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All-to-all Broadcast for Vehicular Networks Based on Coded Slotted ALOHA

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Abstract—We propose an uncoordinated all-to-all broadcast protocol for periodic messages in vehicular networks based on coded slotted ALOHA (CSA). Unlike classical CSA, each user acts as both transmitter and receiver in a half-duplex mode. As in CSA, each user transmits its packet several times. The half-duplex mode gives rise to an interesting design trade-off: the more the user repeats its packet, the higher the probability that this packet is decoded by other users, but the lower the probability for this user to decode packets from others. We compare the proposed protocol with carrier sense multiple access with collision avoidance, currently adopted as a multiple access protocol for vehicular networks. The results show that the proposed protocol greatly increases the number of users in the network that reliably communicate with each other. We also provide analytical tools to predict the performance of the proposed protocol.

I. Introduction

Reliable vehicular communications (VCs) is presently one of the most challenging problems of communication engineering. Its deployment will enable numerous applications, such as intelligent transportation systems and autonomous driving, as well as improve traffic safety. VCs entails a number of challenges, such as high mobility networks with rapidly changing topologies and a large number of users, poor channel quality, and strict reliability and delay requirements. These challenges require new ideas and design at the physical layer (PHY) and the medium access control (MAC) layer.

The current status of VCs is summarized in the standard [1] and is usually referred to as 802.11p. The PHY and the MAC layer in 802.11p are based on the Wi-Fi protocol that works well for low mobility networks. In the context of VCs, the PHY is mainly criticized for not being able to cope with time-varying channels [2]. 802.11p uses carrier sense multiple access with collision avoidance (CSMA-CA) as the MAC protocol. It does not require any coordination and is shown to work well for networks with a small number of users, large amounts of information to be transmitted at each user, and no delay constraints. Under these conditions, CSMA-CA can provide large throughputs [3]. In vehicular ad hoc networks (VANETs), however, the number of users is large, the amount of information to be transmitted is rather small, and there are hard deadlines for accessing the channel. In

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such scenarios, CSMA-CA fails to provide a reliable channel access. Furthermore, the high user mobility prohibits the use of acknowledgements in VANETs, and thereby methods for mitigating the hidden terminal problem.

The other uncoordinated MAC protocols used for VCs can be roughly divided into two classes: i) the ones based on CSMA-CA, that try to improve its performance by adjusting the load by means of power control [4] or transmission rate control [5]. However, they retain the drawbacks of the original CSMA-CA. ii) The second class includes self-organizing protocols predominantly based on time division multiple access (TDMA), which are advantageous for overloaded networks [6], but cannot guarantee high reliability. These self-organizing algorithms require a learning phase, which increases the channel access delay; moreover, transmission errors during this phase render such protocols unusable.

Recently, a novel uncoordinated MAC protocol, called coded slotted ALOHA (CSA), has been proposed for a unicast scenario [7], [8]. It uses the idea of the original slotted ALOHA [9] together with successive interference cancellation (SIC). The contending users introduce redundancy by encoding their messages into multiple packets, which are transmitted to a base station (BS) in randomly chosen slots. The BS buffers the received signal, decodes the packets from the slots with no collision and attempts to reconstruct the packets in collision exploiting the introduced redundancy. The main difference between CSMA-CA and CSA it that the former tries to avoid collisions, whereas the latter tries to exploit them.

In this paper, we propose a novel MAC protocol for all-to-all broadcast in VANETs based on CSA, called all-to-all broadcast CSA (B-CSA). We consider a scenario where users in the network periodically broadcast messages to the neighboring users. Each user is equipped with a half-duplex transceiver, so that a user cannot receive packets in the slots it uses for transmission¹, which is the main difference of the proposed protocol with respect to the classical unicast CSA. This is modeled as a packet erasure channel and it affects the design and the performance analysis of CSA. Using the analytical tools from [10], we analyze the packet loss rate (PLR) performance of the proposed B-CSA. Analytical results show good agreement with simulation results for low to medium channel loads. The results are further used to optimize

¹If full-duplex communication is possible, the analysis of the all-to-all broadcast scenario is identical to that of the unicast scenario.

TABLE I: The PHY	parameters. T	he values in	the upper part are
taken from	[1]; the valu	es in the lowe	er part are derived.

Parameter	Variable	Value		Units
Data rate	$r_{ m data}$	6		Mbps
PHY preamble	$t_{ m pream}$	40		μ s
CSMA slot duration	$t_{ m csma}$	13		μ s
AIFS time	$t_{ m aifs}$	58		μ s
Frame duration	$t_{ m frame}$	100		ms
Guard interval	$t_{ m guard}$	5		μ s
Packet size	d_{pack}	200	400	byte
Packet duration	$t_{ m pack}$	312	576	μ s
Slot duration	$t_{ m slot}$	317	581	μ s
Number of slots	n	315	172	

the parameters of B-CSA. Finally, we show that B-CSA greatly outperforms CSMA-CA for medium to high channel loads.

II. SYSTEM MODEL

There are two types of packets in VCs, namely decentralized environmental notification messages (DENMs) and cooperative awareness messages (CAMs). DENM packets are sent if requested by a higher-layer application, e.g., in case of an emergency. On the other hand, CAM packets are sent periodically every $t_{\rm frame}$ seconds. In this paper, we consider transmission of CAM packets. We assume that all packets have duration $t_{\rm pack}$, which depends on the packet size $d_{\rm pack}$ (in bytes), transmission rate $r_{\rm data}$, and duration of the preamble added to every packet $t_{\rm pream}$, i.e., $t_{\rm pack} = t_{\rm pream} + d_{\rm pack}/r_{\rm data}$. The parameters in this paper are taken from the PHY in [1] and are given in Table I.

We consider a VANET where users are arbitrarily distributed on a 2-dimensional plane as shown in Fig. 1. Every user has a circular transmission range, e.g., the circle in Fig. 1 shows the transmission range of user A. All users within this transmission range receive packets sent by user A. The transmission range may vary across users, hence, the set of users from which user A receives packets, denoted by \mathcal{U} , may be different from the set of users within user A's transmission range. Without loss of generality, we assume that \mathcal{U} consists of m-1 users. The users in \mathcal{U} are called the neighbors of user A. As an example, the neighbors of user A are marked with gray in Fig. 1. We assume that \mathcal{U} remains unchanged during t_{frame} . In this paper, we focus on the performance of a single user (user A in Fig. 1), also referred to as the receiver. From the network perspective, the performance of B-CSA depends only on the users in \mathcal{U} . The rest of the users are ignored as user A cannot receive packets from them.

A. All-to-all Broadcast Coded Slotted ALOHA

We assume that time is divided into frames of duration $t_{\rm frame}$. Every user transmits one packet during each frame. Frames are divided into $n = \lfloor t_{\rm frame}/t_{\rm slot} \rfloor$ slots each, where $t_{\rm slot} = t_{\rm pack} + t_{\rm guard}$ and $t_{\rm guard}$ is a guard interval that accounts for the propagation delay and timing inaccuracy. We assume that users are both frame and slot synchronized. This can be achieved by means of, e.g., Global Positioning System (GPS), which provides an absolute time reference for all users.

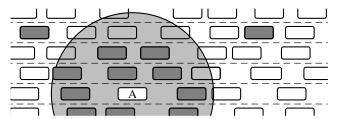


Fig. 1: Network model. Rectangles represent users. The circle shows the transmission range of user A. The users marked with gray are neighbors of user A.

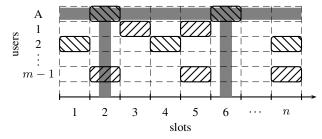


Fig. 2: Users' transmissions in B-CSA system within one frame. Rectangles represent transmitted packets. Gray lines indicate the time slots in which user A cannot receive.

The transmission phase of the proposed protocol is identical to that of classical CSA [7], and is briefly described in the following. Every user maps its message to a PHY packet and then repeats it l times (l is a random number chosen based on a predefined distribution) in randomly and uniformly chosen slots within one frame, as shown in Fig. 2. Such a user is called a degree-l user. Every packet contains pointers to its copies, so that, once a packet is successfully decoded, full information about the location of the copies is available. It is possible to analyze B-CSA under idealized assumptions on the PHY presented in the following without specifying the PHY explicitly².

Unlike classical CSA, where a BS is the intended recipient of the messages, every user in B-CSA acts as a BS, i.e., a user buffers the received signal whenever it is not transmitting. The received signal buffered by user A in slot *i* is

$$y_i = \sum_{j \in \mathcal{U}_i} h_{i,j} a_j,\tag{1}$$

where a_j is a packet of the jth user in \mathcal{U} , $h_{i,j}$ is the channel coefficient, and $\mathcal{U}_i \subset \mathcal{U}$ is the set of user A's neighbors that transmit in the ith slot. The ith slot is called a *singleton* slot if it contains only one packet. If it contains more packets, we say that a collision occurs in the ith slot.

First, user A decodes the packets in singleton slots and obtains the location of their copies. Using data-aided methods, the channel coefficients corresponding to the copies are then estimated. After subtracting the interference caused by the identified copies, decoding proceeds until no further singleton slots are found.

The performance of the system greatly depends on the distribution that users use to choose the degree *l*. The distribution

²We use the PHY from [1] mainly to capture timing parameters of the system.

is expressed in the form of a polynomial,

$$\lambda(x) = \sum_{l=0}^{q} \lambda_l x^l, \tag{2}$$

where λ_l is the probability of choosing degree l and q is the maximum degree.

The performance parameters are defined as follows. The channel load g=m/n shows how "busy" the medium around user A is. The average number of users that are not successfully decoded by user A, termed *unresolved users*, is denoted by \bar{w} . As reliability is one of the most important requirements in VCs, we focus on the average PLR, $\bar{p}=\bar{w}/(m-1)$, which is the probability of a user to be unresolved, i.e., the probability that its message is not successfully decoded by user A.

III. PERFORMANCE ANALYSIS

The typical performance of CSA exhibits a threshold behavior, i.e., all users are successfully resolved if the channel load is below a certain threshold value when $n \to \infty$. The threshold depends only on the degree distribution and is usually obtained via density evolution [7]. In VANETs, however, n is rather small (see Table I), which causes an error floor in the PLR performance.

For transmission over a packet erasure channel, it was shown in [10] that the error floor can be accurately predicted based on an *induced* distribution observed by the receiver. As mentioned earlier, in B-CSA, a user cannot receive in the slots it uses for transmission. This can be modeled by means of a packet erasure channel. Therefore, the performance of B-CSA can be analyzed using the framework in [10]. In this section, we briefly outline the analysis presented in [10] and adapt it to account for the broadcast scenario.

A. Induced Distribution

Assuming that user A chooses degree k, a degree-l user is perceived by user A as a degree-d user if the degree-l user transmits in l-d slots that user A uses for its transmission. From user A's point of view, these l-d slots can be considered erased, which occurs with probability $\binom{n-k}{d}\binom{k}{l-d}/\binom{n}{l}$. Given the constraint $0 \le l-d \le k$, the distribution perceived by user A, which we call the k-induced distribution, $\lambda^{(k)}(x)$, can be written similarly to (2), where

$$\lambda_d^{(k)} = \sum_{l=d}^{\min\{q,k+d\}} \frac{\binom{n-k}{d}\binom{k}{l-d}}{\binom{n}{l}} \lambda_l \tag{3}$$

is the fraction of users of degree d as observed by user A if it chooses degree k.

It is easy to show that for any finite k, $\lambda_l^{(k)} = \lambda_l \ \forall k, l$ when $n \to \infty$, i.e., $\lambda^{(k)}(x) = \lambda(x)$ if the number of slots is large enough and q is finite. In this case, density evolution can be used to predict the performance of B-CSA in the waterfall region based on the original degree distribution $\lambda(x)$. However, when the number of slots is small, the difference between the original and the induced distributions is significant, especially if k is large. This also suggests that depending on the chosen degree, user A has different decoding capabilities.

On the other hand, as shown in [10], users of different degrees have different protection, namely the higher the degree, the better the protection. We call this property double unequal error protection (DUEP). The rationale behind this property is that the chance of a user to be resolved by other users increases if the user transmits more, but at the same time the chance to resolve other users decreases.

B. Stopping Sets

In this paper, we focus on the receiving aspect of DUEP, i.e., we characterize different decoding capabilities. The average PLR for a degree-k receiver can be defined as

$$\bar{p}^{(k)} = \frac{1}{m-1} \sum_{d=0}^{q} \bar{w}_d^{(k)},\tag{4}$$

where $\bar{w}_d^{(k)}$ is the average number of unresolved induced degree-d users as observed by a degree-k receiver. For d=0, $\bar{w}_0^{(k)}=(m-1)\lambda_0^{(k)}$. For other degrees, we show how $\bar{w}_d^{(k)}$ can be approximated in the following. Since user A chooses its degree at random according to $\lambda(x)$, the average PLR \bar{p} can then be found by averaging (4) over the original degree distribution, i.e.,

$$\bar{p} = \sum_{k=0}^{q} \lambda_k \bar{p}^{(k)}.$$
 (5)

The only error source in the considered model is the so-called stopping sets. A stopping set is a set of users that cannot be resolved due to an unfortunate choice of slots for transmission. For instance, if two degree-2 users transmit in the same two slots they cannot be resolved by any other user. We denote a stopping set by \mathcal{S} and describe it by a vector $v(\mathcal{S}) = [v_0(\mathcal{S}), v_1(\mathcal{S}), \dots, v_q(\mathcal{S})]$, where $v_d(\mathcal{S})$ is the number of degree-d users in the stopping set \mathcal{S} and $v_0(\mathcal{S}) = 0$. For the example above, $v(\mathcal{S}) = [0, 0, 2, 0, \dots, 0]$.

The probabilities of stopping sets can be accurately predicted using the induced distribution in (3) and the induced frame length $n^{(k)} = n - k$ as follows. We denote the probability of a stopping set \mathcal{S} to occur by $\rho^{(k)}(\mathcal{S})$. It can be approximated as [10]

$$\rho^{(k)}(\mathcal{S}) \approx \alpha^{(k)}(\mathcal{S})\beta^{(k)}(\mathcal{S}),\tag{6}$$

where $\beta^{(k)}(\mathcal{S})$ is the probability of the stopping set \mathcal{S} to occur calculated under the assumption that \mathcal{U} consists of $v_d(\mathcal{S})$ users of degree $d, d = 1, \ldots, q$. There are, however, more users in \mathcal{U} and this is accounted for by the coefficient $\alpha^{(k)}(\mathcal{S})$, defined as the number of combinations to choose $v_d(\mathcal{S})$ users out of all degree-d users in \mathcal{U} for $d = 1, \ldots, q$. $\alpha^{(k)}(\mathcal{S})$ is [10]

$$\alpha^{(k)}(\mathcal{S}) = \|\boldsymbol{v}(\mathcal{S})\|_{1}! \binom{m-1}{\|\boldsymbol{v}(\mathcal{S})\|_{1}} \prod_{d=0}^{q} \frac{\left(\lambda_{d}^{(k)}\right)^{v_{d}(\mathcal{S})}}{v_{d}(\mathcal{S})!}.$$
(7)

 $\beta^{(k)}(\mathcal{S})$ is not known in general and needs to be found for each stopping set individually based on $\lambda^{(k)}(x)$ and $n^{(k)}$.

Let $\mathcal A$ be the set of all possible stopping sets. Using a union bound argument, $\bar w_d^{(k)}$ can be upperbounded as

$$\bar{w}_d^{(k)} \le \sum_{\mathcal{S} \in \mathcal{A}} v_d(\mathcal{S}) \rho^{(k)}(\mathcal{S}) \approx \sum_{\mathcal{S} \in \mathcal{A}} v_d(\mathcal{S}) \alpha^{(k)}(\mathcal{S}) \beta^{(k)}(\mathcal{S}).$$
 (8)

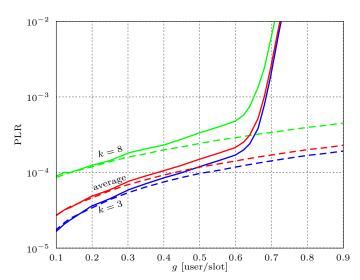


Fig. 3: PLR performance of B-CSA for $\lambda(x) = 0.86x^3 + 0.14x^8$ and n = 172. The solid lines show simulation results and the dashed lines show analytical approximations (4) and (5).

Identifying all stopping sets and calculating the corresponding $\beta^{(k)}(\mathcal{S})$ in a systematic way is not straightforward in general. In practice, distributions with large fractions of degree-2 and degree-3 users are most commonly used since they provide a large threshold [7]. By running extensive simulations for such distributions, we determined in [10] the set \mathcal{A}_8 of eight stopping sets with their $\beta^{(k)}(\mathcal{S})$ given in [10, eq. (14) with n replaced by $n^{(k)}$] that contribute the most to the error floor. Substituting (8) with the stopping sets in \mathcal{A}_8 into (4) gives an approximation of the average PLR for a degree-k receiver.

C. Numerical Results

We use the analytical error floor approximation (5) to optimize the degree distribution for B-CSA with finite frame length. We constrain the distribution to only have degrees two, three, and eight to reduce the search space. Such distributions have been shown to have good performance both in terms of error floor and threshold [7]. The distribution is optimized for the channel load g=0.5 by performing a grid search. The obtained distributions are $\lambda(x)=0.86x^3+0.14x^8$ for n=172 and $\lambda(x)=0.87x^3+0.13x^8$ for n=315.

The analytical error floor predictions in (4) and (5) are shown with dashed lines in Fig. 3 for $\lambda(x) = 0.86x^3 + 0.14x^8$ and n=172. Simulation results are shown with solid lines. It can be seen from the figure that the higher the degree of the receiver, the worse the performance. The analytical results show good agreement with the simulation results for low to medium channel loads. In Section IV-A we also show that the accuracy improves when n increases.

IV. CARRIER SENSE MULTIPLE ACCESS

Comparing B-CSA with CSMA-CA for the network described in Section I is not straightforward for several reasons. First, time is not structured in slots in CSMA-CA and new definitions of channel load and PLR are therefore needed.

This also hinders modeling users' mobility. Second, the behavior of each user in a CSMA-CA system depends on the behavior of its neighbors, whereas users in B-CSA act independently. Thus, to estimate the performance of an individual user in a CSMA-CA system, the entire network needs to be modeled. Third, the performance of CSMA-CA for the network in Fig. 1 is affected by the hidden terminal problem since acknowledgements are not used in VANETs. Hence, a proper modeling requires specification of: sensing threshold, path loss, transmitted power, decoding model with signal-to-interference-plus-noise, and actual network geometry. Instead, we introduce a simplified system model which represents the best-case scenario in terms of the performance of CSMA-CA. It is easy to implement and compare with B-CSA.

We consider a network with m users indexed by i = $1, \ldots, m$, where every user is within all other users' transmission range, i.e., no collision occurs due to the hidden terminal problem. This makes the considered system model favorable for CSMA-CA compared to the system model in Section II. The set of users is denoted by V. Time is denoted by t. At the beginning of contention (t = 0), every user chooses a real random number $\tau_i \in [0, t_{\text{frame}})$, which represents the time when the jth user attempts to transmit its first packet invoking the CSMA-CA procedure from [6, Fig. 2(a)]. The contention window size c is chosen from the set $\{2^u - 1 : u \text{ is an integer}\}\$, t_{aifs} is the sensing period, and t_{csma} is the duration of a backoff slot [6]. The values of these parameters are specified in [1] (see Table I for the values used in simulations). At time instant $\tau_i + (i-1)t_{\text{frame}}$, the jth user attempts to transmit its ith packet. If by this time the (i-1)th packet has not been transmitted, the packet is dropped. Each packet is transmitted at most once.

The channel load is defined as the ratio of the number of users m and $t_{\rm frame}$ (expressed in slots) to match the definition of the channel load for B-CSA, i.e., $g=m/(t_{\rm frame}/t_{\rm slot})=m/n$. The PLR for user j is defined as

$$p_{j} = \frac{\mathsf{E}_{\tau_{1},\dots,\tau_{m}} \left\{ \sum_{\substack{i \in \mathcal{V} \\ i \neq j}} e_{i} \right\}}{m-1},\tag{9}$$

where $e_i \in \{0,1,2\}$ is the number of dropped and collided packets of the ith user over the time interval $[t_0, t_0 + t_{\text{frame}})$ and $\mathsf{E}_x \{\cdot\}$ stands for the expectation over a random variable x. For estimating the performance, we introduce a time offset t_0 in order to remove the transient in the beginning of contention. As the performance does not depend on the particular user, without loss of generality, we choose user j at random.

In Fig. 4, we show the performance of CSMA-CA for different values of the contention window size. We set $t_0=2t_{\rm frame}$ for simulations. Larger values of c reduce the probability of a collision but increase the time required to access the channel: for values of $c \le 2047$ ($u \le 11$), lost packets are only caused by collisions, whereas for c=8191 (u=13), the PLR is predominantly defined by dropped packets. It can be seen from Fig. 4 that the value c=2047 (u=11) provides good performance for the entire range of channel loads. For u=10 and u=12 (not shown in the figure), the PLR performance is slightly worse than that of u=11. We remark that the order of the curves remains the same for shorter packets. c=2047

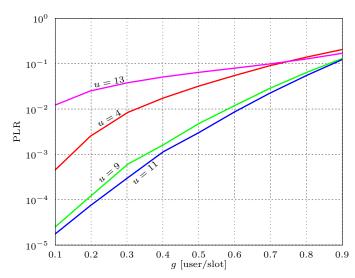


Fig. 4: PLR performance of CSMA-CA for 400 byte packets (n=172) and different values of $c=2^u-1$.

(u = 11) is thus used later on for both packet sizes in Table I when comparing CSMA-CA with B-CSA.

A. B-CSA vs CSMA Comparison

The PLR performance of the two protocols is shown in Fig. 5 for n = 172 and n = 315. The solid and the dashdotted lines show simulation results for B-CSA and CSMA-CA, respectively. The dashed lines show the PLR approximation (5). The first observation is that the performance of CSMA-CA degrades when n increases. This can be explained by the fact that the sensing overhead grows with respect to the packet length when the packet length decreases. On the other hand, the performance of B-CSA improves when n grows large, asymptotically approaching the threshold (equal to 0.87) for the considered distributions) performance predicted by density evolution. This gives an extra degree of freedom when designing a B-CSA system, as increasing the bandwidth will decrease the packet duration and, hence, increase the number of slots. We also remark that the accuracy of the analytical PLR approximation in (5) improves when n increases.

It can be seen from Fig. 5 that B-CSA significantly outperforms CSMA-CA for medium to high channel loads. For example, B-CSA achieves a PLR of 10^{-3} at channel loads g=0.68 and g=0.73 for n=172 and n=315, respectively. CSMA-CA achieves the same reliability at g=0.4 and g=0.35 for n=172 and n=315, respectively, i.e., B-CSA can support approximately twice as many users as CSMA-CA for this reliability. For heavily loaded networks (g>0.74), CSMA-CA shows better performance. However, in this case both protocols provide a poor reliability (PLR of around 0.1), which is unacceptable in VANETs.

V. CONCLUSIONS AND FUTURE WORK

The proposed protocol can provide an uncoordinated MAC all-to-all broadcast with predictable delay and high reliability at large channel loads, which makes it highly appealing for VCs. The proposed B-CSA is a cross-layer protocol since

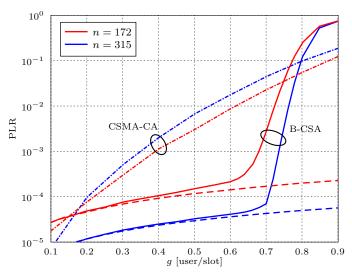


Fig. 5: PLR comparison of optimized CSMA-CA and B-CSA for different frame lengths. The solid and the dash-dotted lines show the performance of B-CSA and CSMA-CA, respectively. The dashed lines show the analytical PLR approximation (5). Red and blue lines correspond to n=172 and n=315 slots, respectively.

the actual PHY greatly affects SIC performance. Therefore, a more realistic PHY needs to be considered. One of the main challenges we foresee here is the channel estimation needed for SIC. Among other interesting research directions, we point out the unequal error protection property of B-CSA which can be potentially utilized to provide different priorities to packets.

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