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Article type: Original research

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Data Quality Problems in Discrete Event Simulation of Manufacturing Operations

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Abstract

High quality input data is a necessity for successful Discrete Event Simulation (DES) applications, and there are available methodologies for data collection in DES projects. However, in contrast to standalone projects, using DES as a daily manufacturing engineering tool requires high quality production data to be constantly available. In fact, there has been a major shift in the application of DES in manufacturing from production system design to daily operations, accompanied by a stream of research on automation of input data management and interoperability between data sources and simulation models. Unfortunately, this research stream rest on the assumption that the collected data are already of high quality, and there is a lack of in-depth understanding of simulation data quality problems from a practitioners’ perspective. Therefore, a multiple-case study within the automotive industry was used to provide empirical descriptions of simulation data quality problems; data production processes; and relations between these processes and simulation data quality problems. These empirical descriptions are necessary to extend the present knowledge on data quality in DES in a practical real-world manufacturing context, which is a prerequisite for developing practical solutions for solving data quality problems such as limited accessibility, lack of data on minor stoppages, and data sources not being designed for simulation. Further, the empirical and theoretical knowledge gained throughout the study was used to propose a set of practical guidelines that can support manufacturing companies in improving data quality in DES.

Keywords
Discrete event simulation; data quality; data collection; input data management; manufacturing; maintenance

1. Introduction

Today’s business environment within the automotive industry is extremely competitive. In order to gain a competitive advantage, automotive companies must meet high and rapidly changing customer demands, which requires fast development of flexible, high performance and cost-effective production systems. This in turn, creates a strong need for short lead times in product realization projects and continuous improvements of production efficiency. To meet these challenges, automotive companies utilize various virtual tools and methods for product and production development, for example Discrete Event Simulation (DES) 1.
The possibility to analyze and understand the dynamics of production systems makes DES an effective tool for solving many practical real-world problems in manufacturing. To be successful in using DES, numerous authors have stressed the need to adopt a systematic simulation methodology. There is in fact a general agreement on the appropriate structure for such methodologies. Within simulation projects, the data collection phase has been argued to be particularly time-consuming, and empirical studies have shown that it constitutes around one third of the total project time. Poor data availability is a major reason for long data collection time, and various data collection methodologies have therefore been proposed. A common denominator in all the proposed methodologies, both regarding overall simulation methodologies and data collection methodologies, is that they stem from a project-based approach to simulation. Standalone simulation projects are often run over the course of several months, in which lengthy data collection phases are often acceptable. However, there has been a major shift in the application of DES in manufacturing during the past decade from production system design to daily operations. Today, common application areas are operations and maintenance planning and scheduling, and real-time control is expected to be the next leading area.

Using DES as a daily manufacturing engineering tool on a close to real-time basis completely changes the demands on data collection. Ideally, high quality production data should be available and ready to use in simulation at any given time. This is impossible to achieve by purely relying on project-based data collection methodologies. To meet these new demands, research has focused on areas such as automation of input data
management \[12.13\] and interoperability between data sources and simulation models, e.g. Core Manufacturing Simulation Data (CMSD) \[14.15\]. In fact, the four possible ways of processing and storing data to be used in simulation are thoroughly explained by Robertson and Perera \[7\], and Skoogh, Perera \[16\] observed an increase in the number of industrial examples of automated input data management during the past decade. For an overview of input data management research, see the review in \[17\].

However, research in automation of input data and simulation interoperability also face limitations. Specifically, they start from the point where necessary data have been collected, identified, and located. As such, they rest on the assumption that the collected data are already of high quality. Input data management to DES is a multi-faceted problem that also includes a number of inherent issues in the data collection process. Numerous authors have mentioned such issues and highlighted problems along several simulation data quality dimensions, e.g. accuracy, timeliness, and reputation \[16.18\], and some studies have described problems along these dimensions such as missing data, limited access to data sources and low quality of collected data \[19\]. However, there is still a lack of empirical studies describing these simulation data quality problems from a practitioners’ perspective. Although it has been acknowledged that research on data quality in simulation relates to the broader context of data quality in information systems \[20\], studies within the domain-specific simulation realm usually fail to describe the connection between simulation data quality problems and an organization’s overall process for generating, storing, and using data. There is an extensive body of literature on data quality in information systems that have received little attention in simulation
publications, e.g. 21-23. Similarly, several publications have focused on improving the quality of maintenance data, e.g. 24, 25, which is not only a fundamental input to manufacturing simulation when modelling variation in machine breakdowns, but a necessity for using DES in maintenance scheduling 2.

Therefore, the aim of this paper is to contribute to improved data quality in DES within the manufacturing industry. Specifically, this study contributes with empirical descriptions that extend the present knowledge on data quality in DES in a practical real-world manufacturing context, which is a prerequisite for developing practical solutions for solving data quality problems. The empirical descriptions cover simulation data quality problems from a practitioners’ perspective; the organizations’ overall process for generating, storing, and using data; and relationships between this overall process and simulation data quality problems. This is achieved through a multiple-case study within the automotive industry. From the empirical and theoretical knowledge gained throughout the study, this paper also contributes with a set of practical guidelines that can support manufacturing companies in improving data quality in DES.

2. Previous literature on data quality

Important factors for improving simulation data quality are presented in this section, with a particular focus on theory covering simulation data validation; data quality dimensions; and roles, responsibilities, and relationships within an organization’s process for generating, storing and using data.

2.1 Data quality dimensions
A key factor in any simulation application is working in an organized manner. However, even the most well performed simulation studies can be rejected if one fails to achieve acceptability of the results. To improve acceptability, Balci 26 suggests striving for high credibility of the simulation results by relying on a hierarchy of credibility assessment stages. Within these stages, data validation is included. Sargent 27 defines simulation data validity as “ensuring that the data necessary for model building, model evaluation and testing, and conducting the model experiments to solve the problem, are adequate and correct”. Therefore, any validation activity requires a structured methodology 18, and several authors have suggested ways to perform organized data validation, e.g. 26, 28. A practical approach is face validation through collaboration with process experts 11. Validation and verification of input data can also be partly automated 9, e.g. by using the GDM-Tool 12. However, Sargent 27 argues that unfortunately, there is not much that can be done to determine whether data are correct. Nonetheless, Balci, Ormsby 18 suggests that in order to achieve credibility of data, it is critical to assess 11 data quality dimensions: Accessibility, Accuracy, Clarity, Completeness, Consistency, Currency, Precision, Relevance, Resolution, Reputation, and Traceability. These dimensions can be seen as guiding criteria to achieve high quality simulation data.

The data quality area provides an extensive body of literature on data quality in information systems. However, this literature has received little attention in simulation publications, despite several areas of common ground. For example, data quality literature explains how successful data validation requires knowledge of underlying data structures, especially when data are collected without involvement from users 21. This
dilemma has been described in simulation: simulation is often ignored in the specification of the collection system and databases, resulting in simulation analysts needing to invest time on learning and understanding all data sources. Further, simulation literature has recognized a broad spectrum of data quality dimensions that also exists in the data quality literature. In fact, a plethora of data quality dimensions has been proposed, resulting in a lack of consensus as to which set of dimensions defines data quality, and the exact meaning of each dimension. Scannapieco and Catarci studied which dimensions received most attention and proposed to reduce these into four basic sets: accuracy, completeness, consistency and timeliness. Wang takes a broader perspective and presents four overall categories: intrinsic, accessibility, contextual, and representational data quality. Nevertheless, the generally adopted criterion is that high quality data are “fit for use”. This definition largely concurs with Sargent’s view on valid simulation data as “adequate and correct”. Further, a data quality problem is generally defined as a difficulty encountered along quality dimensions that render data completely or mostly unfit for use. In this study, we relax this definition and define simulation data quality problems as difficulties encountered along data quality dimensions that aggravate the input data management procedure.

2.2 Relativity of data quality

The data quality area has recognized a particularly challenging aspect of data quality: relativity - what can be considered good data for one user might not be sufficient for another. Several related perspectives on this relativity are available. For example, data quality cannot be assessed independently of the users because users evaluate data
quality in relation to their specific tasks\textsuperscript{31}. Further, the same data could be needed at any
time for multiple tasks with different and ever-changing quality requirements, which
makes achieving high quality data like tracking an ever-moving target. Therefore, solving
data quality problems requires continuous consideration of the entire range of concerns
present among all users, and achieving high quality data goes beyond good data
requirement specifications. Instead, there is a need for flexible data collection systems
with data that can be easily aggregated and manipulated for a wide variety of users\textsuperscript{31}.

This relativity has been touched upon in simulation. Not all simulation data require high
accuracy and validity\textsuperscript{11}, and simulation data always need to be evaluated in relation to
objectives\textsuperscript{26, 32}. Randell\textsuperscript{33} realized the importance of flexible data collection systems to
meet the requirements of simulation. He argues that data should be useful for a variety of
activities and therefore proposes a generic framework that describes the appropriate data
structure. Similarly, in the case of long-life cycle simulation models, data integrity needs
to be checked continuously\textsuperscript{6}, and if regular updates of data are needed, a suitable process
should be in place or prepared\textsuperscript{28}.

2.2 Roles, responsibilities, and relationships in data production
processes

Knowledge and experience are essential in simulation and every simulation project team
should include designated roles and responsibilities in order to avoid project failure, e.g.
leadership, client, modelling, system experts, data providers etc.\textsuperscript{3, 26}. But in contrast to
literature regarding simulation projects, none of the articles proposing data collection methodologies deliberately explain specific roles or responsibilities, e.g. ⁹, ¹¹.

Again, answers can be found within the data quality literature. Within this literature, there exists one fundamental process for generating, storing and using data: the data production process. This process involves different roles and responsibilities with one common goal: producing high quality data. There are three primary roles: data producers (who generate data), data custodians (who store data), and data consumers (who use data). Consequently, these three roles have their distinctive responsibilities: data producers are responsible for generating data; data custodians for storing, maintaining, and ensuring security of data; and data consumers for using data ²³, ³⁴. Without well-established roles and responsibilities, numerous issues can arise, e.g. between data custodians and data consumers. From their own perspective, data custodian’s build systems that meet the requirements of the consumers, then leaving the responsibility of data quality to the consumers. Consumers on the other hand have felt responsible for data quality in systems they did not understand, or which was difficult to correct appropriately. In fact, all three roles are mutually dependent on each other. For example, data quality is dependent on the design of the data production process, but the designers do not control the actual use of the data. Because data quality is a function of its use, improving data quality also implies improving how it is used ³⁵.

However, establishing these three roles and responsibilities is not enough. There must be properly functioning relationships between them, a topic studied by Lee and Strong ³⁴.
They investigated how the three modes of knowledge (what, how, why) held by different roles (producers, custodians, consumers) impact data quality dimensions. Through exploratory factor analysis, they show that as a whole knowledge of the data production process across the different roles is associated with higher data quality. This supports the benefits of cross-functional knowledge being used to achieve high data quality, and the three different roles must therefore demonstrate inter-disciplinary collaboration. However, Lee and Strong \(^{34}\) were particularly interested in knowing-why: contextual knowledge about why data are generated, stored and used within an organization. In fact, they propose that data producers’ knowing-why is the most critical prerequisite for high data quality across the entire data production process. Data producers should understand the needs of data consumers and generate accurate and complete data to be stored by data custodians. In fact, data producers’ knowing-why is more associated with high data quality than are data consumers. Instead, data consumers’ knowing-why is closely associated with data relevance, i.e. only they know whether the data are relevant. Interestingly, data custodians’ knowing-why is not highly associated with producing high quality data. Lee and Strong \(^{34}\) thus conclude that the key role is held by the data producers, because they can serve as intermediaries between custodians and consumers. Therefore, the importance of knowing-why in data producers should be recognized and exploited in organizations.

2.3 Difference between simulation data collection and data production processes
Throughout this study, we observed a difference in mind-set between simulation literature and general data quality literature. State of the art simulation literature (e.g. on automated input data and CMSD) refers to simulation *data collection* as a process of collecting data that have already been produced. As such, simulation data collection is a passive action that rests on the assumption that the collected data are already of high quality. In contrast, data quality literature refers to *data production* as a systematic process that involves inter-disciplinary roles, responsibilities and relationships that actively pursue a common goal: producing high quality data. Adhering to this observation, the remaining part of this paper refers to generating, storing and using data as the data production process, in which simulation data collection is a subset. The empirical data and subsequent findings are built upon the distinction between the two research domains.

3. Methodology

An embedded multiple-case study design was adopted 36. Six empirical cases were studied within two of Sweden’s largest automotive manufacturers, based on a literal replication logic guided by the theoretical framework (section 2). This allows for theory to be confirmed, extended, and sharpened across cases 36, 37. In the first case study (referred to as company A) three completed simulation cases in concurrent engineering projects were studied on one production site, referred to as E1, E2, E3. In the second case study (referred to as company B), simulation cases were studied on three sites: a R&D
center (site 1); a cab and vehicle assembly plant (site 2); and an engine plant (site 3), referred to as E4, E5, E6. The empirical data formed descriptions of simulation data quality problems and data production processes. A schematic illustration of the empirical research design is provided in figure 1.

[INSERT FIGURE 1]

Figure 1: Illustration of empirical research design.

3.1 Empirical data collection

Three sources of empirical evidence were used: semi-structured interviews, direct observations, and reviews of archival records. A total of 10 interviews were conducted with simulation analysts, maintenance engineers, automation engineers and information system managers. Interviews followed interview templates developed from theory, lasted between 45-120 minutes, were audio recorded and transcribed within 24 hours. The templates were used consistently with all simulation analysts (E1-E6), but included minor alterations for subsequent interviews so as to align with the specific context of each empirical case. Observations consisted of walkthroughs with the simulation analysts, were supported with field notes and focused on describing input data management procedures. Archival records included production data from monitoring systems and data production guideline documents (e.g. cycle-time definitions and data validation procedures).
In company A, interviews were first held with a simulation analyst (E1, E2, E3). Thereafter, interviews were conducted with three maintenance engineers; one responsible for the latest implemented production monitoring system; one working with strategies for generating production data; one laser equipment expert responsible for all laser equipment in the body shop. Although it could be thought four interviewees represent a rather limited spectrum, the participants represented the four key persons involved in the data production process at the site. One limitation in this case is the lack of interviews with information system managers (i.e. IT department), and this might have contributed to a limited understanding of the roles and responsibilities of data custodians. The following three empirical cases were investigated:

**E1** Determine the standalone throughput of a specific production line by verifying its availability.

**E2** Evaluate the performance of a new laser station by estimating technical availability of all components that affect throughput.

**E3** Determine the buffer size between the new laser station and the subsequent arc weld station to ensure sufficient throughput.

In company B, the three empirical cases were geographically dispersed. First, an interview was conducted on site 1 with an R&D engineer working as a global simulation analyst (E4). Thereafter, interviews were conducted on site 2 with a simulation analyst and an automation engineer responsible for the production monitoring system (E5). Finally, interviews were conducted on site 3 with a simulation analyst, an automation engineering responsible for installation and validation of the production monitoring
system, and an information system manager globally responsible for the production monitoring system used within company B (E6). The following three empirical cases were investigated:

**E4** Evaluate different production strategies, e.g. buffers, personnel, and bottlenecks in a model of the complete factory.

**E5** Quantify waiting times and experiment with alternative distribution logics in the paint distribution system.

**E6** Identify waiting times, bottlenecks and capacity losses, and experiment with new logics for prioritization in a flow of material handling pallets.

### 3.2 Data analysis and presentation of findings

The three sources of empirical data were triangulated by developing converging lines of enquiry 36, which allows for stronger confirmation of constructs and hypotheses 37. Within-case analyses were conducted first to provide separate descriptions of the six cases 37. An analytical strategy of relying on theoretical propositions was adopted, which is a way of letting available theory guide the analysis and focus on the most significant parts of the study 36. First, theory on data quality dimensions (section 2.1) was used to code the empirical data on data quality problems in simulation. Second, theory on roles, responsibilities, and relationships (section 2.2) guided the analysis of data production processes. The empirical data were analyzed using analysis software Nvivo11, which enables a chain of evidence to be maintained by linking all empirical data to the theoretical propositions that served as the basis for the aim and design of the study 36.
This analytical strategy allowed for a consistent presentation of within-case data. For the descriptions of simulation data quality problems (section 4.1), Balci et al.’s 18 eleven simulation data quality dimensions were merged with Wang’s 22 four overall data quality categories. This provides common ground on quality dimensions between the two research domains. In line with the interpretation of a data quality problem adopted in this study (see section 2.1), the empirical data on simulation data quality problems in each case study are presented within its corresponding data quality dimension. For the description of data production processes (section 4.2), the empirical data for each case study are presented in relation to the roles, responsibilities, and relationships proposed in theory (section 2.2). To facilitate a focus on the most significant aspects of the study, we concentrated on presenting 10 key characteristics of these processes 36.

After within-case analyses, cross-case analyses were conducted. This can break simplistic frames, lead to more sophisticated understanding and increase the probability of capturing novel findings 37. A tactic of identifying similarities and differences between cases 37 was adopted for both simulation data quality problems (section 4.1) and data production processes (section 4.2). Finally, from the empirical and theoretical knowledge gained throughout the study, a set of practical guidelines are presented that can support manufacturing companies in improving data quality in DES.
3.3 Generalizability of case study results

A misleading misconception is that one cannot generalize the findings from single case studies \(^{38}\), and a common argument is that multiple-case studies yield more generalizable findings \(^{36}\). However, any form of case study (single or multiple) support scientific development via generalization by acting as supplement or alternatives to other methods. The collective use of methods for both breadth and depth are necessary for sound scientific development in any field \(^{38}\). Therefore, case studies are necessary for specific research tasks where the problem is one of depth, such as using DES as a daily engineering tool in a practical real-world manufacturing context. Instead of relying on the number of cases, the decisive factor for generalizability is strategic selection of cases \(^{38}\). This study identified and selected six cases that allowed for the current knowledge on data quality in DES to be extended from a practical real-world perspective, which is a prerequisite for developing practical solutions for solving data quality problems.
4. Results

Based on the interviews, direct observations and review of archival records in regard to the six empirical cases within company A and B, within-case descriptions of simulation data quality problems and data collection processes as well as cross-case similarities and differences are presented in this section. The presentation follows the analytical strategy explained in section 3.2.

4.1 Simulation data quality problems

Simulation data quality problems in regard to E1, E2, and E3 (company A) are presented in table 1.

<table>
<thead>
<tr>
<th>Data quality dimensions (n = 11)</th>
<th>Data quality categories (n = 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1: Accuracy</td>
<td>Category 1: Intrinsic data quality</td>
</tr>
<tr>
<td>2: Reputation</td>
<td>Source errors are prevalent, e.g. faulty PLC signals and failure signals that are not being recorded. Dependencies between equipment, e.g. safety zones and robots working in several stations, make it difficult to collect accurate cycle times for individual resources</td>
</tr>
<tr>
<td>3: Accessibility</td>
<td>Input data are largely questioned by project leadership due to assumptions and estimations in manual correction and calculation phases. Diverging views of disturbance patterns in data vs. experience, render a distrust in the data. Simulation analyst is well aware of data quality issues and does not trust raw data</td>
</tr>
<tr>
<td>4: Currency</td>
<td>Category 2: Accessibility data quality</td>
</tr>
<tr>
<td>5: Completeness</td>
<td>Access to raw data logs is limited for simulation analysts, and long lead times are prevalent when ordering extensive data history. Access to availability data for new equipment is limited from vendors.</td>
</tr>
<tr>
<td></td>
<td>Category 3: Contextual data quality</td>
</tr>
<tr>
<td></td>
<td>Changes in production are not visible in the data sources, making it difficult to assess the representativeness of data. Disturbance data are automatically filtered, e.g. removing minor stoppages of less than 1 minute. Complete stop type categories are missing in filtered data. Lack of commenting and cause code classification aggravates data correction. Design-related disturbances are not distinguishable in the data; e.g. welding scratch starts causing cycle time variations.</td>
</tr>
</tbody>
</table>
Disturbance data is not suitable for simulation purposes and requires extensive manual transformation to be useful.
Availability figures from vendors only include mean values, not distributions.
Large variety exists in the level of detail of disturbance data (e.g. line, station, equipment, component level), and not always aligns with simulation needs.

Raw disturbance logs are not designed to be understandable for data consumers.
Inconsistent disturbance classifications and interpretations cause ambiguousness, e.g. when determining what disturbances affect technical availability, or distinguishing between stopping and non-stopping disturbances.
Variety in cause codes and stop type categories between production areas.
Spelling mistakes in manual data logs aggravate data correction

The data in table 1 illustrate a wide variety of simulation data quality problems. A total of 19 data quality problems are found, located within all four categories and along 9 out of 11 quality dimensions. There is great variety in the nature of these problems, including technical PLC issues (accuracy), data filtering processes (completeness) and organizational mistrust (reputation). To overcome these problems, the simulation analyst is obliged to consult various experts within the organization. For example, accessibility and completeness problems are solved together with the maintenance department (e.g. extracting more extensive data logs), clarity problems are solved together with equipment experts (e.g. manually analyzing disturbance classifications), and reputation problems are solved together with project leaders and equipment experts (e.g. rework of data transformation). The most common effects of these data quality problems are increased lead-time of input data management and lack of credibility in simulation results.
Simulation data quality problems in regard to E4, E5, and E6 (company B) are presented in table 2.

Table 2. Data quality problems in E4, E5, E6:

<table>
<thead>
<tr>
<th>Data quality dimensions (n = 11)</th>
<th>Data quality categories (n = 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Category 1: Intrinsic data quality</td>
<td>Source errors are prevalent, e.g. poor signal quality and faulty PLC alarms.</td>
</tr>
<tr>
<td></td>
<td>Dependencies between equipment, e.g. safety zones and robots working in several stations, as well as variation in manual assembly processes, make it difficult to collect accurate cycle times for simulation.</td>
</tr>
<tr>
<td></td>
<td>Accuracy of manually collected disturbance data is limited to the operators’ level of detail, and many events are missing in the data.</td>
</tr>
<tr>
<td></td>
<td>Data reputation varies between sites and production areas, where automated data collection has a higher reputation than manual data collection.</td>
</tr>
<tr>
<td></td>
<td>Low data reputation is often connected with low levels of awareness and understanding of the data collection process (e.g. PLC logic and cycle time definitions).</td>
</tr>
<tr>
<td>Category 2: Accessibility data quality</td>
<td>Large amounts of equipment do not have automated data collection, and valuable input data parameters for simulation are not recorded in production.</td>
</tr>
<tr>
<td></td>
<td>Access to raw data logs is limited for simulation analysts, and long lead times are prevalent both when simulation analysts are extracting data, and when data providers are delivering data.</td>
</tr>
<tr>
<td>Category 3: Contextual data quality</td>
<td>Changes in equipment are difficult to track and not visible in the data sources, making it difficult to assess the representativeness of data.</td>
</tr>
<tr>
<td></td>
<td>The number of available data points limits model detail.</td>
</tr>
<tr>
<td></td>
<td>Whilst maintenance personnel record breakdowns, chronic disturbances (e.g. minor stoppages or short quality controls and inspections) are not always collected.</td>
</tr>
<tr>
<td>Category 4: Representational data quality</td>
<td>Data sources are not designed for simulation purposes, and often require extensive manual transformation to be relevant.</td>
</tr>
<tr>
<td></td>
<td>Level of detail in data does not always correspond with the needs of simulation, e.g. using aggregated availability or OEE figures for individual resources.</td>
</tr>
<tr>
<td>Category 5: Traceability</td>
<td>In order to understand the data, large efforts are required if the simulation analyst is to understand PLC-logic, process control, signal specification etc. underlying the data set.</td>
</tr>
<tr>
<td></td>
<td>Production flow cannot be understood solely from the data structure.</td>
</tr>
</tbody>
</table>

Table 2 describes a total of 14 data quality problems, located in all four categories and along 8 out of 11 quality dimensions. Similar to table 1, the problems are diverse: poor
signal quality (accuracy), lack of minor data on minor stoppages (completeness), and insufficient level of detail in data (resolution). To overcome these problems, the simulation analysts need to consult various experts within the organization, e.g. production and maintenance engineers to understand the flow and PLC logic (clarity), IT engineers to extract data (accessibility), or production managers to collect aggregated data (resolution). The most common effects of these data quality problems are increased lead-time of input data management and limitations to model complexity.

Table 3. Cross-case analysis of simulation data quality problems (E1-E6)

<table>
<thead>
<tr>
<th>Similarities:</th>
<th>Differences:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Input data management procedures are time-consuming and predominantly manual.</td>
<td>Simulation input data are to a greater extent questioned in company A as compared to company B (i.e. difference in data reputation).</td>
</tr>
<tr>
<td>Simulation analysts need to consult various experts within the organization to resolve data quality problems.</td>
<td>Evidence of consistency problems are found in company A, but not in company B.</td>
</tr>
<tr>
<td>Source errors (e.g. faulty PLC signals) are prevalent.</td>
<td>Level of detail in data is higher in company A (component level in new monitoring systems) compared to company B (often aggregated availability or OEE data).</td>
</tr>
<tr>
<td>Dependencies between equipment (e.g. safety zones) influence cycle time data.</td>
<td></td>
</tr>
<tr>
<td>Accessibility is limited for simulation analysts, resulting in long-lead times for data collection.</td>
<td></td>
</tr>
<tr>
<td>Changes in production are difficult to track and not visible in the data.</td>
<td></td>
</tr>
<tr>
<td>Data on minor stoppages are often lacking.</td>
<td></td>
</tr>
<tr>
<td>Data sources are not designed for simulation purposes and require extensive data transformation.</td>
<td></td>
</tr>
<tr>
<td>Data resolution is not always in line with simulation requirements.</td>
<td></td>
</tr>
<tr>
<td>Considerable efforts are required for the simulation analyst to understand the logic of data sources and the data structure.</td>
<td></td>
</tr>
<tr>
<td>No evidence for precision or traceability problems.</td>
<td></td>
</tr>
</tbody>
</table>

The cross-case analysis (table 3) shows a higher proportion of similarities between the two cases. In particular, simulation practitioners in both case companies experience several data quality problems in a similar way, e.g. limited accessibility, lack of minor stoppages data and data sources not being designed for simulation purposes. The largest difference between the two case studies is found in regard to data reputation, where simulation input data are to a greater extent questioned at company A compared to
company B. Further, the wide variety of problems in table 1 and table 2 implies that simulation data quality is a multi-faceted topic that involves both hard (technological) and soft (organizational) issues.
4.2 Data production processes

The data collection processes at company A and B are illustrated in this section, including descriptions of roles, responsibilities, and relationships. The data production process at company A is illustrated in figure 2, and the 10 most notable characteristics of the process are described in table 4.

Table 4. 10 key characteristics of the data production process in company A.

<table>
<thead>
<tr>
<th>Characteristic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Poor communication between maintenance department and data consumers.</td>
</tr>
<tr>
<td>Lack of structured process for collecting data requirements.</td>
</tr>
<tr>
<td>Lack of resources for data validation.</td>
</tr>
<tr>
<td>Low involvement of simulation analysts in data requirements for simulation.</td>
</tr>
<tr>
<td>Lack of involvement from shop-floor personnel, despite holding the responsibility for generating data.</td>
</tr>
<tr>
<td>No education on information systems for data consumers.</td>
</tr>
<tr>
<td>Lack of time and resources for collaboration between custodians, producers and consumers.</td>
</tr>
<tr>
<td>Data consumers have difficulty in expressing data quality requirements.</td>
</tr>
<tr>
<td>User meetings have been previously prevalent and there is a wish to establish new user councils.</td>
</tr>
<tr>
<td>Equipment experts hold the knowledge on how to measure specific equipment (e.g. cycle times and disturbances).</td>
</tr>
</tbody>
</table>

Figure 2 shows a schematic illustration of the data production process in company A, including the current input data management procedures. The empirical data reveals the existing roles, responsibilities and relationships. The role of data custodians is primary held by the IT department, who are responsible for all factory IT. The central maintenance department also holds custodian responsibilities, e.g. in regard to servers, but acts primarily as a data producer responsible for collecting data requirements from users and communicating these with IT. Operative production personnel hold the end responsibility for generating data for the monitoring systems. Data consumers exist...
within the entire organization and include operators, maintenance and manufacturing engineers, simulation analysts etc. All information systems have various levels of user responsibilities (e.g. key users, super users, and local users).

Considering the size of the organization in company A, it is not surprising that there exist gaps, overlaps and ambiguity in the roles and responsibilities of data production. Moreover, the data in table 4 describes various issues in the relationship between the three roles. In particular, the relationship between data producers (maintenance department) and data consumers (shop-floor personnel, simulation analysts etc.) is characterized by lack of mutual involvement and poor communication (dashed lines in figure 2). This is aggravated by the lack of structured processes, time and resources for e.g. data requirement specifications, data validation and education in IT systems. In sum, a substantial improvement potential can be found for the data production process in company A. However, it is important to note that a majority of the problematic characteristics in table 4 refers to a newly implemented monitoring system that faces many teething problems. In particular, the lack of strategies for implementing such systems can probably explain several of these characteristics.

The data production process in company B is illustrated in figure 3 and the 10 most notable characteristics of the process are described in table 5.

[INSERT FIGURE 3]

Figure 3. Data production process in company B.
Table 5. 10 key characteristics of the data production process in company B.

Data production has been problematic for a long time, but is now entering a new phase with many changes for the better.
Global network of custodians and producers that holds regular meetings to discuss and communicate system improvements.
Digital platform to manage implementation projects, collect data requirements and report data quality problems (site 3).
Continuous updates and improvements of monitoring systems.
Local users responsible for reporting feedback, requirements and issues.
New, standardized signal specifications in all equipment to ensure consistency in data structures (site 2).
Low involvement of simulation analyst in data requirement for simulation due to lack of experience (site 2).
Emphasis on education within the user groups to increase trust in data (site 3).
Recently established data validation process that includes custodians, producers and consumers, where operative personnel (consumers) are responsible for signal specification (site 3).
High involvement from simulation analyst in data requirements for simulation (e.g. flexible data collection and automatic filtering of simulation-relevant data) (site 3).
Large variety in data requirements makes it difficult for data custodians to prioritize between system improvements.

Figure 3 illustrates data production within company B in regard to the globally used monitoring system. The system has been in use for over a decade, accumulated long experience from use and gone through several iterations of upgrades and improvements. The roles, responsibilities and relationships within the data production process can be described with the empirical data. The IT-department acts as data custodians and holds pure IT responsibilities (e.g. IT-architecture, system installations and communication with vendors). On site 2, data producers primarily consist of automation engineers responsible for signal specification, PLC programming, data validation and communicating with users in regard to data requirements. On site 3, the role of data producers is held by the centralized organization for process monitoring systems, who are responsible for installation and implementation of monitoring equipment, PLC resources, data validation and communicating with users in regard to data requirements. At both plants, data consumers are organized in local user groups at various levels (i.e. local users and user councils for different production areas). Data consumers consist primarily of shop-floor personnel and production and maintenance engineers, who are primarily responsible for communicating feedback, data requirements and reporting data issues.
The relationships between roles are also described by the empirical data (figure 3 and table 5). Data custodians and producers are closely connected in a global network organization run by the IT department that holds regular meetings to discuss and communicate system improvements. On site 3, this network is further supported by a digital platform to manage implementation projects, collect data requirements and report data quality problems. On site 2, data producers hold regular meetings with users to collect requirements and communicate changes and updates to the information systems. On site 3, data producers offer education to data consumers in regard to knowledge of the data production process. Particular notice should be paid to the formal data validation process at site 3, which spans across all roles and builds upon the decentralization of signal specification to the users (e.g. cycle time and stop time definitions). In sum, company B have faced many issues with data production in the past, but recently invested large time and effort in improving their data production processes. Although a large improvement potential still exists, recent changes to existing installations (e.g. standardized signal structures in site 2) and updated procedures for new installations (e.g. data validation in site 3) hold great promise for achieving high quality production data in the future.
Table 6. Cross-case analysis of data production processes (company A and B).

<table>
<thead>
<tr>
<th>Similarities:</th>
<th>Differences:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Largely similar roles and responsibilities for data custodians, producers and consumers.</td>
<td>The study in company A revolves primarily around a newly implemented monitoring system, whilst the study in company B revolves around a global system that has been used for over a decade.</td>
</tr>
<tr>
<td>Advanced automated data collection systems where simulation data are primarily extracted from databases.</td>
<td>Clearly established organizational structures for data production in company B.</td>
</tr>
<tr>
<td>Wide variety of users within the organization (with different data requirements).</td>
<td>Continuous meetings between data custodians and data producers on data requirements and system updates.</td>
</tr>
<tr>
<td>Low involvement from simulation analysts in communicating data requirements for simulation (except E6).</td>
<td>Closer collaboration between data producers and data consumers in company B compared to company A.</td>
</tr>
</tbody>
</table>

The cross-case analysis (table 6) shows that largely the same types of roles and responsibilities exist in the data production processes in company A and B. However, as a whole, the empirical data indicates that company B has come further in developing a data production process capable of producing high quality data. In particular, roles and responsibilities are clearly described in organizational structures, strategies exist for data validation and education is prevalent. Moreover, several of the differences between the two case companies revolve around communication, collaboration and involvement from all three roles.

A difference between the two case studies is the life-span of the monitoring systems. The study in company A revolves around a newly implemented system, where many of the issues in the data production process (table 4) are teething problems that can probably be explained by the lack of implementation strategies. In contrast, the study in company B revolves around a global monitoring system that has been strategically used for over a decade, where the recent changes and improvements build upon long accumulation of
experience from use. Nevertheless, the two case studies provide an understanding of the differences between data production processes: those that are facing many problems (company A) and those that exhibit many promising features (company B).

5. Discussion

This study contributes with empirical descriptions of simulation data quality problems as well as data production processes and its relation to simulation data quality problems. Empirical descriptions of 33 simulation data quality problems are provided along 9 out of 11 simulation data quality dimensions (table 1 and 2) \(^{18, 22}\). These descriptions depict a wide variety of quality problems, which implies that simulation data quality is a multifaceted topic that involves both hard (technological) and soft (organizational) challenges. In addition, simulation practitioners interpret several data quality problems in a similar fashion (table 3). These findings act as support to several previous studies within simulation by providing a more in-depth understanding of data quality in DES. For example, the problems with data accessibility fortifies the fact that data collection is a particularly time-consuming activity for simulation analysts \(^{6-8, 20}\); the problems with data clarity supports Skoogh and Johansson’s \(^{8}\) findings of the high requirements on learning and understanding data sources; and the relevancy problems, which result in extensive manual data transformation, illustrate the value of both automated input data management and CMSD \(^{9, 12-16}\).

The study also provides an understanding on the relativity of data quality, i.e. good data for one user might not be sufficient for another \(^{29, 31}\). For example, data on minor
stoppages are often missing since these stoppages are not the primary concern of maintenance engineers (table 3), but such data are crucial to simulation analysts in order to model variation in machine breakdowns. Similarly, data resolutions fulfil the requirements of production and maintenance engineers (e.g. aggregated OEE figures) but do not align with the requirements of simulation (table 1 and 2). Further, data custodians have difficulties in prioritizing between system updates due to large variety in data requirements (table 5). These findings provide additional support for the need to develop generic data structures and flexible information systems that are useful for a variety of activities, including simulation 31, 33.

The existence of a wide variety of simulation data quality problems illustrates the major challenge of simulation data validation. It has been proposed in literature that validation of simulation data should be a structured process 18, 26, 28. However, successful data validation requires knowledge of the underlying data structures, especially when data are collected without involving the user 21. This study describes how simulation practitioners in manufacturing companies have difficulty in understanding data structures (table 3), are rarely involved in the overall data production process (table 6) and primarily rely on data validation through face validation with process experts 11. Within this context, it is indeed true that little can be done to determine whether the data are adequate and correct for simulation 27. Therefore, simulation data validation cannot be a stand-alone activity separated from the organization’s overall data production process.
Roles and responsibilities within the studies data production processes are largely similar (figure 2 and 3, table 6), i.e. production, maintenance and automation engineers are data producers that generate data, IT engineers are data custodians that store data, and simulation analysts are data consumers that use data\textsuperscript{23, 34}. However, the context of the six cases (especially the life-span of the monitoring systems) resulted in a tendency showing the empirical descriptions of the data production process in company A to be predominantly negative, whilst the descriptions in company B are predominantly positive. Awareness of this polarity (evident in the cross-case differences in table 6) enables the results of this study to be used as tentative guidance for further understanding of how simulation data quality problems are associated with both weaknesses and strengths within an organization’s data production process.

In the general sense, company A lacks a well-established and structured data production process, which manifests itself through a number of hurdles having to be surmounted in order to achieve high quality data: poor communication and collaboration, lack of time, resources and clear strategies and failure in utilizing existing knowledge within the organization (table 4). In such an organization, it is hardly surprising to find simulation data quality problems along dimensions such as reputation, accessibility and relevance (table 1). A specific example is the relevance problems that are likely to be associated with the lack of involvement from the simulation analyst\textsuperscript{34}.

In contrast, the data production processes in company B exhibit a number of features that have, in theory, been proposed as effective for achieving high quality data. For example,
education within the user groups (E6, table 5) increases knowing-why in both data producers and data consumers, which is, according to Lee and Strong, associated with higher data quality. Similarly, the continuous meetings between producers and consumers (E5 and E6) as well as the digital platform for feedback, data requirements and problem reporting (E6) are likely to support data producers in at least two ways: understanding the needs of data consumers and understanding how data are used, which is valuable for improving the design of data production. Furthermore, the interviewed data producers in E6 experience that better knowledge of the data production process amongst data consumers is associated with higher levels of data reputation (table 5). Finally, the data validation process in E6, which rest upon decentralization of responsibilities to data consumers, is a particularly promising feature. In sum, these examples illustrate that data production processes which exhibiting well-established roles and responsibilities, cross-functional knowledge and inter-disciplinary collaboration, are likely to be associated with higher levels of simulation data quality. Naturally, we propose that future research should invest in studying these associations causally in order to develop methodologies that proactively prevent simulation data quality problems.

Lee and Strong proposed that data producers hold a key role, and the empirics in this study elaborates on this proposition. For example, it is evident that the maintenance department in company A plays the role of intermediary between data custodians and data consumers, and that many of their issues and challenges have an impact on data quality. Moreover, since the empirical descriptions in this study largely revolve around breakdown input data, maintenance engineers involved in data production can indeed be
perceived as having a key role that should be recognized and exploited in manufacturing companies. Especially since the use of DES for maintenance scheduling is increasing ². Therefore, researchers interested in simulation data quality within the manufacturing industry can benefit from relating their work to research into quality of maintenance data, e.g. ²⁴, ²⁵.

Throughout this study, we observed another role of particular importance to simulation data quality: the simulation analyst. From general data quality theory, it is known that data quality cannot be assessed independently of data consumers ²⁹, ³¹, ³⁴ and involvement from data consumers is necessary for data validation ²¹. However, this study provides a deeper view on a situation where simulation analysts are, in most cases, not actively involved in the data production process. This observed passivity of simulation analysts relates to the difference in mind-set between data collection in simulation literature and data production in data quality literature (section 2.3). We believe this difference in mind-set is not a matter of semantics. Instead, the existence of simulation data quality problems across various dimensions may very well be a result of this passive attitude towards simulation data collection. Therefore, we pledge not only extended methodologies, but also a prevailing mind-set within simulation not to passively collect data, but to actively participate in producing data.

5.2 Practical guidelines for improving data quality in DES

In this study, we define simulation data quality problems as a problem along data quality dimensions that aggravate the input data management procedure. The most common type
of aggravation from the simulation data quality problems in table 1 and 2 is increased lead-time. This time is incompatible with the need for continuous availability of high quality data when DES is used as a daily manufacturing engineering tool or in next leading area of real-time control. Since simulation data quality problems are prevalent along several dimensions as well as being dependent on the roles, responsibilities and relationships within the data production process, efforts for improving simulation data quality needs to span from the point of data generation to the point of data use. However, despite the impact of data quality on simulation results, there is a lack of best-practice checklists and procedure models for information acquisition in simulation studies.

Therefore, the empirical and theoretical knowledge gained throughout the study were used to develop a set of practical guidelines that can support manufacturing companies in improving data quality in DES. These cover input data management to DES, the role of simulation practitioners in the data production process and the data production process as a whole (table 7; importance in no particular order). Further research needs to be directed towards developing practical solutions for solving simulation data quality problems, and additional studies can focus on the effectiveness of the proposed guidelines as well as provide extended support on how they can be implemented and accomplished most effectively.
Table 7. Practical guidelines for improving data quality in DES.

Practical guidelines for input data management to DES

In every simulation assignment, impress upon the client that high quality data are a necessity to successful simulation. Given that production data are of high quality, input data management should be automated using a standardized process for transforming raw data to simulation input data (using e.g. GDM-Tool). Broader alternatives to data standards should be explored beyond CMSD, e.g. adapting ISA95/STEP ISO 10303 standards to enable PLM-systems to hold detailed data for simulation (mean and statistical distribution). Simulation data validation must be separated from simulation model validation, where simulation analysts validate both data and models using separate procedures and methods.

Practical guidelines for the role of simulation analysts in the data production process

Take an active role, e.g. adopt a leading position in a user council. Simulation analysts should be the driving force in achieving credibility of simulation data, which involves providing final decision makers regarding simulation results with insights on the data production process and building a trust in the process’s ability to produce credible simulation input data. Educate the organization on how raw data logs are necessary to achieving input data with both means and statistical distributions; aggregated data are insufficient for modelling e.g. variation in machine breakdowns. Continuously identify, formulate and communicate simulation data quality problems and simulation data requirements. Co-operate particularly with data producers, with a focus on expressing simulation data requirements and explaining how data are used in simulation. In cases of limited data accessibility, explore the possibilities of collaborating with equipment vendors in order to access extended data sets from the whole product population.

Practical guidelines for the data production process

Establish clear roles and responsibilities (custodians, producers, consumers) and foster inter-disciplinary communication and collaboration. Educate all roles on what, how and why data are being generated, stored and used. Pay attention to the full range of needs among all users. Develop flexible data collection systems that are useful for a large variety of users, with data that are easily understood and manipulated. Validate production data using formal data validation procedures that incorporate all necessary competence, including the users. Production monitoring systems are commonly implemented as IT-projects, resulting in little value for data users. Instead, design and implement systems with a user-driven approach, in which all potential data users with vested interest are involved. Decouple manual operator data collection from automated data collection systems. Operators should be involved in designing the system, but the system should perform the data collection. Make a conscious choice on the extent and duration for storing historical data based on the requirements of the users. Support the data production process with meetings, forums and digital platforms to enable continuous evaluation and improvement. Produce data on minor stoppages, since this is a necessity for both simulation and various forms of production data analytics. Correct coding of root causes to production disturbances is necessary for all types of use of production data (including simulation), e.g. distinguishing between equipment failure, operator error or lack of input material. Track and visualize production system changes in the data (e.g. root version handling when changes are introduced in products or production processes).

6. Conclusions

By means of a multiple-case study within the automotive industry, this study contributes with empirical descriptions of simulation data quality problems from a practitioners’ perspective; data production processes, and its relation to simulation data quality
problems. These empirical descriptions extend the present knowledge on data quality in DES in a practical real-world manufacturing context, which is a prerequisite for developing practical solutions for solving data quality problems.

First, by applying general theory on data quality within the domain-specific area of DES, we extend the knowledge base on simulation data quality problems, conceptually and empirically. Conceptually, we relate 11 simulation data quality dimensions (e.g. accuracy, relevance, reputation) to four generic categories of data quality (accessibility, intrinsic, contextual, representational). Moreover, we build upon data quality literature in order to define simulation data quality problems as problems along data quality dimensions that aggravate the input data management procedure. Empirically, we provide in-depth descriptions of simulation data quality problems from a simulation practitioners’ perspective. This includes problems that simulation analysts are experiencing in similar fashion, such as limited accessibility, lack of data on minor stoppages and data sources not being designed for simulation. Together, these descriptions span across 9 out of 11 dimensions and provide further understanding on underlying reasons for extensive lead times in input data management to DES.

Moreover, this paper presents empirical descriptions of the data production process in two automotive manufacturers. Specifically, by building on existing theories within the data quality area, we describe the roles, responsibilities and relationships involved in achieving high quality production data (i.e. data producers, custodians and consumers). Moreover, we describe how these relationships relate to simulation data quality problems,
and provide examples for how the existences of simulation data quality problems are likely to be associated with the organizations’ data production processes (e.g. knowledge and education on data production processes, design of data structures and data validation). In particular, we identify high involvement of simulation analysts in the data production process as a key aspect of achieving high quality production data to be used in simulation. Based on an observed difference between simulation literature and data quality literature, combined with the study’s empirical data, we suggest a prevailing mind-set within simulation not to passively collect data but to actively participate in producing data.

To support manufacturing companies in improving data quality in DES, a total of 22 guidelines are proposed based on the empirical and theoretical knowledge gained throughout the study. These guidelines cover input data management to DES; the role of simulation practitioners in the data production process; and the data production process as a whole. They are relevant for manufacturing companies with advanced data collection systems and particularly in regards to breakdown input data.

As a final note, Orr 35 (p. 71) made a striking conclusion on the importance of data quality as early as 1998: “Because of the potential for year 2000 problems, every organization in the world that uses computers will have to confront the problems of data. This, coupled with the increased need for quality data for decision making, will make data quality a high priority item in every enterprise.” According to his prediction, well-functioning data production processes should be a common sight in manufacturing
companies today, a situation not entirely supported by this study. In fact, considering the future realization of digitalized manufacturing (commonly spurred by the German initiative “Industrie 4.0”); moving towards using DES for real-time control; every organization is inevitably forced to manage big data quality problems. Therefore, we reiterate Orr’s statement and argue that today, and even more so in the future, producing high quality data should be a top priority in every manufacturing company.

Acknowledgements

The authors would like to thank all of the participating interviewees at the two case companies for their valuable contributions.

Funding

The research project “Streamlined Modeling and Decision Support for Fact-based Production Development (StreaMod)” is funded by VINNOVA, Swedish Agency for Innovation Systems [grant number 2013-04726]. This work has been performed within Sustainable Production Initiative and the Production Area of Advance at Chalmers. The support is greatly appreciated.
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