparticles decreased the storage modulus compared to pure alginate gels. It is known that particle additives alter the storage modulus depending on the interactions between additive and network chains.^{18,51} For calcium alginate gels Zhang et al. found that the addition of low molecular weight (MW) dextran or glycerol prior to gelation had no significant effect on storage modulus. In contrast, gels to which high MW dextran was added displayed a decrease of the storage modulus, as compared to pure calcium alginate gels.²² This was explained by the steric effects of the high MW dextran disturbing the crosslinking structure of the alginate gels. The same explanation seems

Table 3 Critical strain and cohesion energies for various preparations of CHC/SAL hydrogels (weight ratio = 1/1.5).

Glycerol (%) ^a	γ_c (%)	E_c (kJ / m ³) ^b	Ca^{2+} (%) ^c	γ_c (%)	E_c (kJ / m ³) ^b
0	1.55	20.1 ± 0.06	1	1.55	13.3 ± 0.07
5	1.55	29.7 ± 0.05	2	1.55	24.2 ± 0.08
10	1.55	35.7 ± 0.04	3	1.55	29.7 ± 0.05

^a Percent glycerol in the combination solution, gels prepared by exposure to gelation medium with 3 % CaCl_2 . ^b \pm indicates maximum deviation from mean. ^c The Ca^{2+} percent is concentration in gelation medium, the combination solution contained 5 % glycerol.

plausible for the lower storage modulus of the composite gels in this study. The CHC nanoparticles inside the gel structure would appear as steric hindrances, separating the alginate chains, resulting in decreased crosslink density with the associated decrease of storage modulus.

Interestingly, it was found that the presence of glycerol in the CHC/SAL composites increased the storage modulus. This is in contrast to what has previously been reported for pure calcium alginate gels.²² Small deformation tests for samples prepared with varying glycerol contents (fixed weight ratios CHC/SAL = 1/1.5 and 3 % w/w CaCl_2 in the gelation medium) revealed that the G' values for samples prepared with 10 % glycerol was 1.2 times larger than if prepared with 5 % glycerol and 1.75 times larger than gels prepared without glycerol (See *Supplementary material*, comparisons made at $\gamma = 0.245\%$). It seems likely that in the composite gels the glycerol act as a hydrogen bonding connector between the otherwise non-interacting CHC nanoparticles and alginate chains, as well as between CHC nanoparticles. This hydrogen bridging should act crosslinking, and thus increase the storage modulus of the gels.

All of the investigated gel formulations displayed the same critical strain, which together with the storage modulus can be correlated to the gel cohesion energy as:^{45,52}

$$E_c = \int_0^{\gamma_c} G'_c \gamma_c d\gamma_c = \frac{1}{2} \gamma_c^2 G'_c \quad (4)$$

where E_c is the cohesion energy and G'_c is the storage modulus at critical strain. The cohesion energy is a measurement of the energy involved in the formation of physical crosslinks in the network. The results for CHC/SAL gels with different compositions are shown in Table 3. As γ_c was found to be the same for all investigated formulations, differences in the calculated cohesion energy were directly correlated to differences in shear moduli. Thus the cohesion energies correlate well with the discussion from moduli values that CHC nanoparticles disturbs the SAL- Ca^{2+} crosslink formation and that Glycerol provides crosslinking hydrogen bonds in the composites.

In summary the CHC/SAL composite hydrogels exhibits many rheological properties making them promising for biomedical application, especially as implantable depot gels. The investigated composites had storage modulus values in the range 10-30 kPa, which should be easy to adjust further by varying parameters such as polymer concentration and gelation medium composition. The moduli are of a similar order as reported values for soft tissue,⁵³⁻⁵⁵ which has been stated to be an important factor in the host response to implantable devices.⁵ Furthermore, the excellent shear reversibility could allow for direct injection of the gels. Under the large shear during injection the gels would be in a quasi-liquid state and thus exhibit flow. However, after injection

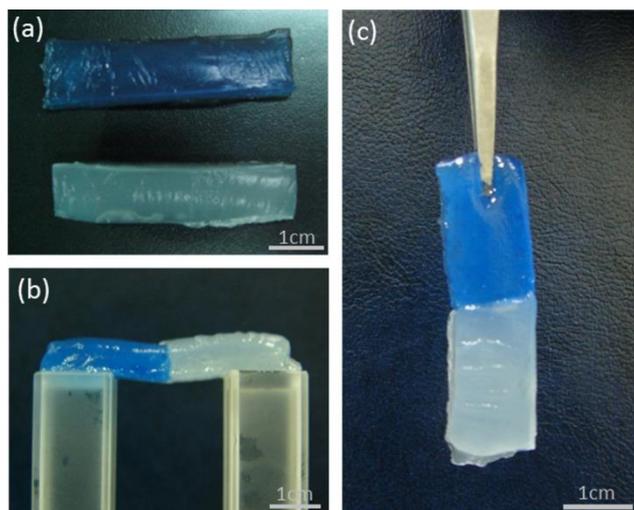


Fig. 2 Photographs illustrating the self-healing properties of CHC/SAL hydrogels. (a) A sample coloured by Trypan blue and a non-coloured sample, both with the weight ratios CHC/SAL/glycerol = 1/1/10, prepared by exposure to 2% w/w CaCl₂. A bridge constructed by connecting the freshly cut surface of the two samples could be (b) suspended horizontally and (c) held vertically.

the shear forces would be absent and the gels would recover to the original quasi-solid state.^{32, 56-58}

10 Self healing

The ability of a material to self heal damages that occurs during use would be highly desirable for materials that are intended to perform in a designed manner for significant times where repair is not possible.⁵⁹ For hydrogels used in drug delivery the formation of cracks or fractures would increase the surface area through which drug release occurred, leading to increased release, *i.e.* increased dose per time. In addition, fractures could cause unwanted migration and/or altered degradation of implantable depot gels.

Polymer hydrogels formed by covalent bonds are usually brittle and lack the ability to self-heal.⁶⁰ A non-covalent approach using dendritic macromolecules as binders in clay nanosheets – sodium polyacrylate hydrogels has been reported by Wang et al.⁶¹ The gels were reported to have high mechanical strength, rapid shear recovery capability and self healing behaviour, as well as a very easy preparation procedure. From the excellent shear recovery displayed by our composite gels, it was reasoned that our dual-structure gels possibly could be self-healing as well. To investigate this, gels were prepared in different compositions. It was found that no self healing was obtained for pure alginate gels with or without glycerol, nor did composite gels without glycerol exhibit self healing behaviour. However, composite gels with high glycerol content could self heal to some extent. This is illustrated in Fig. 2, where the freshly cut surfaces of gels of different colours (blue and translucent, Fig. 2a) with weight ratios CHC/SAL/glycerol = 1/1/10 have been brought into contact. The healed composite hydrogel was strong enough to hold when suspended horizontally (Fig. 2b) and vertically (Fig. 2c). This self healing could provide increased durability and robustness of the composite gels if used in implant applications.

Equilibrium swelling

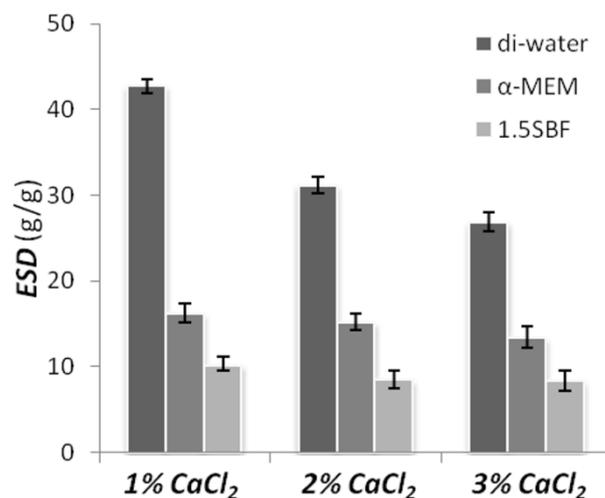


Fig. 3 Equilibrium swelling degree of CHC/SAL composite hydrogels (weight ratio CHC/SAL/glycerol = 1/1.5/0) as a function of CaCl₂ concentration in gelation medium. Gels were submerged in di-water, medium (α -MEM) or SBF for 2 days to reach the equilibrium state. Error bars indicate Min/Max (n = 3).

Most biological applications are used in a liquid or semi-liquid environment, for swellable materials the swelling is an important material parameter which greatly influences mechanical properties and substance exchange behaviour, *i.e.* drug release. Thus, the equilibrium swelling degree (ESD) of lyophilized composite hydrogels with the weight ratios CHC/SAL/glycerol = 1/1.5/0, prepared using gelation media with different CaCl₂ concentrations, was determined in deionized water (di-water), cell culture medium (α -MEM+10% FBS), and simulated body fluid (1.5SBF). The extent to which a gel swells is determined by the swelling pressure (π), which can be written:⁶²⁻⁶⁴

$$\pi = \pi_{\text{mix}} + \pi_{\text{ion}} + \pi_e \quad (5)$$

where π_{mix} is osmotic pressure from the dissolution of polymer chains, π_{ion} is the osmotic pressure derived from counterions within the gel and π_e is the elastic pressure derived from the deformation of the polymer network during swelling.⁶²⁻⁶⁴ The term π_e in the above equation is determined by the crosslinking density, where a high degree of crosslinking corresponds to a high elastic pressure opposing swelling. For the gels in this study the crosslinking should be dominated by the interaction between alginate and Ca²⁺.

As expected, it was found that in all investigated swelling media the ESD decreased with increasing concentration of CaCl₂ in the gelation medium (Fig. 3). Regarding the swelling in different media, the swelling was highest in di-water, less in cell culture medium and the least in 1.5SBF (Fig. 3). The observed values of ESD can be explained by the compositions of the different swelling media. In deionized water the contributions of counterions to the swelling is the highest, *i.e.* π_{ion} is large. In contrast for the used α -MEM with a higher and 1.5SBF with the highest ionic strength the difference in ion concentration within the gel and in the swelling media is reduced, *i.e.* π_{ion} decreases. In addition the different compositions of the media could also affect the interaction parameter, which in turn would influence π_{mix} . Furthermore, the α -MEM and 1.5SBF contains divalent ions

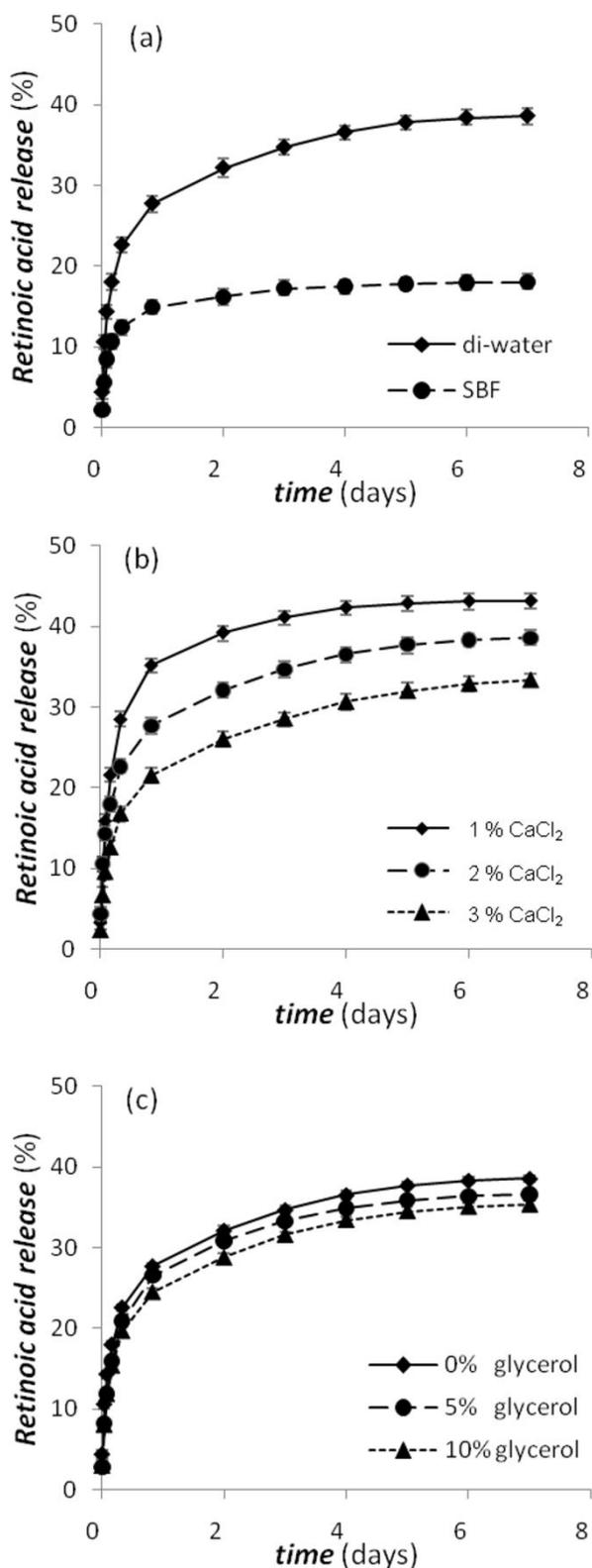


Fig. 4 Release of all-trans retinoic acid encapsulated in CHC nanoparticles loaded in CHC/SAL composite hydrogels with weight ratios CHC/SAL = 1/1.5; (a) in di-water and SBF; (b) in di-water with different concentrations of calcium chloride used in the gelation medium; (c) in di-water with different concentrations of glycerol in the prepared gels. Error bars indicate min/max (n = 3).

which are known to act as crosslinkers in alginate.²⁴ Such ions would increase the opposing elastic pressure π_e in the above equation, leading to reduced swelling. Calcium ions replacing sodium ions of the SAL would also reduce the number of counterions within the gel due to their divalent charge. This phenomenon with polyvalent ions greatly reducing swelling of oppositely charged polymer gels is well described by Katchalsky.⁶⁵ The swelling results show that under conditions relevant for applications, the swelling is moderate, indicating that the gels should maintain good structural integrity and mechanical properties.

In Vitro Drug Release

As a model drug in release studies all-*trans* Retinoic acid was chosen, it is a hydrophobic molecule used in both cancer therapy⁶⁶ and in treatment of dermatological diseases.⁶⁷ As such it is of relevance both for depot implant gels and dermal applications. Release profiles from different preparations of composite hydrogels in di-water and 1.5SBF are shown in Fig. 4. During the one week release study, all investigated samples displayed similar drug release profiles. All samples released only a part of the loaded drug, seemingly reaching a plateau after one week. In Fig. 4a the release data for di-water and 1.5SBF is shown for hydrogels with the composition of weight ratios CHC/SAL/glycerol = 1/1.5/0 prepared by exposure to 2 % w/w CaCl₂. The release of retinoic acid in di-water was much faster than in 1.5SBF solution. After one week, 39 % of the drug had been released in di-water, to be compared with only 18 % in 1.5SBF. The release profiles in di-water for gels prepared using various concentrations of CaCl₂ and glycerol is shown in Fig. 4b-c. The drug release rate increased somewhat with decreasing CaCl₂ concentration in the gelation medium. After one week the released percentage of drug was 43.1 %, from gels prepared using 1 % w/w CaCl₂ gelation medium, 38.5 % using 2 % w/w CaCl₂ and only 33.3% using 3 % w/w CaCl₂ (Fig. 4b). For glycerol there was a slight increase in drug release rate with decreasing glycerol content (Fig. 4c). The results demonstrate that the initial release rate of retinoic acid from the CHC/SAL composite gels is dominated by the release environment, but also affected by gel composition. To investigate the mechanism of the initial release the release data between 0 and about 60 %, as suggested by Ritger and Peppas,⁶⁸ was fitted to the simplified Higuchi equation for systems with the loaded drug in the dissolved state:⁶⁹

$$\frac{M_t}{M_\infty} = 2\left(\frac{Dt}{\pi l^2}\right)^{0.5} \quad (6)$$

Where M_t/M_∞ is the fraction of drug released, here 100 % release of the investigated process is assumed to have occurred after 7 days, D is the drug diffusion coefficient, l is the initial film thickness, and t is the release time. The release profiles all showed good agreement with diffusion controlled (Fickian release) and from Eq. 6 the diffusion coefficients for the different formulations and conditions were approximated, using a gel thickness of 2 mm (Table 4). The calculated D values were of the order $10^{-11} \text{ m}^2\text{s}^{-1}$, which is similar to previously reported diffusion coefficients for dendrimers⁷⁰ and bovine serum albumin⁷¹ in alginate gels. More specific the calculated D values were all rather similar, but with a slight decrease in D for 3 % Ca²⁺ in the gelation medium and a significant increase for release in 1.5SBF

Table 4 Diffusion coefficients ($10^{-11}\text{m}^2\text{s}^{-1}$) and R^2 values for the initial release from samples with different compositions in deionized water or SBF, calculated using Eq. 6.

	Release environment		% Ca ²⁺ in gelation medium			% glycerol in combination solution		
	di-water	SBF	1%	2%	3%	0%	5%	10%
D	2.2	4.1	2.5	2.2	1.5	2.2	1.9	1.9
R ²	0.94	0.98	0.99	0.94	0.99	0.94	0.99	0.97

5 as compared to in di-water.

The partial release of drug and the level off in release after one week, together with the diffusion controlled mechanism of this initial release, indicates that the drug loaded in the composite gels is present in two fractions. (1) Drug loaded in the alginate hydrogel and in the outer shell of the CHC particles, this being the fraction initially released by diffusion through the gel matrix.

(2) Drug loaded in the CHC nanoparticles, the release of this drug fraction would mainly be controlled by the much slower release from the CHC nanoparticles. The fact that the drug is present in the gels as two fractions is logical. The encapsulation efficiency of the used CHC is incomplete, and drug loaded CHC nanoparticles exhibit burst release of a fraction of the drug within one day.^{36, 44, 72, 73} Thus, when the solution with drug loaded CHC was combined with the SAL to form gels, the 15 % of non-loaded drug as well as the fraction released during the initial burst release from the CHC nanoparticles would be freely available for release from the composite gels.

Interestingly, the amount of drug released in this initial process was found to be dependent on gel composition, but even more on the release environment, as seen in Fig. 4. This means that the fraction of drug available for release through the initial faster process actually changes. This fact suggests that the amount of drug available for fast release can not only be attributed to loading efficiency and burst release from the CHC nanoparticles. One likely explanation is that there is a complicated phase behaviour behind the distribution of drug between the phases with fast and slow release, and that this distribution changes with gel composition, swelling and release environment.

The implications of the release characteristics for applications would be that the gels hold potential in long term sustained release, as less than 20 % of the drug is “burst released” in 1.5SBF. However, a simple washing step should be performed prior to injection. For applications where the release should occur over a short time, such as one day, the well defined and reproducible release profiles of up to about 40 % of the loading should also be appealing.

Cytotoxicity evaluation

To further investigate the potential of the CHC/SAL composites in biomedical applications in-vitro cytotoxicity test were performed. Cells were cultured on CHC/SAL gel surfaces and were analyzed using the MTT assay; the results are given in Fig. 5. The cell viabilities were 96 %, 95 %, and 93 % after 24 hours of treatment for pure alginate gels, composite gels and composite gels loaded with retinoic acid at a concentration of 100 $\mu\text{g} / \text{ml}$. After two days incubation, the cell survival ratios had decreased for both the pure and drug loaded gels. However, the values of the survival ratios were still above 88 %. From the results it can

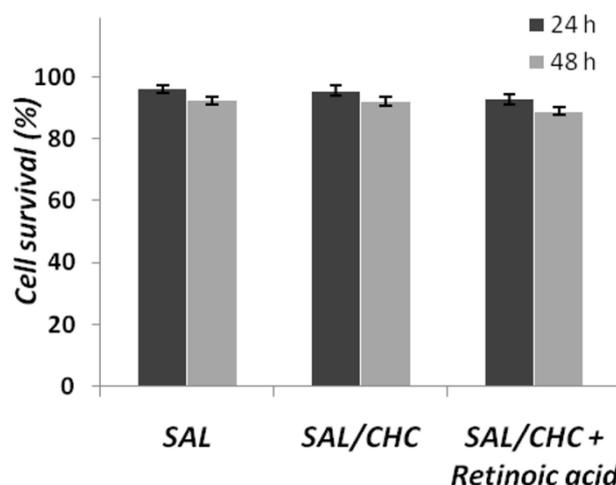


Fig. 5 Human fibroblast survival ratio after incubation for 24 and 48 hours on; SAL, CHC/SAL and retinoic acid loaded CHC/SAL hydrogels. The CHC/SAL gels had the weight ratios CHC/SAL/glycerol = 1/1.5/0 and the pure SAL gels had a concentration of 1.5 % w/w. Samples were prepared by exposure to 2 % w/w CaCl₂ gelation medium. Error bars indicate min/max (n = 8).

60 be concluded that native and drug loaded composite gels exhibited low cytotoxicity, similar to pure alginate gels.

In Vivo Primary Irritation Evaluation

In order to evaluate if the SAL/CHC composite hydrogels caused primary skin irritation, the Draize model was utilized. Gel samples with high and low dose of sodium alginate were investigated using healthy male New Zealand White rabbits. The result revealed that there was no irritation was detected for any of the investigated gel formulations, i.e. the PII values were 0 for composite gels with both low and high dose of SAL. Based on the results of this in vivo investigation, the irritation properties of CHC/SAL composite gels show excellent skin contact properties, holding promises for use in dermal applications.

Conclusions

A stable sodium alginate – amphiphilic chitosan combination solution was successfully prepared and utilized to form a novel dual-structure hybrid hydrogel, composed of nano-structured CHC embedded in an alginate matrix. The characterization of the composite gels with regard to rheological properties, swelling, drug delivery, toxicity and skin irritation revealed that the gels held many material properties making them attractive candidates for biomedical applications, such as; high loading of hydrophobic drug, rapid gelling, shear reversibility, well defined drug release, low toxicity etc. Combined, the properties make the CHC/SAL gels especially good candidates for use as drug delivery platforms in long term injectable depot gels or dermal applications. Future outlook would be to perform long term release studies and evaluate different therapeutic applications *in vivo*.

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