

# CHALMERS



## Evaluation of Anthropomorphic Test Devices under Seatbelt Pre-Pretensioner Loading

Collecting volunteer subjects data for crash test dummies  
characterization towards further development of active restraints

*Master's Thesis in the Programme of Automotive Engineering*

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Injury Prevention  
CHALMERS UNIVERSITY OF TECHNOLOGY  
Göteborg, Sweden 2013  
Master's Thesis 2013:12



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## ABSTRACT

Pre-pretensioners are active and reversible devices that apply light tension to the seatbelt (less than 300N) which pulls road vehicle occupants rearwards and reduce the backset (head-to-head restraint horizontal distance). This action has been found to have the potential to reduce the number of whiplash injuries in rear impacts. However, pre-pretensioners induced a new load case on current Anthropomorphic Test Devices (ATDs) for which they have not been validated. The purpose of this thesis was to evaluate the biofidelity of ATDs under pre-pretensioner loading in a stationary environment. A literature review resulted in eleven testing positions that either occur frequently (backset exceeding recommendations) or have high injury potential (leaning far forward at the driver and front passenger seats). Experiments were conducted with four groups of research subjects (N=2 AF05, N=9 AF50, N=8 AM50 and N=10 AM95). The first phase of the work was the evaluation of the biofidelity of two AM50 ATDs (the BioRID-II and the THOR-NT). The second phase consisted of evaluating the differences in response induced by the rear seat as compared to the front seat. The third and last phase aimed at quantifying the effect of anthropometry on the response to PPT loading and involved AM50 and AF05 volunteer subjects. Corridors for global kinematics and seatbelt force were generated based on data from experimentations on volunteer subjects. ATD responses were compared to the corridors in terms of amplitude, peak occurrence and shape. For slight out-of-position cases (backset ~80mm), the THOR-NT was found to be close to relaxed volunteers and the BioRID-II to tense volunteers; both were suitable for pre-pretensioner testing. Although the BioRID-II results were closer to the corridors than the THOR-NT results in the far forward leaning positions, neither showed sufficiently large rearward motions and head rotations to fit the corridors. Furthermore, head rotations were problematic for both ATDs in the three test positions. Therefore, construction changes to both the spine, pelvis and occipital joints are suggested in order to improve the biofidelity of BioRID-II and THOR-NT in far forward leaning positions. The rearward motion was found to happen faster at the rear seat as compared to the front seat, based on AM50 corridors. Repositioning AF05 subjects was found to be quicker than that of AM50 subjects. Part of the present work was the object of a manuscript orally presented at the 9<sup>th</sup> Injury Biomechanics Symposium, organized by the Injury Biomechanics Research Laboratory of Ohio State University, in May 2013.

Key words: out-of-position, repositioning, pre-pretensioners, active seatbelt, motorized seatbelt, ATD, evaluation, biofidelity, volunteer testing, corridor.

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# Contents

ACKNOWLEDGEMENTS .....	III
1 INTRODUCTION .....	1
1.1. Background .....	1
Integrated safety .....	1
The Out-Of-Position (OOP) issue. ....	1
Measurement of backset under real-life driving conditions. ....	1
Pre-crash active seatbelts or pre-pretensioners.....	1
Potential of PPTs to reduce the number of whiplash injuries in rear impacts.....	2
1.2. Biomechanical perspectives .....	2
Whiplash injury scenario in rear-end collisions .....	2
Strategies for preventing whiplash injuries, benefits and limitations of PPTs.....	3
Acceleration and load levels.....	3
Different types of testing environment .....	3
Seat system .....	4
Selection of parameters for the evaluation the biofidelity.....	4
1.3. Purpose.....	6
Specific research questions in the study .....	6
Scope .....	6
2 METHODS AND MATERIALS.....	7
2.1. Research subjects .....	7
Selection of ATDs .....	7
Volunteer subjects .....	7
2.2. Testing environment.....	8
Instrumentation and data acquisition .....	9
Calibration of measurement equipment.....	10
Coordinate systems.....	10
2.3. Test procedures .....	11
Instructions .....	11
Preparation of the subjects.....	11
Experimental precautions .....	12
Evaluation of biofidelity of AM50 ATDs .....	12
Effect of habituation to PPT loading on the response .....	12
Front and rear seat .....	12
Effect of anthropometry on response to PPT loading.....	12
Test matrix.....	12
2.4. Data analysis .....	13
Corridors .....	13
Data processing.....	13
3 RESULTS .....	15
3.1. Description of seatbelt force and T1 x-displacement.....	15
3.2. Evaluation of ATDs .....	16
Seatbelt force characteristics .....	16
Backset, T1 x-displacement and velocity. ....	16
Head-neck complex motion.....	18
T1 z-displacement.....	18
3.3. Effect of habituation of PPT loading on the response.....	22



3.4.	AM50 differences between the front and rear seats .....	26
	Seatbelt force characteristics .....	26
	Backset, T1 x-displacement and velocity .....	26
	Motion of the head-neck complex .....	26
	T1 z-displacement.....	26
3.5.	AM50 and AF05 differences in kinematics .....	28
	Seatbelt force characteristics .....	28
	Backset, T1 x-displacement and velocity .....	28
	Head-neck complex motion.....	28
	T1-z displacement.....	28
4	DISCUSSIONS .....	30
	Definition of testing positions. ....	34
	Computation of the corridors.....	34
	Effect of individual behavior on volunteer responses. ....	31
	First exposure to PPT loading .....	31
	Front seat vs rear seat .....	31
	AM50 vs AF05 .....	32
	Effect of the support rod on the seated posture. ....	34
	ATD seated position and OOP instability. ....	35
	Minor issue with the power supply.....	34
	THOR-NT, construction detail. ....	35
	BioRID-II and belt loading.....	35
	Regarding the strategy in the pre-crash phase – thoughts about the interaction between PPT triggering and collision avoidance systems .....	35
	PPT loading and at-risk populations.....	36
	PPT loading and sideway/rearward facing. ....	36
	Tentative improvement suggestions to the construction of the ATDs. ....	36
5	FUTURE WORK.....	37
6	CONCLUSIONS.....	38
7	APPENDICES – AM50 VOLUNTEER SUBJECT DATA.....	39
7.1.	Literature review – different OOP cases .....	39
	Sitting upright .....	39
	Rotated head .....	39
	Leaning forward .....	39
	Leaning sideways .....	40
	Leaning forward and sideward .....	40
	Leaning rearwards .....	40
	Choice of OOP scenarios.....	40
7.2.	Ethical considerations .....	41
7.3.	IAR of the lower neck .....	42
7.4.	Specificities of the rear seat .....	42
7.5.	Validation of the lense calibration .....	42
7.6.	Use of the morphomics database to locating the center of the body of T1 .....	43
7.7.	AM50, Position 1. Real life driving posture .....	45
7.8.	AM50, Position 2. Attempting to increase visibility at intersections.....	46
7.9.	AM50, Position 3. Searching the glove box.....	47
7.10.	AM50, Position 4. Talking to front row occupants. ....	48
7.11.	AF05, Position 4. Talking to front row occupants.....	49

## Notations

AM	Auditori Meatus
AM50	50th percentile male
ATDs	Anthropometric Test Devices
C7	Seventh cervical vertebra
EPN	<i>Etikprövningsnämnderna</i> , regional ethical board
HR	Head Restraint
H-Point	Pivot center of the torso and thigh (SAE 2009)
IAR	Instantaneous Axis of Rotation
MY	Model Year
OC	Occipital Condyles
OOP	Out-of-position
PPT(s)	Pre-pretensioner(s)
T1	First thoracic vertebra

# 1 Introduction

## 1.1. Background

Road traffic accidents have been reported to cause 1.2 million deaths and 50 million injured people worldwide every year (Peden *et al.* 2004). In Europe, numbers such as 127,000 deaths and 2.4 million injured people per annum have been reported (Racioppi *et al.* 2004). The yearly cost of road crash injuries was estimated to EUR180 billion (Peden *et al.* 2004), (Peden *et al.* 2004), which was comparable to the entire Research and Development public funding budget in the EU in 2008 (1% of the EU GDP according to the *International Monetary Fund*) (EU 2008). These facts explain the need of road accident injury mitigation, and stressed the need for improved road vehicles restraints effectiveness. The latter effectiveness was reported to be significantly affected by belt slack (Müller *et al.* 1998). Pretensioners were introduced with the aim of reducing the amount of belt slack in a very early phase of the crash, the load peaking ~10-20ms after the impact (Siegmond *et al.* 2001, Carlsson *et al.* 2012). This delay is due to the firing of the pyrotechnic actuator of pretensioners, and could be removed by triggering the pretensioners before the collision.

**Integrated safety** was introduced in 2005, integrating both passive and active safety. Among others, it opened the door to the development of active restraints (Bangash 2007). Triggered by signals from active safety and especially hazard detection systems, active devices could prepare the occupant compartment in order to improve the effectiveness of passive restraints.

**The Out-Of-Position (OOP) issue.** The effectiveness of passive restraints was not only reported to be affected by belt slack, but also by the position road vehicle occupants take (Sander *et al.* 2009, Mages *et al.* 2011). OOP, commonly understood and applied as “*any sitting posture in which the research subject is not in the optimal posture*” (Khadilkar *et al.* 1998, Viano *et al.* 2011), was found to lead to higher injury risk indexes through testing with ATDs and computer simulations (Bose *et al.* 2010, Viano *et al.* 2011). An interpretation of “*optimal posture*” would be sitting postures defined by authorities such as the EuroNCAP in official testing protocols.

**Measurement of backset under real-life driving conditions.** The RCAR-IIWPG recommends backsets below 7cm then head restraints are rated “good” (RCAR-IIWPG 2008). Male subjects were observed during car driving (motorway, urban context) in two studies; the first (35 males, average stature 181cm, SD 8cm) found an average backset of 77mm (Jonsson *et al.* 2008) and the second (7 males, no stature recruitment criteria) of 85mm (Shugg *et al.* 2011). In other terms, in real-life driving conditions, the average backset exceeds the recommendations, and drivers sit OOP. In terms of frequency, the posture of drivers observed in 5,106 vehicles in different traffic contexts found backsets reported as “medium” – greater than 50mm – in 78% of cases (Bingley *et al.* 2005). These results add to the potential benefit of the implementation of Pre-Pretensioners (PPTs), which aim at repositioning the occupants thus reducing the backset. This could reduce the risk of sustaining short term whiplash injuries. A more detailed review of observational studies is presented under the section *Methods*.

**Pre-crash active seatbelts or pre-pretensioners.** Among other restraints, the potential of active seatbelts was investigated (Bangash 2007, Gkikas 2012). By making seatbelt tensioning reversible and controllable, seatbelts were foreseen to have the potential both for belt slack reduction (thus keeping the occupant further away from harmful surfaces

and avoiding shock when the belt gets suddenly tight) and occupant repositioning (thus mitigating the OOP issue) in the pre-crash phase. PPTs, also known as motorized shoulder belts or pre-crash active seatbelts, are a countermeasure to both these issues.

### Potential of PPTs to reduce the number of whiplash injuries in rear impacts.

By tensioning the seatbelt and thus pulling road vehicle occupants rearwards, PPTs have the potential to reduce the backset (head-to-head restraint horizontal distance), which has been commonly admitted to be a major cause for whiplash injuries (Siegmund *et al.* 2001, Stemper *et al.* 2006, Jonsson *et al.* 2008, Carlsson *et al.* 2012). Indeed, it was concluded from testing it had a significant effect on the neck response (Svensson *et al.* 1996, Song *et al.* 1997). It was found that above 10cm of backset, symptoms associated to whiplash have a duration exceeding one year (Olsson *et al.* 1990). This was further investigated through a statistical study on road accident data, which found that the risk of persistent (or severe) AIS1 neck injuries (so called whiplash injuries) got below 0.1 or 10% if the backset was reduced to less than 10cm (Jakobsson 2004). In addition, regardless the severity of the impact, the risk of suffering AIS1 neck injuries was reported to be higher for a gap greater than 10cm; the proportion of occupants who suffered from persistent neck injuries is more than twice bigger above than below 10cm (Figure 1). A backset lower than 6cm was recommended by several researchers - among others (Siegmund *et al.* 2001, Jakobsson 2004), based on whiplash injury risk in rear-end impacts. In terms of official recommendations, NHTSA mentioned 5.5cm(NHTSA 2007), and the RCAR 7cm (RCAR-IIWPG 2008).

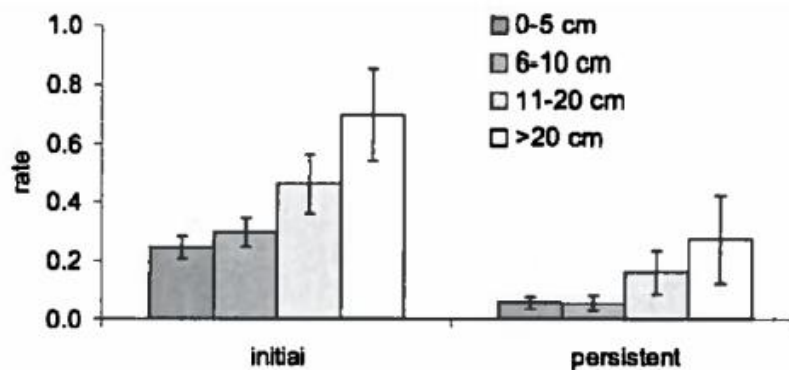


Figure 1: Rates of initial and persistent AIS1 neck injury with respect to estimated head to head restraint distance at the time of impact; front seat occupants without prior neck problems (Jakobsson 2004).

## 1.2. Biomechanical perspectives

**Whiplash injury scenario in rear-end collisions.** During a road accident, the accelerations induced by the collision(s) act on the whole vehicle. The head-neck complex, which can be seen as an unrestrained weight, undergoes inertial phenomena. A lag between the head and the torso is then observed (Svensson *et al.* 2000), which causes a retraction motion, followed by an extension motion of the neck (Figure 2).

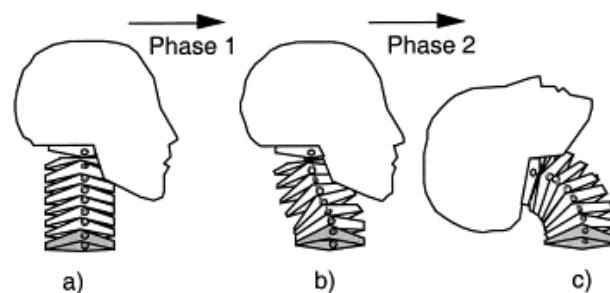


Figure 2: whiplash motion in rear-end collisions  
a)initial position, b)retraction, c)extension. (Svensson *et al.* 2000)

### **Strategies for preventing whiplash injuries, benefits and limitations of PPTs**

Different whiplash injury mechanisms were formulated in the past. Loads-based criteria (e.g. Upper Neck Shear Force or Nkm) were derived from soft tissues and facet joints injuries (Schmitt *et al.* 2010). Based on injuries findings in the nerve root region in the cervical spine, observations of pressure gradients in the cerebro-spinal fluid were made on animals subjected to a whiplash scenario (Svensson *et al.* 2000). Even though the whiplash injury mechanism has not yet been established, recommendations for the design of restraints aiming at mitigating whiplash injuries in rear impacts were formulated by three biomechanical guidelines; 1) reducing the occupant's acceleration level, 2) minimizing the changes in spinal curvature and 3) reducing the level of interaction between occupant and seatbelt in the forward rebound phase of a rear-end impact (Lundell *et al.* 1998). The WHIPS (WHIplash Protection Study) (Lundell *et al.* 1998) and SAHR (SAAB Active Head Restraint) (Wiklund *et al.* 1998) addressed or partly addressed these issues in the early phase of the crash.

The benefit of PPTs lied in an earlier action – in the pre-crash phase – thanks to the interaction with active safety systems of hazards detection. The time to take an action was then increased; in addition the actions taken to adjust the occupant's environment would be made easier as they would take place in a steady state or low-g environment. However, it should be clear that PPTs alone could not address the three above requirements. First and foremost, PPTs used seatbelt, and were independent from the seat itself. The latter seat should be designed so that no local rigid structure would force relative movements of adjacent vertebrae (homogeneous stiffness) and so that adequate energy absorption level would be provided. Second, PPTs would not be expected to reduce the acceleration level on the occupant, they might contribute to the two other requirements.

Minimization of changes in the spine curvature during the crash would be addressed by a repositioning of the occupant in the pre-crash phase; the latter occupant would ideally already be in full contact with the seat at the time of impact. The injury potential of the interaction between the seatbelt and the occupant might be lowered by the complete removal of belt slack in the pre-crash phase.

**Acceleration and load levels** Force levels lower than 0.3kN were reported to be adequate for repositioning purpose in stationary conditions (Lorenz *et al.* 2001). Similar loads (0.1-0.4kN) were used later in a study modelling the occupant's mechanical reaction under pre-pretensioning (Good *et al.* 2008). From pilot testing, this resulted in acceleration levels below 1g at the center of gravity of the head and in the first and eighth thoracic vertebrae (T1 and T8, respectively) in the longitudinal direction. As a comparison, seatbelt load limiters equipping passenger vehicles were designed for thresholds around 4kN (Foret-Bruno *et al.* 2001, NHTSA 2007). As a consequence, the tension in the belt induced by PPTs may be described as a light load case. Therefore, lower extremities legs were not expected to have any significant effect on the subjects' responses and the H-Point was not expected to move much.

**Different types of testing environment** A full laboratory setup would consist of a jig supporting seat, seatbelt and eventually steering wheel (Ono *et al.* 1999, Jonsson *et al.* 2008, Carlsson 2012). The environment was open for enlightening and video acquisition convenience (no obstruction hence large field of view); it would need a rather complex jig in order to conduct tests with and without a steering wheel, and at both front and rear seats. A body-in-white (chassis of a car equipped with a dashboard) presented the advantage of a realistic test environment (pillars, dashboard in same the position than those of a car), while maintaining convenience for video acquisition (absence of doors). The transportation of the latter potential test environment was expected to require extensive logistics (truck, lift etc.). The choice of a passenger vehicle, while adding constraints on the choice of the video

acquisition system (particularly the lens), would allow for some flexibility in terms of transportation.

**Seat system.** In vehicle safety research, three types of seats have been used. A rigid seat, made of two steel plates, presented the advantage of reproducibility and low-complexity for modelling; it has been used to a rather large extent (Bertholon *et al.* 2000). However such a seat had limitations in terms of applications as its mechanical properties were far from an automotive seat. A flexible seat, closer to an automotive seat while keeping a simple construction, was designed at Chalmers and tested (Davidsson *et al.* 2001). It should be noted that oscillatory behaviors of different seat parts (back support and HR spring-mounted) have been observed during testing, introducing disturbances on the interaction between the subject and the seat. Production seats have been mainly developed around two aspects, comfort, and safety (Happian-Smith 2001, Gkikas 2012), which resulted in an increased complexity as compared to rigid or flexible seats. Comfort and safety influenced the shape of the seat (padding, angles), but also various stiffnesses (foam, recliner, head restraint among others) and energy absorption properties (Schneider *et al.* 1983, Nilson *et al.* 1994, Benson *et al.* 1996, Svensson *et al.* 1996, Lundell *et al.* 1998, Watanabe *et al.* 2000). For instance, it was found that varying the stiffness of the lower part of the recliner had effects on the relative movements between the head and the torso during rear-end impact (Svensson *et al.* 1996, Song *et al.* 1997, Watanabe *et al.* 2000). Foam has been used in production seats for padding (comfort) and energy absorption purposes (Weissner *et al.* 1985, Minton *et al.* 1998). Ageing of this material was found to alter its properties and improvements in durability have been studied (Brasington *et al.* 1996). However, series of BioRID light rear-end impacts tests (11 km/h) showed that foam properties did not significantly affect the subjects' kinematics – the initial posture had greater influence on the kinematics of the subject (Szabo *et al.* 2002). The stiffness of cushions in production seats was increased in the context of submarining prevention (Fildes *et al.* 1991, Nilson 1995); consequently the cushion was not expected to deform much under PPT loading. The cushion of rigid and flexible seats being a stiff plate, the amplitude of the movement of pelvis of the subjects was not expected to be significant whatever the choice of seat. Finally, the shape of the seat was observed to vary between car models and manufacturers; rigid and flexible seats presented the advantage of a standard shape. Specificities of the rear seat and their biomechanical consequences were reported (Forman *et al.* 2009, Sahraei *et al.* 2010). To the author's knowledge, neither rigid nor flexible seats have been constructed for rear seat testing. The choice of those would thus require their development.

**Selection of parameters for the evaluation the biofidelity** received particular attention as it was expected to affect the results quite much. They should be measurable in a repeatable and reproducible manner for both ATDs and volunteer subjects, while respecting ethics – measurement techniques shall not expose volunteer subjects to pain or hazards.

A consequence of the latter statement made difficult the direct measurement of forces and moments for the evaluation of load-based injury criteria such as the Upper Neck Shear or the Nkm – combining moments and axial loads (Bangash 2007) – used in the rating of new cars (EuroNCAP 2011). Another approach lied in the backtracking of the loads based on kinematics measurements (distances, angles, angular and linear velocities, angular and linear accelerations). This required the evaluation of inertia parameters such as the mass of the head. While such a method might be rather accurate with ATDs (clear definitions of inertias, head centre of gravity position, etc.), it might introduce significant uncertainties for volunteers – whose head inertias could only be estimated, not measured. The preparation preceding volunteer subjects experimentations could gain in complexity. Load-related parameters, because of the high ratio complexity/result, were therefore dismissed.

Basic kinematics parameters were another option. The idea was to choose these parameters so they described the global motion of the subject (key point trajectories, change in distance and change in angle). As the scope of the study was whiplash injury mitigation, the head-neck complex received a particular attention. The neck-link model was introduced to describe the head-neck complex motion based on a two-pivot linkage mechanism (Wismans *et al.* 1987). Pivot axles were located at T1 and at the Auditori Meatus (AM), and both the neck and the head constituted the linkages. By adding the measurement of the rotation of T1 (indicating the upper body rotation around the y-axis) to the latter model, which included neck and head rotations, the four configurations of the head-neck complex (extension, flexion, retraction, protraction) might be described. An investigation about the location of the instantaneous axes of rotation (IAR) of the lower pivot of neck-link model was led with the aim of improving the accuracy of film analysis (Appendix 7.3). Knowing that mainly mid-sagittal motions were foreseen for this study, the choice of locating the IAR of C7 (lower neck) in the center of the body of the vertebra T1 was made. It resulted in the configuration presented in Figure 3. The change in distance between T1 and OC was proposed as a metrics for the study of changes in while the cervical spine curvature (Davidsson 2000). The z-displacement of T1 was expected to change with the curvature of the thoracic spine. Under PPT thus light loads, the amplitude of the H-Point trajectory was expected be low. However for verification purpose it shall be tracked. The x-displacement of T1 in the test environment coordinate system would allow for tracking the upper body motion. Using the backset – similar to the x-displacement of the head – would not only allow for characterizing the lag between head and upper torso, but would also be interesting in whiplash injury research context.

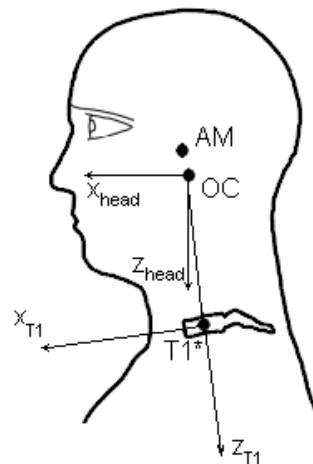


Figure 3: Definition of the head and T1 local coordinate systems and location of the Occipital Condyle (OC), the Auditori Meatus (AM) and T1. \*: estimated position by palpation (OC). Adapted from (Davidsson *et al.* 1999).

The interaction between the subjects and the seatbelt, comprising seatbelt force as well as webbing retraction, were also considered. Seatbelt force is the cause of the motion and its characteristics was expected to depend on the reaction of the subjects – their construction, for ATDs, and their behavior, tense or relaxed, for volunteer subjects. Measuring the retraction of the webbing would allow for measuring the initial belt slack and understanding its effects under PPT loading. Finally, environment variables should be recorded for repeatability, reproducibility and validation purpose. The cause of the kinematics of the subjects could be recorded; starting at the power supply of the PPTs. Tension at the poles of the battery as well as current consumption were recorded.

In terms of measurement techniques, the use of accelerometers – which signals could be integrated - placed on the skin would be disturbed by gravity during rotation motions around the y-axis and were therefore dismissed. This effect could be compensated by the use of a

gyro, which implementation was experienced to be tedious and expensive within the research group of Injury Prevention. Film targets and video acquisition followed by processing was the last option.

### 1.3. Purpose

Current Anthropomorphic Test Devices, or crash test dummies, were neither designed nor validated for low forces generated by the seatbelt such as PPT loading. What was unknown was their biofidelity under seatbelt pre-pretensioner loading. Therefore the present study aimed at characterizing the ability of a set of state-of-the-art ATDs to reproduce human-like biomechanical responses in this context. These ATDs were selected based on the closeness of PPT loading to the load case they were designed for.

This knowledge can be used to improve the design of the test tools as well as further develop seatbelt pre-pretensioner devices. The restraint systems would be expected to gain in effectiveness. This study thereby contributed to the long-term goal of reducing road traffic accidents injury outcomes and thus saving lives.

The evaluation was based on a comparison of biomechanical response (global kinematics) of volunteer subjects and ATDs under seatbelt pre-pretensioner loading in stationary conditions.

#### Specific research questions in the study

- Quantify differences in global kinematics and belt-occupant interaction between AM50 ATDs and AM50 volunteer subjects,
- Investigate differences in volunteer subject response between the very first exposure to PPT loading and the second one (habituation effect),
- Quantify differences in volunteer subject response to PPT loading between front and rear seat,
- Quantify differences in volunteer subject response to PPT loading between AM50 and AF05.

#### Scope

The following was not implemented/studied in the present thesis:

- Evaluation of the biofidelity of other ATD sizes than AM50,
- Evaluation of load-based injury criteria (involving estimations of head inertia and acceleration measurements),
- Evaluation of detailed kinematics of the head neck complex (e.g. local extension and flexion phenomena),
- Evaluation of the effect of PPT loading on chest injuries (e.g. by measuring chest deflection),
- Evaluation of muscle tonus (e.g. via surfacic EMG measurements),
- Evaluation of the repeatability of experimentations on both ATDs and volunteer subjects,
- Evaluation of the effect of PPT loading on at-risk populations (e.g. children, elderly, obese),
- Potential of PPTs in other than mid-sagittal plane OOPs (e.g. rotated head, leaning sideways).



## 2 Methods and Materials

Evaluating the biofidelity or “the quality of being lifelike in appearance or responses” - here to be interpreted as biomechanical responses of the ATDs – was done by comparing ATDs and volunteer subject responses. A series of identical tests was conducted for both types of subjects. The latter initially sat OOP, and then the PPT pulled them backwards. The experimentation environment was stationary. The test series were reviewed and approved by the regional ethical board of Gothenburg, Sweden (Etikprövningsnämnderna).

### 2.1. Research subjects

The research subjects were chosen to represent four size groups; the 5<sup>th</sup> percentile female AF05, so called small-sized female, the 50<sup>th</sup> percentile female AF50, so called average-sized female, the 50<sup>th</sup> percentile male AM50, so called average-sized male and the 95<sup>th</sup> percentile male AM95, so called large-sized male. It should be noted that the anthropometry of the small-sized female population was reported to be close to that of a 12 years-old children (Schneider *et al.* 1983). As explained in the introduction, a biofidelity evaluation of AM50 only was performed in the present study, but volunteer data were collected for the four sizes presented above.

**Selection of ATDs.** The THOR-NT AM50 and the BioRID-II AM50 were chosen for this study. They were selected for the closeness of the load case they were designed for to PPT loading. The latter loading, in the present study, did not involve any acceleration field acting on the test environment (stationary). The ATDs were placed on a passenger vehicle seat in a predefined posture representing a selected OOP scenario. Then the shoulder belt was loaded with a light tension. As a consequence the chest of the subject got compressed, which initiated a flexion motion of the head neck complex. A similar phenomenon was observed in frontal impacts, and the interaction between the occupant and the seatbelt loading under pre-pretensioning was assumed to be equivalent to that of a light frontal impact. The present application of PPT loading being whiplash injury prevention, the head-neck complex kinematics of the selected ATDs got a special attention.

The BioRID-II AM50 (HumaneticsATD), used for the assessment of seats in low-speed rear-end impact tests in Europe, was designed for whiplash injuries and thus selected. The RID-3D AM50 (HumaneticsATD) was also developed for the evaluation of whiplash injury risk for frontal and rear crashes, but was not selected by assessment programs in place to date – therefore it was not selected for the present study. The Hybrid-III AM50 (HumaneticsATD), historical reference, was designed for violent frontal impacts. It was found to be stiff in the spine, preventing a biofidelic replication of the head-neck complex in rear-end impacts (Davidsson *et al.* 1999). As a consequence it was not selected. The THOR-NT (NHTSA 2001), actually under review and update, was an AM50 subject designed for frontal and oblique crashes; it may have some potential for PPT loading as it was found above to be equivalent to a light frontal impact. In addition the THOR was developed to replace the HIII, and might take part in upcoming regulations.

**Volunteer subjects.** Volunteer subjects were selected to represent AM50 and AF05 populations, accepting a range of  $\pm 3\%$  on the stature and  $\pm 13\%$  on the weight (Table 1). Selection criteria were based on volunteer’s gender, stature, weight, age and availability for the study. Gender, stature and weight were based on the test tools anthropometry, derived from international standards set by a large scale study (Schneider *et al.* 1983). These ranges were expanded until one of the size criteria of AM50 overlapped with the anthropometry of

the 95<sup>th</sup> percentile male (Schneider *et al.* 1983). As age was found to influence the cross-sectional area of skeletal muscles (reduction of ~40% between 20 and 40 years old) (Williams *et al.* 2002), and to change muscle strength (Doherty 2001), it may influence the biomechanical response of participants. A span of 20 to 40 years of age was thus targeted. The number of volunteers was based on the experience within the research group. A total of eight AM50 volunteers (Table 2) participated. As the recruitment of small-sized females was particularly tough, only three subjects participated; one of them did not match the age criterion but was included (AF05.01), and AF05.02 was excluded on grounds for medical history (osteoporosis) (Table 1).

Table 1: AF05 research subjects anthropometry

Subject ID	Body weight [kg]	Seated height* [cm]	Stature [cm]	Age [year]
HIII-AF05	49	62	150	N/A
Recruitment criteria	47±6	N/A	151±4	25±5
AF05.01	47	62	147	61
AF05.03	54	66	151	30

Table 2: AM50 research subjects anthropometry

Subject ID	Body weight [kg]	Seated height* [cm]	Stature [cm]	Age [year]
BioRID-II	78	80	178	N/A
THOR-NT	78	79	180	N/A
Recruitment criteria	77±8	N/A	175±5	25±5
AM50.0	72	79	177	24
AM50.1	75	79	180	24
AM50.2	75	80	181	24
AM50.3	70	76	175	25
AM50.4	72	77	175	24
AM50.5	76	80	180	25
AM50.6	76	77	175	25
AM50.7	70	77	180	24
Average	73	78	178	24
Standard Deviation	3	2	3	1

\*Distance between the trochanter major and the top of the head in a posture close to (EuroNCAP 2011) protocols; measured on research subjects inside the test vehicle, precision ±2cm.

## 2.2. Testing environment

A recent and fully operational test vehicle was chosen (XC70 MY2009). OOP scenarios were intuited to be influenced by the occupant's environment; both outside (traffic context) and inside (interior layout: location of dashboard, steering wheel, etc.) the road vehicle. The latter interior was foreseen as especially influencing the posture taken by occupants, serving as a basis for the definition of realistic testing positions. In addition the choice of a passenger vehicle allowed for some flexibility in terms of transportation of the testing environment

between the different phases of the project (preparation and pilot testing at Autoliv Research, ATD testing at Volvo Safety Center and volunteer testing at Chalmers). Besides, in the present application the loads were expected to be low. This would result in a low loading of the seat. While the properties of the seat were not expected to have major influence on the results, the shape of the seat and HR was. Using a well-rated, and recently designed seat was expected to ensure state-of-the-art shaping and reduce the differences in seat properties for potential later studies.

The dynamic assessment of car seats for neck injury protection testing protocol (EuroNCAP 2011) was chosen for the front seat, as the present restraint had applications in whiplash injury mitigation; the steering wheel was set at mid-depth and mid-height and the D-ring at the highest, the longitudinal adjustment at the middle position, and the cushion angle at the lowest. The rear seat was not adjustable. An H-Point machine was used in order to adjust the seat back angle. A Head Restraint Measurement Device was used to measure the geometry of the HR in the center-line of both front and rear seats; this allowed to account for the shape of the head restraint.

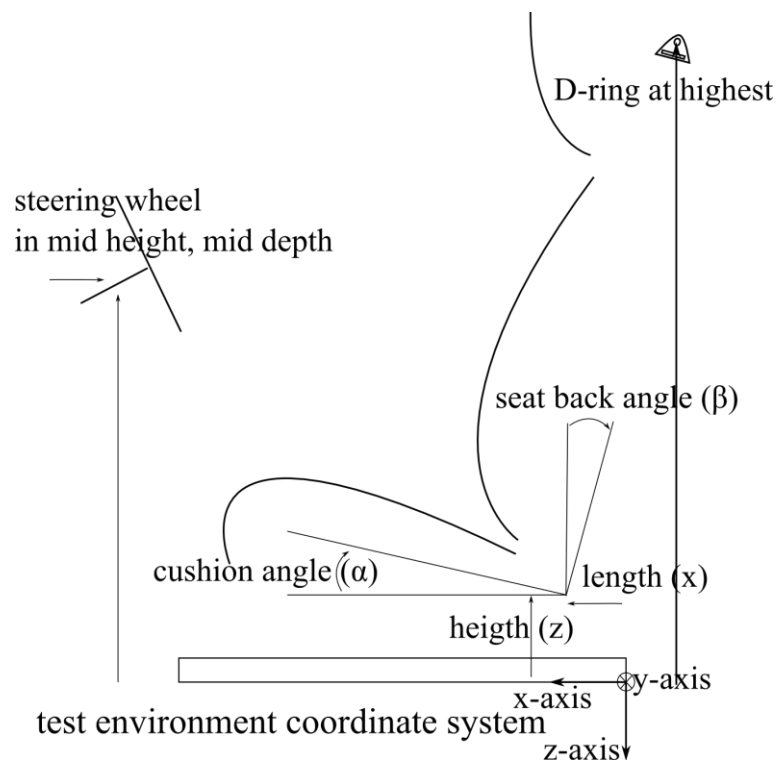


Figure 4: description of main environment variables at the driver's seat.

**Instrumentation and data acquisition.** Voltage at the poles of the battery of the car (12V, two batteries mounted in parallel, 70Ah, constantly under charge) and the current consumption were measured with a voltage divider bridge (ratio of 4) and a magnetic 80i-110s amp-meter (Fluke, USA), respectively. Tension in the seatbelt was acquired with a taylor-made transducer 5BC (capacity of 2kN) (Messring, Germany). Belt movement was measured with a 2098 optical sensor reading a sticker set on the seatbelt (IES, Germany). Kinematics were filmed with a GigE UI-5220CP camera (IDS, Germany) equipped with a LM5NCL lens with a focal length of 4.5mm (Kowa, UK). The pre-pretensioner and data acquisition system were controlled with a NI-USB6251 DAQ running two LabVIEW programs (National Instruments, USA); one for analog channels, sampling at 2kHz, and one for the camera, sampling at 50Hz (Figure 5). PPT and data acquisition system were triggered on the same analog signal. A prototype unit comprising three identical PPT devices, power supply and controller was installed in the test vehicle.

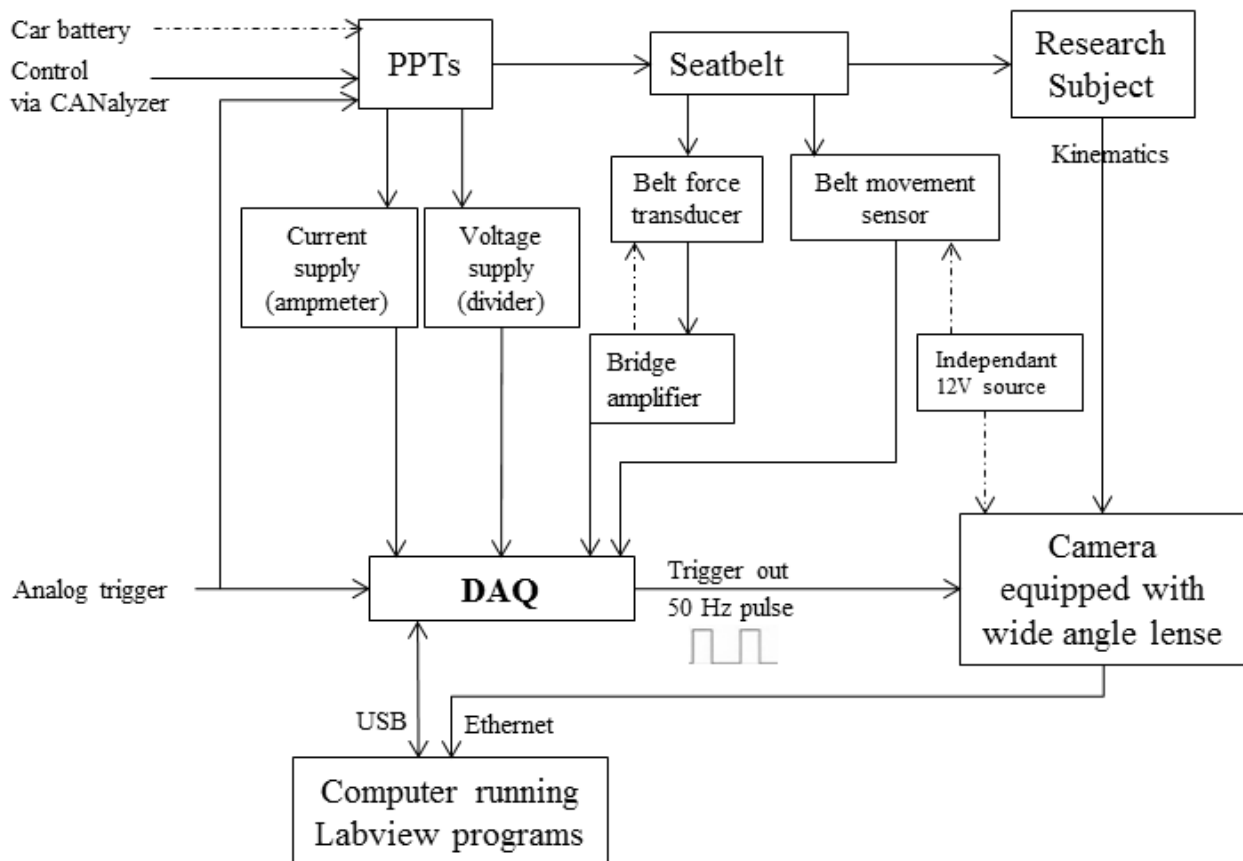


Figure 5: Data acquisition system – standard power supplies not represented.

**Calibration of measurement equipment.** The force transducer was calibrated with a one-point approach, under a load of 295N (30kg). Compensation for distortion from the lens was applied; fine-tuning of position of the cameras with regards to the motion plane allowed for reaching a tracking error estimated to  $\pm 2\text{mm}$  ( $<1\%$ ) in the area of the head and upper body (Appendix 7.5).

**Coordinate systems** were chosen in accordance with SAE J211/ISO6487 – “For vehicle and laboratory coordinate systems, positive z-axis will be directed downward, positive x-axis will be directed forward relative to the vehicle and positive y-axis will be directed away from the vehicle’s left to its right” (SAE 2007, EuroNCAP 2011). The orientation of local coordinate systems on the subjects (head and neck) were derived from the same standard (Figure 6).

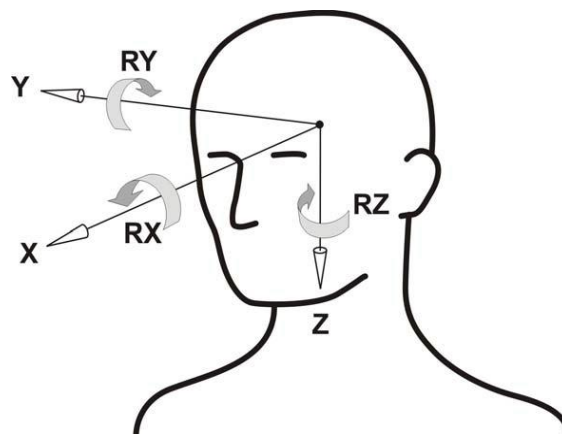


Figure 6: SAE J211/ISO 6487 sign convention

## 2.3. Test procedures

**Instructions.** As few instructions as possible were given to the subjects. Support rods in light wood were used to position volunteer subjects. In order to avoid unrealistic configurations of the head-neck complex, the subjects were asked to sit as if they were in a given situation; then the test leader positioned the rod against the reference point. The subject slightly adjusted his posture so that his/her nasion contacted the rod. Volunteer subjects were instructed not to apply a force on the rod, but just to keep it in place; they were given time to practice this exercise. For the first test, the test leader pretexted routine checks while the volunteer subject was waiting in position, holding the support rod; a chat aiming at avoiding overfocusing the subject on the experimentation took place. The PPT was triggered during the chat, without prior notice towards the volunteer subject.

**Preparation of the subjects** Two skin landmarks were positioned on the subjects with the aim of locating the center of the body of T1. Palpation allowed for locating the proximal ends of the clavicles (*Clavicle Target*) and the spinous process of T1 (*T1 Target*, Figure 7). The OC was palpated and a make-up dot was drawn on it; another target was stuck on the AM, and the last head target in line with the AM, in the Frankfurt plane, as close as possible to the infraorbitale.

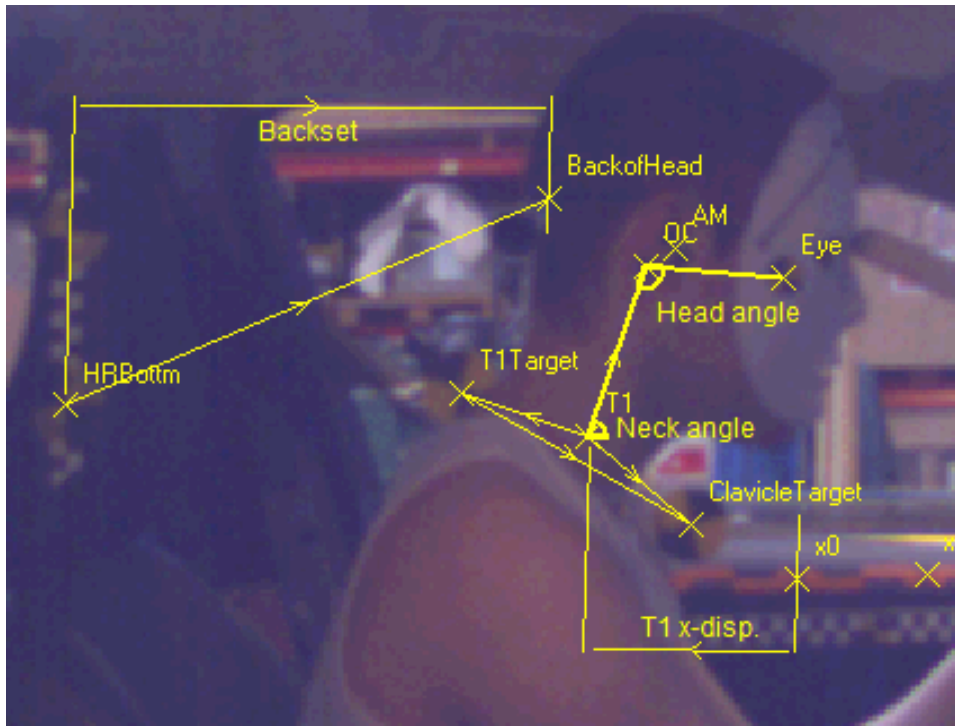


Figure 7: location of skin landmarks and the center of the body of T1 on a volunteer subject. Adapted from a screenshot from TEMA3.5-012. Cropped frame, color correction

Subject were asked to palpate and point their left and right greater trochanter, commonly used landmark for locationg the H-Point.

Video cameras recorded the kinematics of research subjects from side views only; only their profiles were recorded. Research subjects' gender, age, weight, height, seated height, and tests videos were referenced with a unique reference number; by doing so data coherence and anonymity were ensured. By wearing a disguise eye mask allowing participants for seeing while hiding their nose, eye cavity, eyebrow and lower forehead, facial recognition is hindered. Indeed, both funding theories and state-of-the-art techniques (Goldstein *et al.* 1971, Li *et al.* 2005, Efraty *et al.* 2012) were based on facial features (mainly eyebrows, eyes, nose, mouth) geometry layout. Consequently test videos constituted anonymous research material.

**Experimental precautions.** ATDs and volunteer subjects wore cotton T-shirts from the same batch to ensure similar friction with the seatbelt. Before activating the pre-pretensioner, the seatbelt was unbuckled and the webbing was pushed in and pulled out to avoid tightening effects around the spool. The test leader perceived the behavior of the volunteer subjects to be either “tense” or “relaxed”.

**Evaluation of biofidelity of AM50 ATDs** To date, no protocol aiming at assessing the effectiveness of active restraints such as PPTs taking into account the OOP issue has been published. The definition of the posture in which the research subject shall sit was expected to have some influence on the results, thus the testing position(s) had to be representative of real life conditions, as the development and optimization of the restraints would be focused on those scenarios. A literature review aiming at defining testing positions derived from real life observations was therefore conducted (Appendix 7.1). Two main techniques were implemented in order to evaluate how car occupants sit, field observational tests – by the means of video recording and processing – and questionnaires. The frequency of usage as well as the injury risk of recurrent types of OOPs in the literature were investigated. It resulted in three testing positions in the front row, two at the driver’s place (the most frequent OOP scenarios) and one at the front passenger place (the most extreme). The test matrix can be found below (Table 3).

**Effect of habituation to PPT loading on the response** The difference in response between the very first and following (second) exposure to PPT loading was implemented for AM50 volunteer subjects. The experimental setup (test environment settings, PPT unit) was identical to the one implemented for the testing positions reported in the Test Matrix (Table 3), and identical for the two tests (very first and second one). The test leader asked the volunteers to sit in the test vehicle on the driver’s seat, hands on the steering wheel, holding a support rod. The PPT was triggered, data recorded. A random initial posture was selected between an initial backset of ~120mm and ~260mm. Then the test was repeated in the same conditions. The difference between the first and the second test were to be studied.

**Front and rear seat** As differences in seat geometry and properties between the front and the rear row were expected to affect the response (Appendix 7.4), data were also to be collected in the rear seat (Position 4, Table 3). AM50 volunteer subjects participated to tests conducted in similar experimental conditions (same PPT controller unit, same model of PPT, same test vehicle, similar initial posture, use of support rods) in a front (driver) and rear (left) seats.

**Effect of anthropometry on response to PPT loading** AM50 and AF05 (close to a 12 year old child) volunteer subjects were selected for this comparison based on data collected from rear seats tests.

**Test matrix** Four testing positions were selected in order to answer the research questions (Table 3); motivations for these choices can be found under Table 3 and Appendix 7.1. Limitations in the range of motion of ATDs led to the selection of mid-sagittal OOP only. Testing positions at the driver, front and rear passenger seats were specified (particularly the backset) during pilot testing. The tests happened in stationary conditions.

Table 3: Test Matrix

Test ID	Position (Pos.)	Description	Purpose	Backset [mm]	Nasion-Ref* [mm]
1	Real life driving posture.	<ul style="list-style-type: none"> <li>• Driver seat</li> <li>• Hands on the steering wheel</li> <li>• Normal position according to “The dynamic assessment of car seats for neck injury protection testing protocol” (EuroNCAP 2011)</li> <li>• Light forward (FW) leaning, backset representative to real life driving conditions (Jonsson <i>et al.</i> 2008)</li> </ul>	Biofidelity evaluation of AM50 ATDs	80	425
2	Attempting to increase visibility at intersections.	<ul style="list-style-type: none"> <li>• Driver seat</li> <li>• Hands on the steering wheel</li> <li>• Far FW leaning, head in a position that replicates situations in which the driver attempts to increase visibility at an intersection.</li> </ul>	Biofidelity evaluation of AM50 ATDs	260	265
3	Searching the glove box.	<ul style="list-style-type: none"> <li>• Front passenger seat</li> <li>• Hands on the lap</li> <li>• Far FW leaning, head position replicating a situation in which the driver searches the glove box or the floor.</li> </ul>	Biofidelity evaluation of AM50 ATDs	400	265
4	Talking to front row occupants.	<ul style="list-style-type: none"> <li>• Rear passenger seat</li> <li>• Hands on the lap</li> <li>• Light FW leaning, head position replicating a situation in which the occupant slightly leans FW to talk to front row occupants</li> </ul>	Effect of anthropometry on results (AM50 vs AF05)	100	650

\*The reference (Ref) was a target on the steering wheel for Position1 and Position2 (driver seat), a target on the dashboard for Position3 (front passenger seat) and a target on the driver HR for Position4 (rear passenger seat)

## 2.4. Data analysis

**Corridors.** The responses of ATDs and volunteer subjects to pre-pretensioner loading were compared in terms of amplitude, peak occurrence and shape using a response corridor approach. The corridors were generated from the volunteer subjects average response  $\pm 1$  standard deviation (SD) based on the sample (Davidsson *et al.* 2001, Siegmund *et al.* 2001, Carlsson *et al.* 2012).

**Data processing.** Kinematics was tracked with TEMA3.5-012 (Image Systems, Sweden). Computed Tomography (CT) scan data extracted from the University of Michigan morphomics database (Penning *et al.* 1987), allowed for locating the center of the body of T1 based on the position of the skin landmarks both for the small females and the average male subjects. More detailed information can be found in Appendix 7.6. Locating the H-Point was

done by measuring the distance separating the thigh targets to the H-Point during the test session (Figure 8). Basic trigonometry allowed for calculating the position of the H-Point.

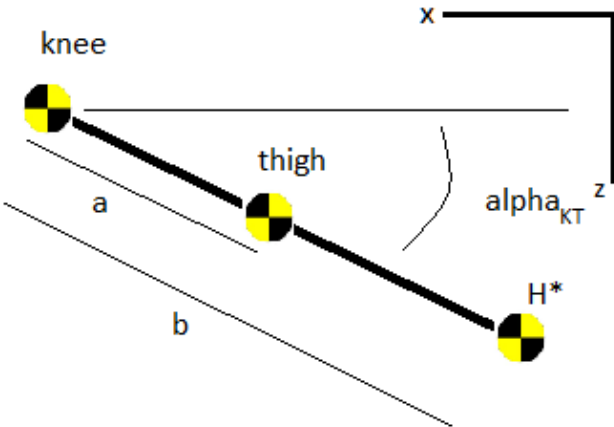


Figure 8: location of H-Point (H\*) and thigh targets

Reference points from Table 3, as well as camera positions and angles were measured with a FaroArm (FARO, USA). The signal from the seatbelt force transducer was filtered with Channel Frequency Class (CFC) of 30. The offset was corrected calculating the median over the 100 indices preceding the rising edge of the triggering signal. Analog data was synchronized at the start of the current supply ( $t=0$ ) and kinematic data were linear-interpolated to match this event.



### 3 Results

In the x- and z-directions, and in all tests, thigh targets were observed to move less than  $\pm 5\text{mm}$  over the dataset. The assumption of high stability for the H-Point was therefore validated.

#### 3.1. Description of seatbelt force and T1 x-displacement

The motion of the research subjects might be described in three phases (Figure 9). In the first phase, the seatbelt force continuously increased as the webbing was retracted and the belt slack reduced (initial plateau), until the first peak was reached at  $\sim 0.25\text{s}$  (Table 4), time at which the slope of T1 x-displacement stabilized. In the second phase, subjects started to move rearwards at an almost constant T1 x-velocity relative to the seatback, resulting in a temporary reduction of the seatbelt force. However, as the rearward motion was stopped by the seatback, the seatbelt force increased again, reaching a second peak at  $\sim 0.5\text{s}$  (Table 5); in addition the slope of T1 x-displacement slowed down, reflecting a velocity reduction. The third phase started after the second peak. The power supply to the pre-pretensioner ended, resulting in a drop in the force level. Then the pre-pretensioner maintained approximately the same force level. In addition, for extreme positions (2 and 3), a third peak corresponding to a damped oscillation in the seatbelt force at  $\sim 0.6\text{s}$  (Table 6) was observed as the subjects displayed a minor rebound, as the T1 x-displacement showed.

Table 4: Occurrence and seatbelt force levels for the first peak

Subject	Peak occurrence [s]			Force level [N]			Gradient of slope [kN/s]		
	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3
BioRID	0.20	0.25	0.20	265	265	140	1.8	0.9	0.9
THOR	0.20	0.25	0.20	210	210	175	1.4	0.6	1.2
Vol. subj. mean	0.30	0.20	0.20	245	245	110	1.0	0.9	0.7

Table 5: Occurrence and seatbelt force levels for the second peak

Subject	Peak occurrence [s]			Force level [N]		
	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3
BioRID-II	0.50	0.45*	0.50	250	160	130
THOR-NT	0.50	0.50	0.50	220	120	165
Vol. subj. mean	0.50	0.50	0.50	250	200	110

\* Minor power supply issue

Table 6: Occurrence and seatbelt force levels for the third peak (first rise)

Subject	Peak occurrence [s]		Force level [N]	
	Pos.2	Pos.3	Pos.2	Pos.3
BioRID-II	0.60	0.75	110	150
THOR-NT	0.70	0.75	95	145
Vol. subj. mean	0.60	0.65*	180	100*

\* In the area of large standard deviation for the corridor.

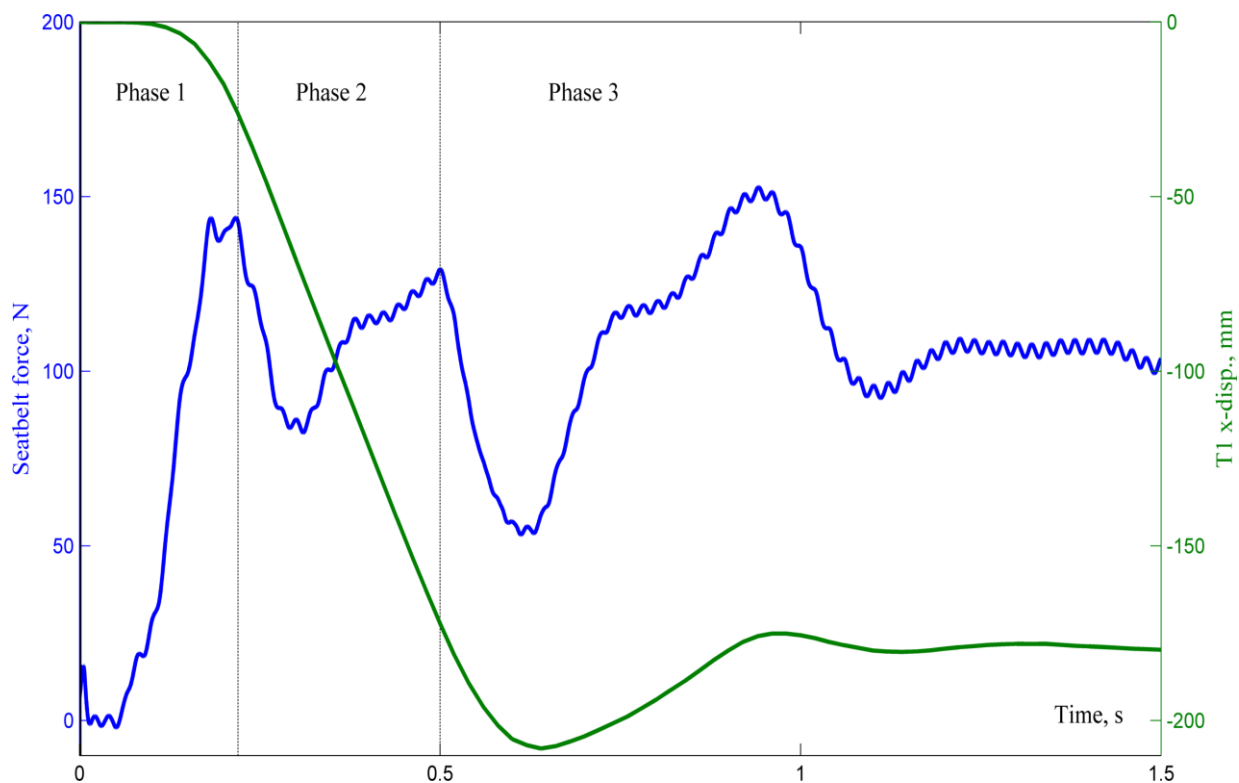


Figure 9: seatbelt force and T1 x-displacement phases vs. time (for the BioRID-II in Position 3).

### 3.2. Evaluation of ATDs

The plots described in this section can be found p19-21.

**Seatbelt force characteristics.** For Position 1 and Position 3, the THOR-NT and the BioRID-II showed quicker seatbelt force responses than the volunteers as the initial slope was steeper and the first peak occurred earlier (0.20s compared to 0.30s, Table 4).

For Position 1, the force peaks of the BioRID-II were close to the mean response of the volunteer subjects, while for the THOR-NT they were close to the inferior boundary of the corridor (Figure 10). Furthermore, the asymptote exceeded the mean force by 40N at  $t=1.5$ s for the BioRID-II while for the THOR-NT the force almost reached the mean (10N less). The force levels of both ATDs were thus comparable to those of the volunteer subjects for Position 1.

In Position 2 (Figure 11), the force response of the ATDs was delayed by  $\sim 0.05$ s compared to the volunteer subjects mean (Table 5). In terms of slope and force levels of the two first peaks, the BioRID-II (respectively 0.9kN/s, 265N and 160N, Tables 4-5) was closer to the volunteer subjects mean (0.9kN/s, 245N, 200N) than the THOR-NT (0.6kN/s, 210N, 120N).

In Position 3, both ATDs were close to the upper boundary of the corridor (Figure 12). The peaks occurred synchronously for the ATDs and the volunteers mean response, although both ATDs showed greater first peaks than the volunteers (THOR-NT 175N, BioRID-II 140N, volunteer subjects mean 110N, Table 4). Consequently, the ATDs had a greater amplitude of rebound after  $t=0.5$ s (100N) than the volunteer subject mean ( $<30$ N). Overall, the BioRID-II appeared to be closer to the force corridor than the THOR-NT for Position 3.

**Backset, T1 x-displacement and velocity.** In Position 1, the backset reduction of the ATDs was quicker than the volunteer subjects mean (by 0.20s and 0.05s, respectively, Table 7, Figure 10). The timing of T1 x-velocity and displacement were however similar (0.20s for volunteer subjects mean and BioRID-II, 0.25s for THOR-NT) (Tables 8-9, Figure 10). The

lag of the head with respect to the upper torso was thus greater for volunteer subjects than for ATDs. The backset of the ATDs had greater amplitudes (BioRID-II 35mm, THOR-NT 53mm) than the volunteer subjects mean (28mm). However, neither the THOR-NT nor the BioRID-II were in contact with the HR for Position1 (Table 12). Furthermore, for Position1, the THOR-NT had a greater T1 x-displacement than the BioRID-II and was slightly closer to the volunteer subjects mean than the BioRID.

In Position2, even though the initial backsets of the BioRID-II (~40mm) and THOR-NT (~70mm) were greater than the volunteer subjects mean (Table 12, Figure 11), the amplitude and peak occurrence of backset were closer to the volunteer subjects for BioRID-II than for THOR-NT. However, accounting for the difference in initial backset would lead to an asymptote in backset of ~70mm for the BioRID-II and ~80mm for the THOR; these were twice as large as the volunteer subject mean.

The amplitude of T1 x-velocity was greater for the BioRID-II and the THOR-NT (170mm/s and 190mm/s, respectively) than for the volunteer subject mean response (130mm/s, Table 9) for Position 1. It was the opposite for Position 2 and 3, for which the amplitude of the response was greater for the volunteer subject mean (830mm/s and 760mm/s, respectively) than for the ATDs (BioRID-II 530 and 710mm/s, THOR-NT 390 and 360mm/s). Observing the plots on Figure 10 to 13 revealed that the BioRID-II overshoot in the positive domain for all three positions, while it was in the negative domain for the THOR-NT only for Position 1. In addition the occurrence of the maximum peak – rebound – was delayed for the BioRID-II (by 0.05s) as compared to the volunteer subjects mean (Table 9).

Besides, T1 x-displacement recorded for the BioRID-II (110mm) and the THOR-NT (105mm) were quite different (smaller by more than 33%) from the volunteer subjects mean (180mm) (Tables 7-8). In terms of shape, despite the larger overrun in backset and T1 x-displacement at ~0.60s for the BioRID-II compared to the THOR, the BioRID-II was closer to the volunteer subjects mean for the backset and T1 x-displacement. None of the ATDs had contact with the HR (Table 12). Results for Position3 and Position2 were similar.

Table 7: Amplitude, peak occurrence and asymptote of the backset

Subject	Amplitude [mm]			Peak occurrence [s]			Asymptote [mm]		
	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3
BioRID-II	35	222	316	0.40	0.55	0.70	52	101	179
THOR-NT	53	179	196	0.40	0.60	0.60	20	147	241
Vol. subj. mean	28	231	354*	0.60	0.55	0.60*	41	31	62

Table 8: Amplitude, peak occurrence and asymptote of T1 x-displacement

Subject	Amplitude [mm]			Peak occurrence [s]			Asymptote [mm]		
	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3
BioRID-II	23	120	210	0.40	0.50	0.65	20	110	180
THOR-NT	36	110	130	0.40	0.60	0.6	36	105	120
Vol. subj. mean	31	180	250*	0.45	0.55	0.6*	28	180	260

\* Large spread in volunteer response; for comparison purposes, the peak is interpreted around  $t=0.6s$  (following the lower boundary of the corridor)

Table 9: Amplitude, peak occurrence and asymptote of T1 x-velocity

Subject	Amplitude [mm/s]			Occurrence of min. [s]			Occurrence of max. [s]		
	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3
BioRID-II	170	530	710	0.20	0.30	0.30	0.4	0.60	0.70
THOR-NT	190	390	360	0.25	0.30	0.30	0.5	0.60	0.65
Vol. subj. mean	130	830	760	0.20	0.35	0.40	0.5	0.60	0.65

**Head-neck complex motion.** The amplitudes of the neck and head rotations relative to the rotation of T1 (upper body) were equal or less for the THOR-NT than for the BioRID-II in all three positions (Table 10). Furthermore, the peak head rotations of the ATDs were less than those of the volunteer subjects mean in all positions. However, the peak neck rotation was greater for the BioRID-II than for the volunteer subjects for Position 2 and Position 3. For the ATDs only positive rotations were recorded, while the volunteer subjects posed an additional negative peak in the initial phase. In fact, the ATDs only displayed extension motions, while the volunteer subjects experienced a dual motion – the initial flexion of the head-neck complex was followed by an extension.

Table 10: Amplitude (max - min) of head and neck rotations.

Subject	Head rotation [deg]			Neck rotation [deg]		
	Pos.1	Pos.2	Pos.3	Pos.1	Pos.2	Pos.3
BioRID-II	2	6	7	5	35	48
THOR-NT	<1	<1	2	5	17	21
Vol. subj. mean	5	10	15	7	30	38

**T1 z-displacement** did not bring significant information, neither for Position 1 nor for Position 2; the amplitude was less than ~5mm (Table 11). For Position 3, greater changes were observed; the amplitude of T1z-displacement of BioRID-II (32mm) was closer to that of volunteer subjects on average (34mm), as compared to the THOR-NT (28mm) (Table 11). It should be noted that the BioRID-II presented a light oscillatory phenomenon (Figure 12).

Table 11: Amplitude (max - min) of T1 z-displacement.

Subject	T1 z-displacement [mm]		
	Pos.1	Pos.2	Pos.3
BioRID-II	<5	6	32
THOR-NT	<5	6	18
Vol. subj. mean	<5	<5	34

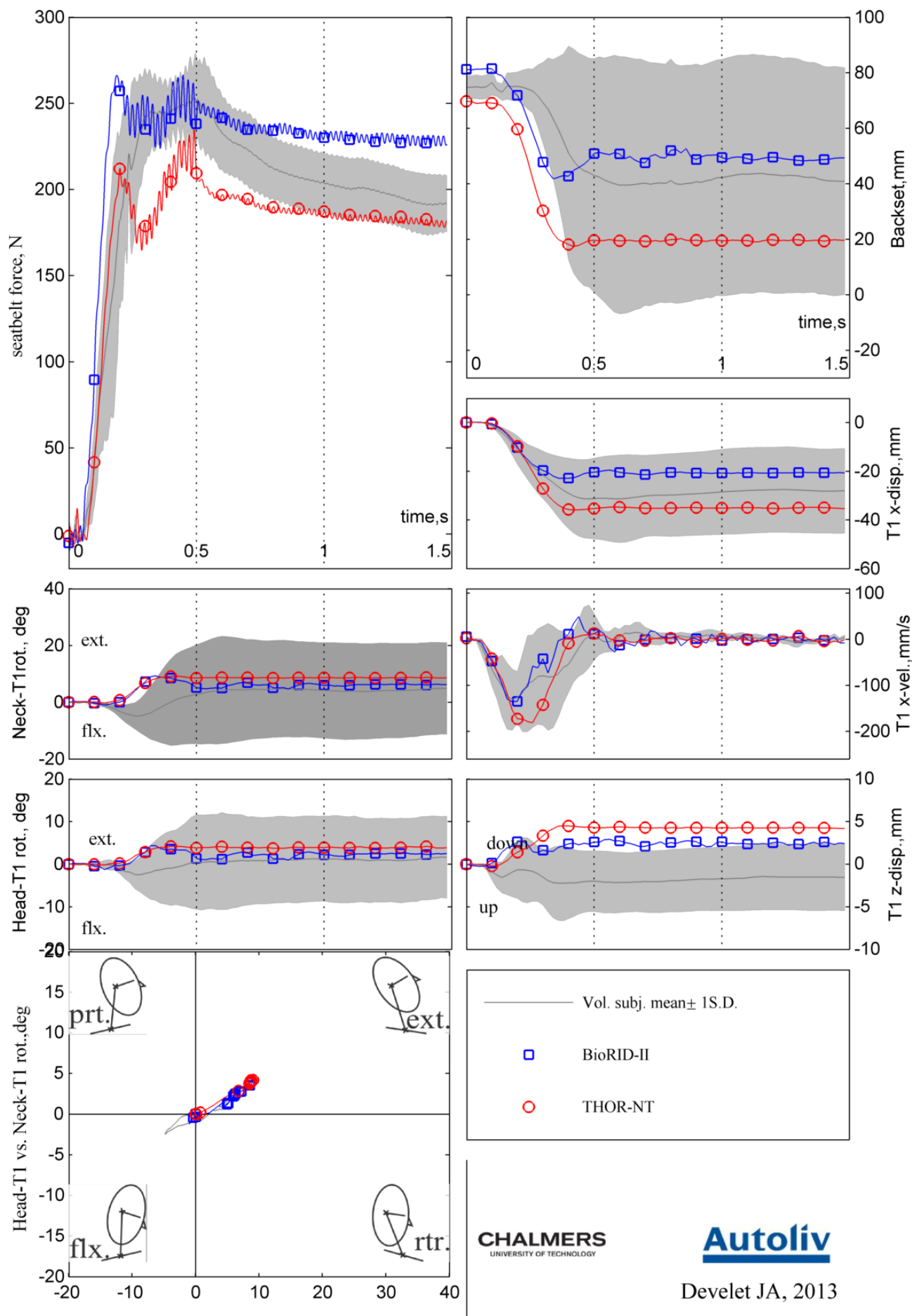


Figure 10: corridors for the evaluation of AM50 ATDs in Position 1 (Real life driving posture, backset~80mm)

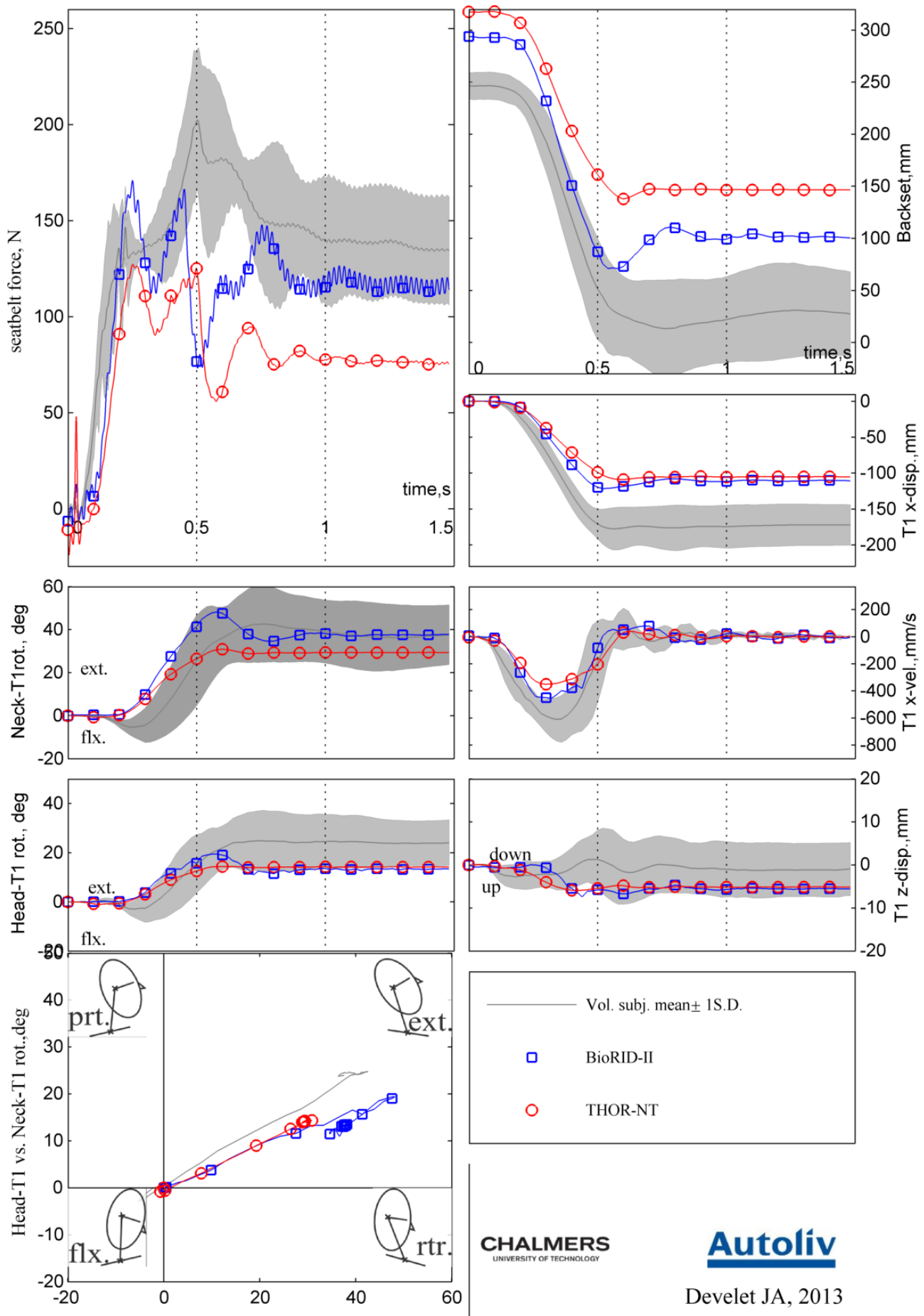


Figure 11: corridors for the eval. of AM50 ATDs in Position 2 (Increasing visibility at intersection, backset~260mm)

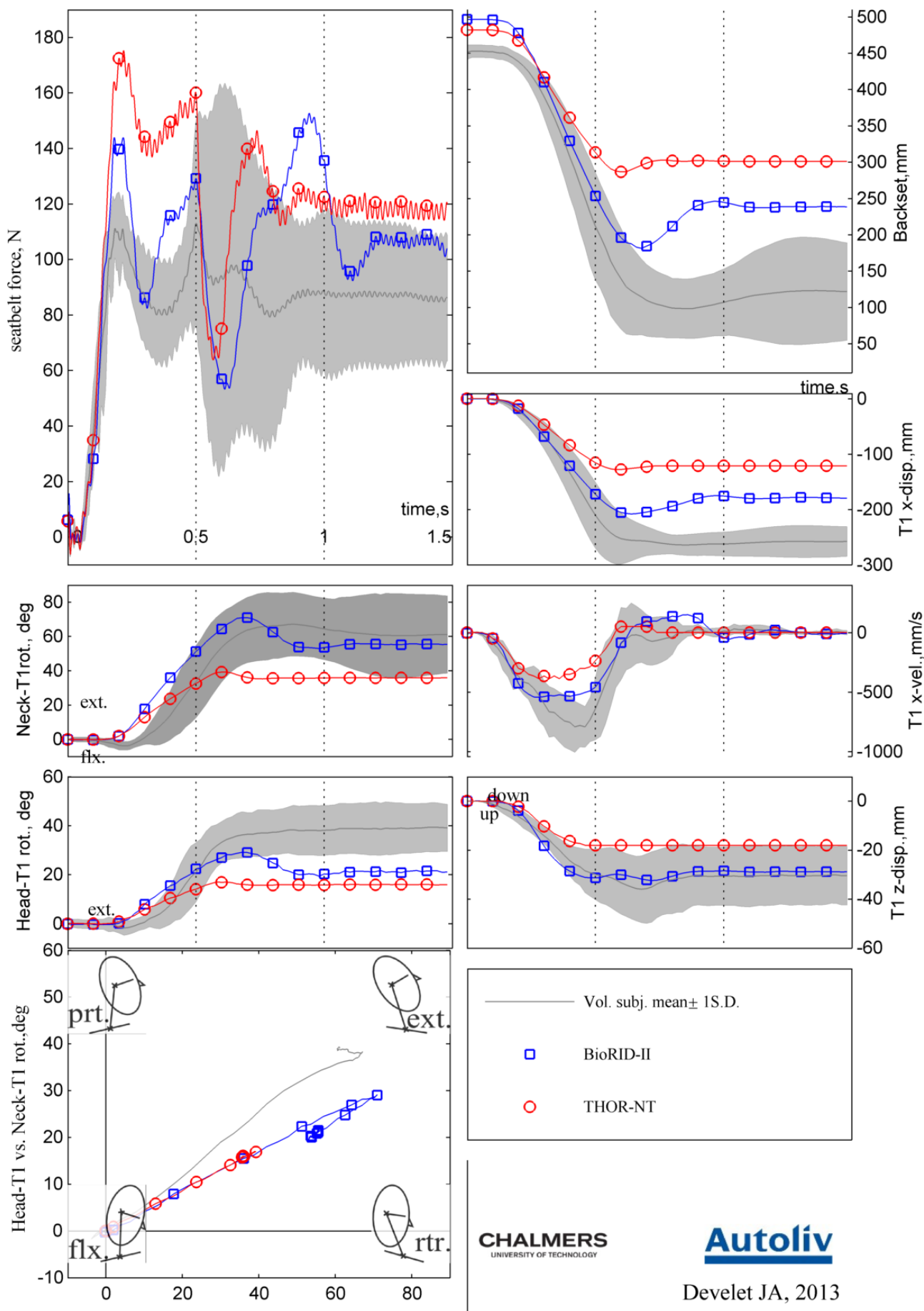


Figure 12: corridors for the evaluation of AM50 ATDs in Position 3 (Searching the glove box, backset~400mm)

### 3.3. Effect of habituation of PPT loading on the response

The first test was plotted in light color and the second one in dark color. Only data for three AM50 volunteer subjects were valid and thus presented.

For two test subjects, AM50.1 (Figure 13) and AM50.8 (Figure 15), the amplitude of the kinematics increased between the first and second test. The rearward motion improved by ~140mm for the backset, ~90mm for T1 x-displacement for AM50.8, and respectively ~30mm and <10mm for AM50.1. Head-neck movement also became larger; AM50.8 actually presented a more complex motion (flexion, protraction, extension) and AM50.1 observed larger and longer extension; this may find pieces of explanations in the overall behavior of AM50.8 – estimated to be *Tensed* – and AM50.1 – *Relaxed* (Table 12).

For one test subject, AM50.5 (Figure 14), no significant effect of first test was noticed; for both tests the HR was contacted and the subject's head indented it, resulting in a negative backset. AM50.5 was estimated to be *Relaxed*, even potentially *Helping* during regular tests.

No clear change on the seatbelt force characteristics was observed between the very first and the second exposure.

In conclusion, differences between first and second exposure might depend on the behavior of the subjects. These results showed very low amplitude of motion, thereby very little backset reduction, for a combination of surprise (very first exposure to PPT loading) and tense behaviour (AM50.8, Figure 15 and AF05.1, first test, Figure 17).



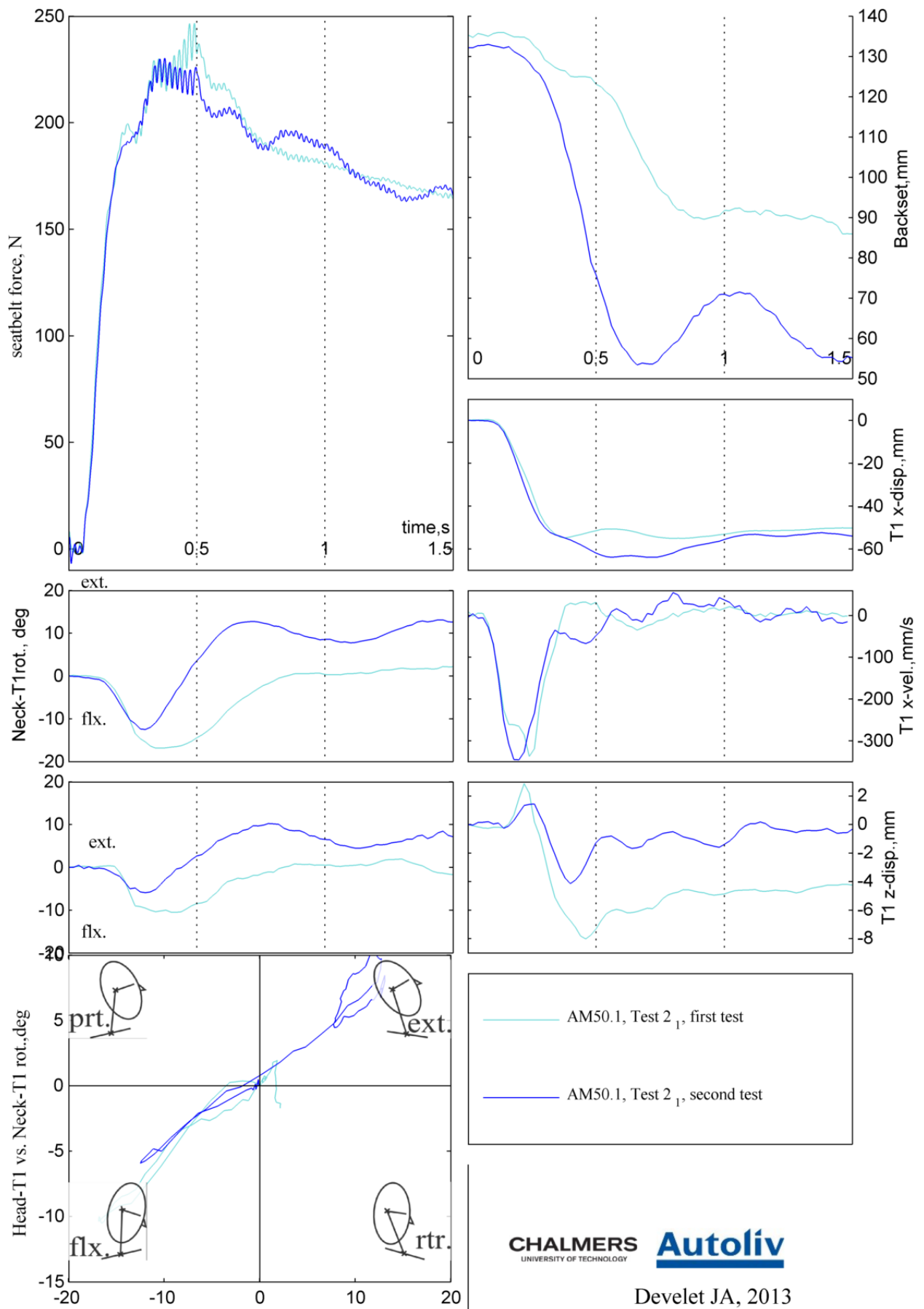


Figure 13: differences in response between the very first and the second exposure to PPT loading. AM50.1, driver seat, hands on the lap.

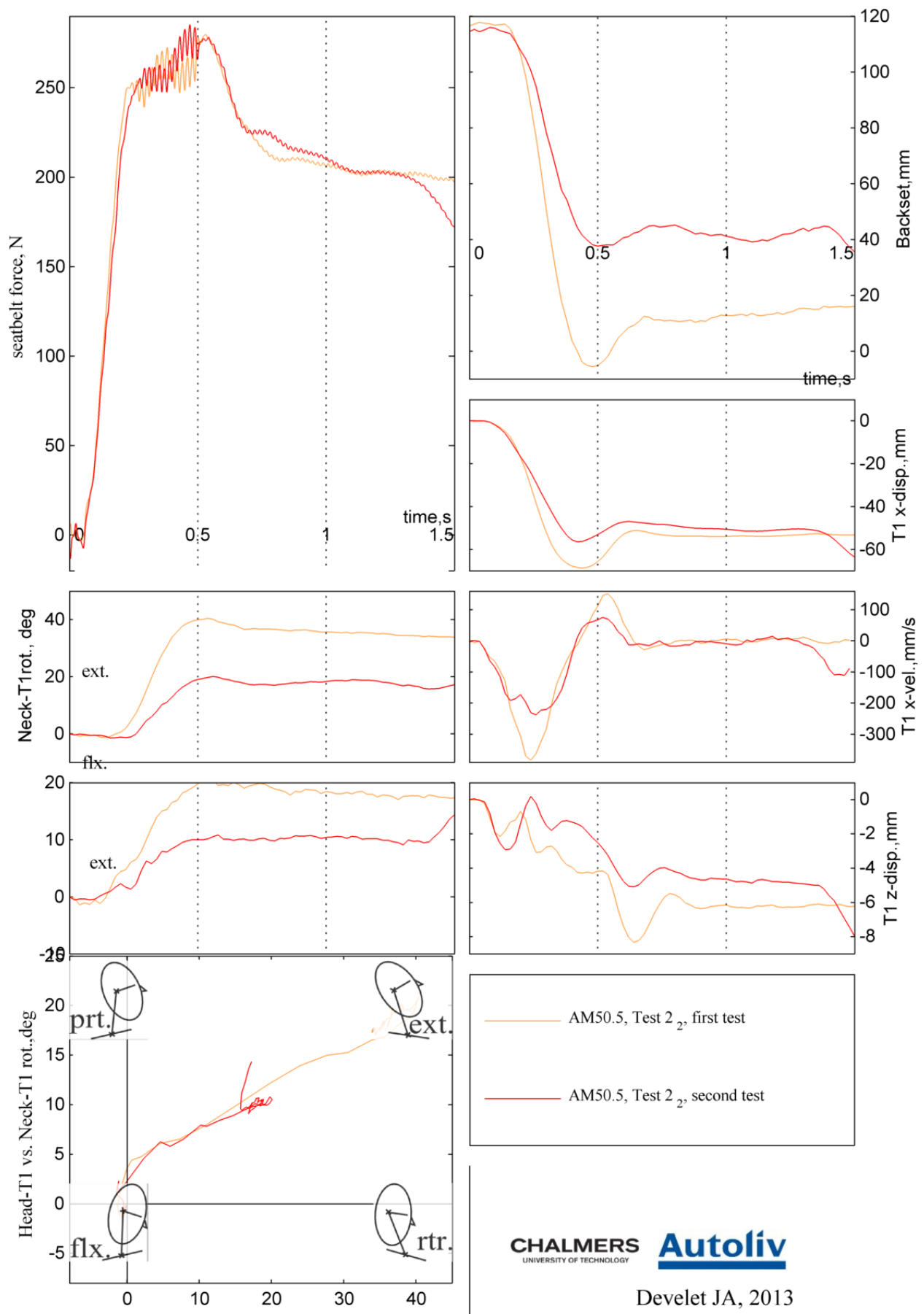


Figure 14: differences in response between the very first and the second exposure to PPT loading. AM50.5, driver seat, hands on the lap.

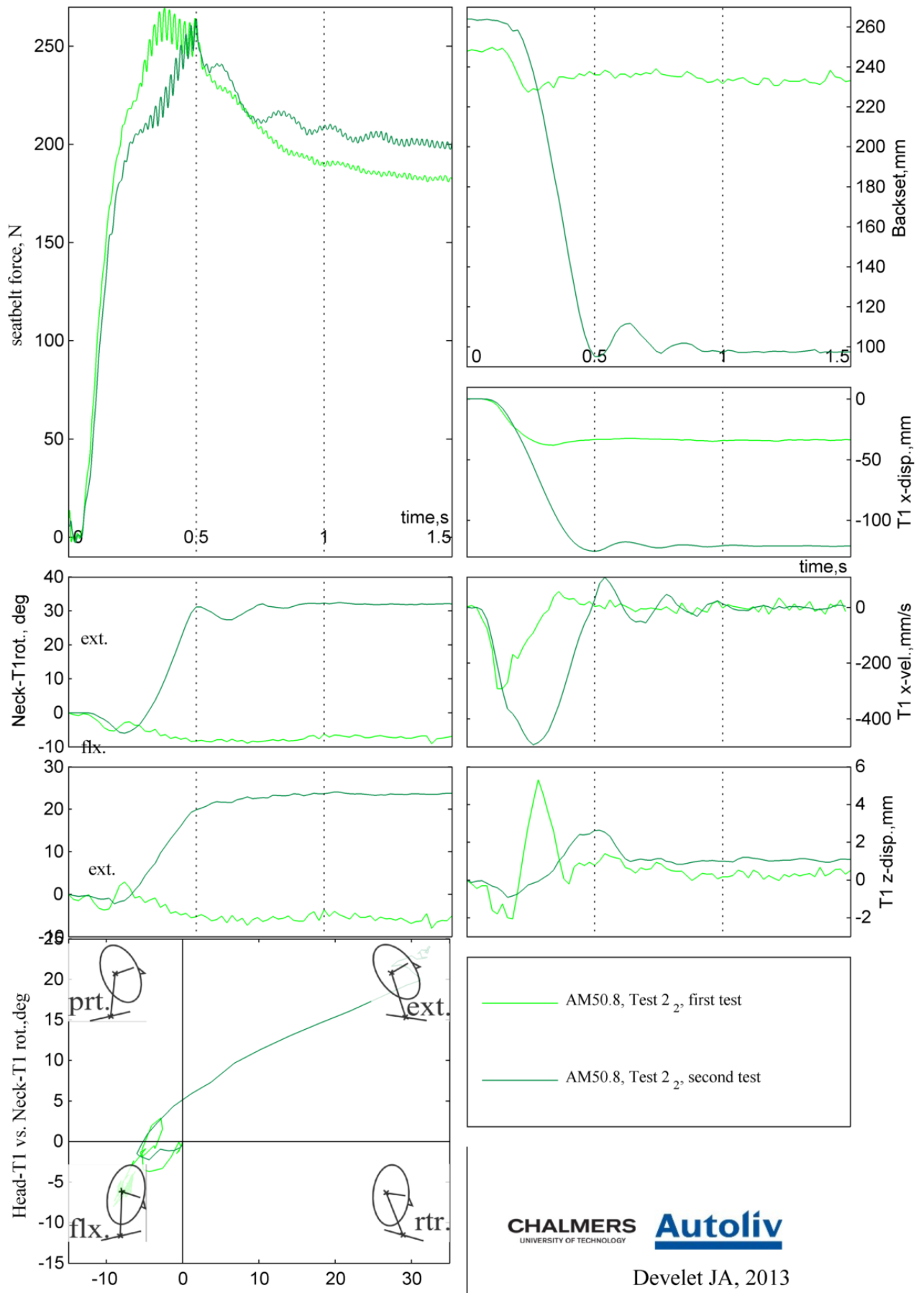


Figure 15: differences in response between the very first and the second exposure to PPT loading. AM50.8, driver seat, hands on the lap.

### 3.4. AM50 differences between the front and rear seats

The same power supply and controller units were used in the front and in the rear seat; the same model of PPT was installed in the front and in the rear. The tests conducted in front were not the very first exposure to PPT loading. Data from tests comprising AM50 volunteer subjects in the front seat (N=6) in the rear seat (N=5) were processed and analysed (Figure 16).

**Seatbelt force characteristics.** Similar force levels were observed for the corridors of AM50 volunteer subjects, at the front and at the rear seat. The initial peaks were comprised in a region close to 250N, and the plateau around 200N as well. The width of the corridor was thinner at the rear (~15N) than in the front (~30N) seats.

**Backset, T1 x-displacement and velocity.** The average initial backsets differed between front (~80mm) and rear (~110mm) tests, resulting in a difference of ~30mm. The backset reduction was however comparable (~35mm) for both tests. The rearward motion of the head was initiated earlier in the rear seat (~0.20s) than in the front seat (~0.25s).

T1 x-displacements were slightly greater in the rear than they were in the front (by ~5mm), but it should be recalled that the initial backset was larger in the rear too. The timing of T1 x-displacements were comparable; the rearward motion of the upper body was initiated ~0.20s for both front and rear seats.

T1 x-velocities reached greater peaks in the rear (~200mm/s) than in the front (~120mm/s). In terms of timing of the average volunteer response, the occurrence of the peak was earlier in the rear (~0.15s) than in the front (~0.20s).

**Motion of the head-neck complex.** The amplitude of the neck relative to T1 rotation was similar in the rear and in the front (~8deg); however the initial flexion was larger in the front (by ~2deg) as compared to the rear.

The head relative to T1 rotation did not present the dual motion (negative then positive) in the rear, as it was in the front seat; instead the head was only rotating rearwards.

The motion of the head-neck complex differed in the rear (protracting then extending) and in the front (flexing, retracting and then extending).

**T1 z-displacement.** Both responses were similar in terms of shape, amplitude (less than ~5mm) and occurrence of peaks.

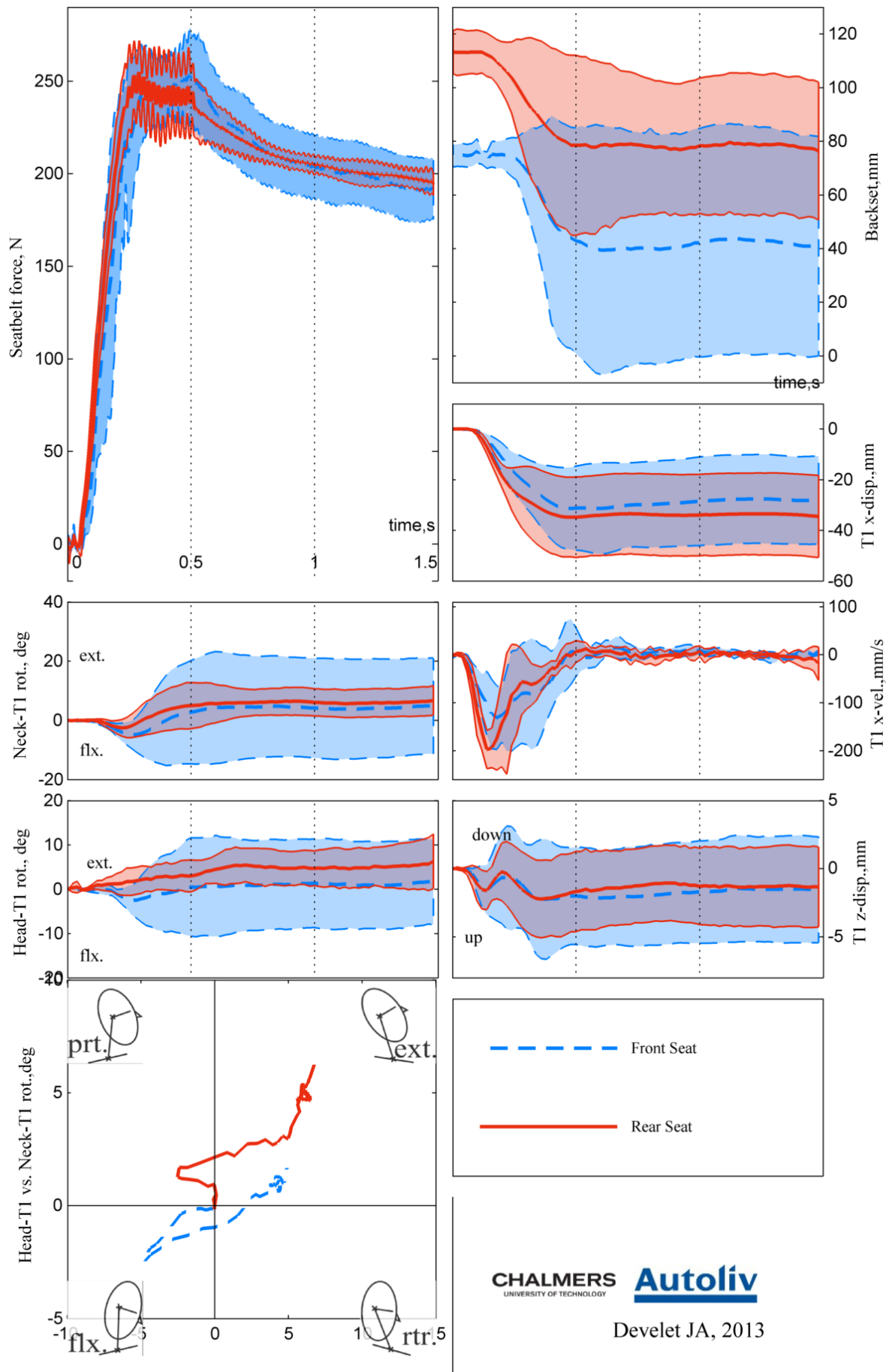


Figure 16: differences in AM50 volunteer subject responses between front ( $N=6$ ) and rear ( $N=5$ ) seats

### 3.5. AM50 and AF05 differences in kinematics

AM50 and AF05 differences in kinematics were based on data collected from tests conducted in the rear seat. The same power supply, controller and PPT unit were used for all the tests. Only two volunteer subjects matching the AF05 size were recruited. The tests in the rear were conducted after a series of seven tests in the front; the subjects had already been exposed to PPT loading. Two tests per AF05 volunteer subject ( $N=2$ ) and one test per AM50 volunteer subject ( $N=5$ ) were conducted in the rear; data from both AF05 tests were plotted as curves, and AM50 data were represented with corridors (Figure 17). The first test of AF05.1 in the rear seat resulted in very low amplitude of motions; it was thus disregarded in the results presented below.

**Seatbelt force characteristics.** Force levels for the second test of AF05 (dashed lines,  $\sim 230\text{N}$  and  $\sim 280\text{N}$ ) were closer to AM50 average response ( $\sim 245\text{N}$ ) than the first tests ( $\sim 200\text{N}$ ). Similar conclusions were drawn for the asymptote.

**Backset, T1 x-displacement and velocity.** Initial backsets differed between AF05.1 ( $\sim 160\text{mm}$ ), AF50.3 ( $\sim 140\text{mm}$ ) and the average AM50 volunteer response ( $\sim 110\text{mm}$ ). Apart from AF05.1, first test, backset reduction was greater for AF05 ( $\sim 70\text{mm}$ ) than for AM50 ( $\sim 35\text{mm}$ ). The backset asymptote was similar for AF05 and AM50 ( $80\text{mm} \pm 10\text{mm}$ ). In terms of timing, the reduction of backset as well as the start of T1 x-displacement and velocity occurred at similar instants ( $\sim 0.15\text{s}$ ). The minimum T1 x-displacements and velocities occurred  $\sim 0.05\text{s}$  later for AF05 than for the average AM50 response. T1 x-displacements reached higher values for AF05 ( $60\text{mm} \pm 10\text{mm}$ ) than the average AM50 response ( $\sim 35\text{mm}$ ). T1 x-velocities were also greater for AF05 ( $\sim 300\text{mm/s}$ ) than for AM50 ( $\sim 200\text{mm/s}$ ). This resulted in steeper slope for AF05 ( $\sim 25\text{mm/s}^2$  for AF05.3, second test) than for the average AM50 response ( $\sim 20\text{mm/s}^2$ ).

**Head-neck complex motion.** Kinematics of the head-neck complex showed similar trends for AF05 and AM50. AF05 neck relative to T1 rotations presented an initial flexion followed by an extension. The initial flexion had similar amplitudes ( $\sim 3\text{deg}$ ) for AF05 and AM50, but the final extensions were larger for AF05 ( $\sim 15\text{deg}$ ) than for the AM50 average ( $\sim 5\text{deg}$ ). Global kinematics had the same order; light flexion followed by protraction and extension.

**T1-z displacement.** The global shape of the responses of AF05 was similar to that of the average AM50. Peak occurrences and amplitudes significantly differed and did not allow for comparison.

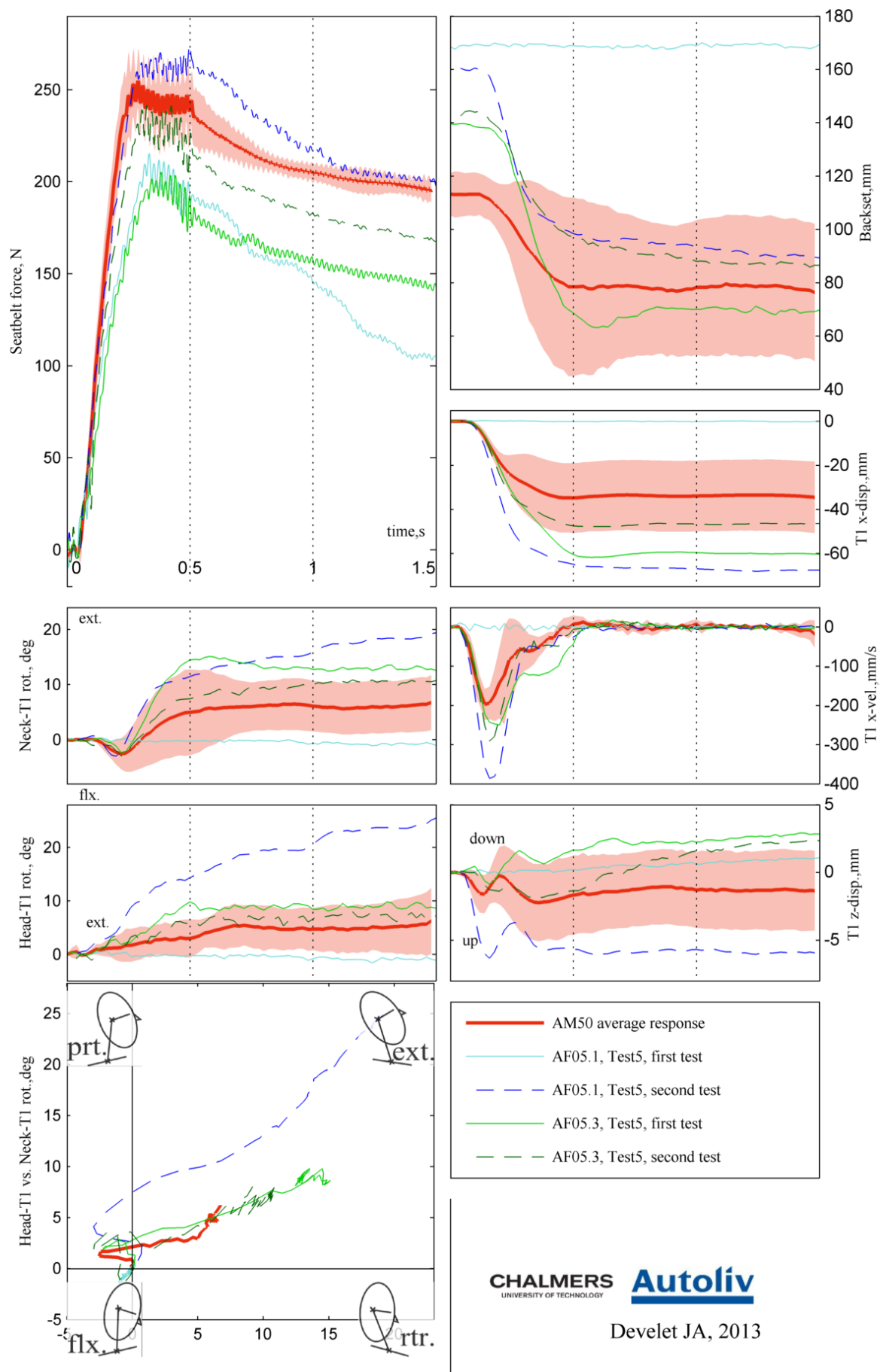


Figure 17: difference in AM50 (N=5) and AF05 (N=2, 2 tests each) volunteer subject responses

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## 4 Discussions

**Effect of individual behavior on volunteer responses.** While tense subjects mainly showed flexion of the head, relaxed subjects displayed both flexion and extension kinematics (Appendices 7.7-7.9, Table 12). Tense subjects may have contracted different muscle groups along the upper body and head-neck complex, which limited their range of motion; AM50.2 and AM50.3 depicted this phenomenon in Position1, presenting lower amplitudes than relaxed subjects (AM50.0, AM50.5). Besides, individual behavior altered the head-neck motion, see AM50.3 who protracted while others flexed. In fact, his head went moved forward (+15mm), producing the opposite effect of what was expected (which was getting the subject closer to the seat). Relaxed subjects may have aided and emphasized the response of the pre-pretensioner as seen in AM50.0 whose peak seatbelt force oscillated in the beginning (peaks at 0.12s and 0.24s, Appendix 7.7), as if he was waiting for the trigger and reacting accordingly. This would provide grounds for the delay of 0.2s in his kinematics, as compared to AM50.5 who was also relaxed. Besides, in Position2, AM50.2 appeared to activate certain neck muscles on return; the amplitude of his head and neck rotations were 40deg (mean curve 11deg) and 55deg (30deg), respectively (Appendix 7.8).

In the literature, muscle activation was reported to 1) reduce global head-neck motions, and 2) make head-neck complex responses faster (van der Horst *et al.* 1997). Muscles contraction was found to stiffen up the neck, which would acknowledge both phenomena, global motion reduction and faster response (Watts *et al.* 1999, Ono *et al.* 2006).

**First exposure to PPT loading.** Not only did it not reduce the backset and could not reduce the risk of whiplash injury, but it also got the subject tensed – which might be more injurious. It should also be added that PPT loading may surprise a driver in a pre-crash context, which could have more severe consequences (loss of control). There could be potential benefits from an “education” of vehicle occupants for the injury outcome; triggering sufficiently often the PPTs would maintain the level of familiarity between occupant and PPT, avoiding the surprise effect. Tests in the rear seat (conducted just after a series of seven tests at the driver seat) depicted this need for familiarity between the occupant and PPT loading; AF05.1 got surprised, and even though she had already been exposed quite intensively to PPT loading, her kinematics had very low amplitudes (Figure 17). Further studies focusing on the frequency of triggering of PPTs are thus recommended, as a repetitive and aggressive external load from the seatbelt might be inconvenient and get irritating – and have a influence on the adoption of the technology.

**Front seat vs rear seat.** The volunteers as well as the ATDs experienced higher T1 x-velocities in the rear seat compared to the front seats. In addition, the peak T1 x-velocity occurred earlier in the rear than in the front (Figure 16). It should be noted that both the shape of the seats and the shoulder belt upper anchorage point differ between the front and the rear seat as depicted in Figure 18. Two parameters were found to differ; first the distance between the back (at the scapula level) and the seatback, indicated by line inside the ellipse, and second the belt angle indicated by the arcs of circles (Figure 18). The angle between the shoulder belt and an horizontal line was smaller in the rear than in the front; as a consequence the normal force acting on the shoulder/chest was larger in the rear than in the front. For the same subject the mass was identical and it was found above that the force level was very comparable; the acceleration on the subject was therefore larger in the rear than in the front. This would provide grounds to the results found above. The gap between the seat and the back was smaller in the rear than in the front; this would motivate an earlier contact between the

back of the subject and the seatback, and an earlier T1 x-velocity peak in the rear than in the front. Another consequence of this gap difference may lie in the head neck complex kinematics; would the upper body travel less, the head neck complex would not be expected to move much. Besides, while the HR was hit by the head of volunteer subjects ~2 or 3 times out of 6 in the tests conducted in front row seats, no head-to-HR contact was observed at the rear seat (Table 12). It should be noted that the tests conducted in the rear seat were performed after a series of seven tests in the front, at the driver seat. The absence of initial head flexion may be due to an effect of habituation of the volunteer subjects to PPT loading.

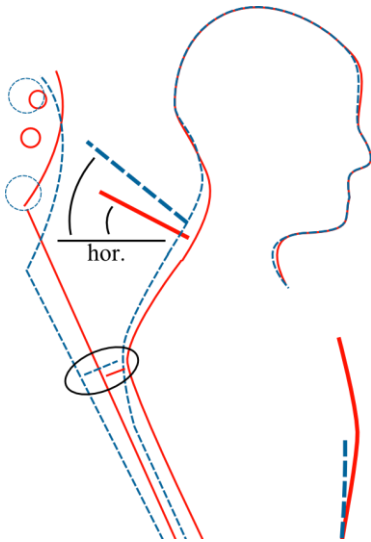


Figure 18: contours of AM50.4 at the front (blue, dashed line) and at the rear (red, solid line) seat with the seat belt (thicker lines). The inboard contour of the HR was drawn. The seatback was an approximation between the bottom of the HR contour and the H-Point.

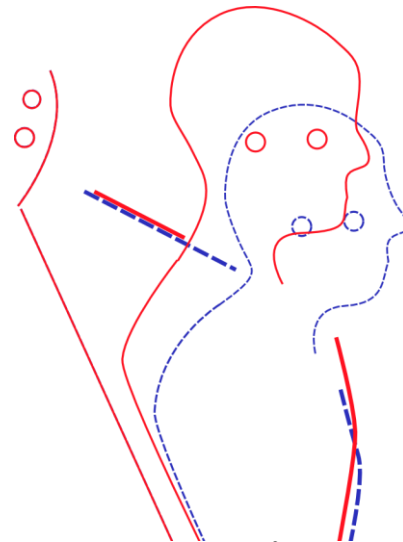


Figure 19: contours of AF05.1<sup>2</sup> (blue, dashed line) and AM50.4 (red, solid line) at the rear seat with the seat belt (thicker lines). The inboard contour of the HR was drawn. The seatback was an approximation between the bottom of the HR contour and the H-Point.

**AM50 vs AF05.** Test subject AF05.1 was perceived to be *Tense* in the first rear seat test (Table 12); it was noted in the results section that the amplitude of her motion was particularly low (Figure 17). The second test (conducted just afterwards) presented higher amplitudes of kinematics. It would appear that AF05.01 was surprised by the PPT loading. Phenomenon close to those described above under “First exposure to PPT loading” were observed.

Different initial backsets were reported for AF05.1 (~164mm) and AF05.3 (~142mm) (Table 12; this might be due to the difference in seated height between those volunteer subjects (Table 1). Difference in initial backset between AF05 (~153mm) and AM50 (~110mm) partly explained the larger T1 x-displacement for AF05 than for AM50. Furthermore this difference in backset left more room for building up velocity for AF05 than for AM50 – which would partly explain the higher T1 x-velocities for AF05 tests – but another difference with AM50 was the mass to move. For similar seatbelt force levels, the upper body mass to move was lower for AF05 than for AM50; as a consequence the acceleration were expected to be higher, resulting in higher velocities. This could be affected by the difference in seatbelt angle relative to the shoulder, which could affect the effectiveness of the PPT (via the normal force applied on the shoulder-chest); however the contours of the initial position for AM50.4 and AF05.1 (Figure 19) revealed that the shoulder belt angle did not differ significantly between those subjects. The final backset was comparable for AF05 and AM50 (~80mm); this might be due to the shape of the seat and HR.

Table 12: Spread in initial backset, contact with HR, overall behavior impression from the test leader

Position	Parameter	AM50.0	AM50.1	AM50.2	AM50.3	AM50.4	AM50.5	AM50.6	AM50.7	Average	SD	BioRID-II	THOR-NT	AF05.1	AF05.3										
1	Backset	82	76	71	74	76	70	75	4	<i>data not processed</i>															
	HR contact	1	0	0	0	1	0	0	0																
	Overall behavior	Relaxed. Helping? ++	Relaxed. Helping? ++	Tense	Tense	Relaxed. Helping?	Tense	Tense																	
2	Backset	258	251	270	235	235	231	248	14	<i>data not processed</i>															
	HR contact	1	1	1	0	1	0	0	0																
	Overall behavior	Relaxed. Helping? ++	Relaxed. Helping? ++	Tense Self-muscle activation?	Relaxed	Relaxed. Helping?	Tense	Tense																	
3	Backset	392		49	393	379	391	384	10	<i>data not processed</i>															
	HR contact	0	1	0	1	1	1	0	0																
	Overall behavior	<i>test not valid</i>	Relaxed	Relaxed, Self-muscle activation?	Relaxed, Freezes after contact with seat	Relaxed, Freezes after contact with seat	Relaxed, Freezes after contact with seat	<i>test not valid</i>	Relaxed, Particularly slow																
4	Backset	116	122	111	101	116	113	8	<i>data not processed</i>																
	HR contact	0	0	0	0	0	0	0							0										
	Overall behavior	<i>test not valid</i>	Relaxed, Stick does not fall	Relaxed, Self-muscle activation?	Relaxed, Stick does Self-muscle activation?	Relaxed	Relaxed	Relaxed							Relaxed										
														168 <sup>1</sup>	140 <sup>1</sup>	160 <sup>2</sup>	144 <sup>1</sup>	0 <sup>1</sup>	0 <sup>1</sup>	0 <sup>2</sup>	0 <sup>2</sup>	Tense++ <sup>1</sup>	Relaxed <sup>1</sup>	Relaxed <sup>2</sup>	Relaxed <sup>2</sup>

\* THOR-NT without jacket on Position3; <sup>1</sup> first test; <sup>2</sup> second test

**Computation of the corridors.** In the present study, standard deviations and means were not strongly significant as the number of volunteer subjects per test position was only six. The time to return occupants to the seat and the effect of individual behavior on the response increased as the degree of forward leaning increased. It has been reported that the rearward motion of the subject would be more restricted if lumbar spine was in contact with a firm surface of the seatback early in the crash event (Hofinger *et al.* 1999). The upper body was found to pivot around the top of the contact patch between the seatback and the occupant's back. The spine transferred the movement up to the head, affecting the head's trajectory and potentially the injury risk. This could be a piece of explanation for the difference in width of the corridors for Position 1 – light OOP and lumbar spine likely to be in contact with the seat back – and for Position 3 – extreme OOP and lumbar spine unlikely to be in contact with the seat back – reaching approximately 140N, in comparison to the mean (around 90N) (Appendix 7.9). The dataset comprised different potential reactions in real life, against which PPT should work.

**Definition of testing positions** was based on an extensive literature review, focusing on observational studies on how road vehicle occupants sit. In most observational studies, cameras have been fixed at a key point of the traffic (for instance, bridge on the highway, traffic light, round-about) in most observational studies so far. Thus, only a description of the sitting posture at specific traffic spots have been collected, introducing bias as the position of the occupants might depend on the traffic context. As a consequence, data regarding the actual time distribution of different sitting postures – which would allow for studying OOP frequencies – was missing. The second technique consisted of polls based on questionnaires, also subject to biases.

A significant improvement in the knowledge of how road vehicle occupants sit under different types of traffic context would lie in the embarkation of cameras inside the test vehicle (Charlton *et al.* 2010). Equipped vehicles have been used by families in their everyday need, which made possible the measurement of the duration of each unusual position of rear occupants. However, this study focused on children sitting in the rear seat. To the author's knowledge, no similar study for adults in the rear or front seats or for drivers has been published yet. Such studies would more accurately quantify how adult occupants do sit, and would potentially change the testing positions used for the evaluation of the effectiveness of active restraints. The choice of testing positions taken in the present would therefore be either confirmed or dismissed; this was perceived as a potential limitation of the present thesis.

**Minor issue with the power supply.** Due to the state of the battery an early drop in the seatbelt force was observed in Position2 with BioRID-II (Table 5). The amplitude of the damped oscillation in Phase3 and the asymptote level at  $t=1.5s$  may have been affected. However, this advance (0.05s) was rather insignificant and any impact on the kinematics should be limited.

**Effect of the support rod on the seated posture.** Different combinations of head and neck angles allowed for holding the support rod still. There might be effects on the kinematics, as the initial posture affected the position of the head and thus the initial backset (Figure 17). This would explain the SD in backset (Table 12). However, no major effect of the initial posture on the volunteers' response was observed.

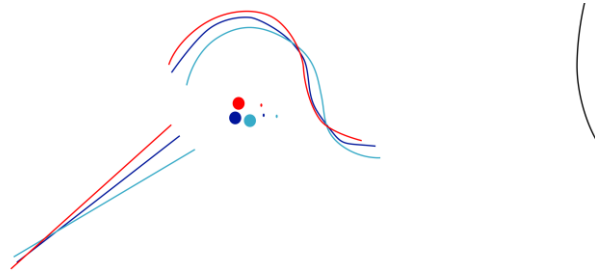


Figure 20: Different initial seated postures for three volunteers in Position 3. The contours of the head and HR were in the mid-sagittal plane. The straight line was the support rod, the large dot the target at the Auditori Meatus, and the small dot the Occipital Condyle. The color scheme followed the plots of the volunteer subjects (Appendix 7.9).

**ATD seated position and OOP instability.** Both ATDs had difficulties maintaining the predefined posture in Position2 and Position3, and had a tendency to move towards the seat. This effect was greater for Position2 than Position3. In order to avoid the retractor pulling the ATDs and increasing the instability, some belt slack was set between the shoulder and the D-ring. This was not the case for the volunteers who tensioned the seatbelt while leaning forward. This may explain the time delay of 0.05s (Table 4) at the beginning of Position2 in the ATDs seatbelt force and lower force level with regards to the corridor in Position2 as compared to Position1 and Position3.

**THOR-NT, construction detail.** The instrumentation wires that of the ATD utilized for the tests exited the body in the region of the lumbar spine; they may have potentially interacted with the seat.

**BioRID-II and belt loading.** A feedback from the discussion after the oral presentation at the 9<sup>th</sup> Injury Biomechanics Symposium was about the absence of shoulder on the BioRID-II, and its ability to behave in a biofidelic way under seatbelt loading. The results of this study showed some potential in this ATD for PPT testing. Low-g frontal collision testing (whiplash injuries in frontal impacts) involving the BioRID-II may provide elements to this debate.

**Regarding the strategy in the pre-crash phase – thoughts about the interaction between PPT triggering and collision avoidance systems** Preparation to impact was reported to depend on the awareness of the occupants to a safety critical situation. Based on finite-element analysis simulations, it was found that evasive manoeuvres might be more injurious than protective (Antona *et al.* 2010). Simulations were run with and without braking maneuver in a frontal collision. The injury outcome was higher with braking maneuver. Even though braking induced a dissipation of part of the kinetic energy, and decreasing the impact violence, it also generated an acceleration field that took the occupant OOP (Kumpfbeck *et al.* 1999). Similar results than (Antona *et al.* 2010) were brought by (Pacaux-Lemoine *et al.* 2006), wherein volunteer subjects were confronted to an unavoidable frontal crash scenario in a simulator. Drivers tended to change their sitting posture right before the impact while taking evasive manoeuvre (in this study steering and braking). Emergency steering led to arms crossing and spine rotation for the driver, right before a frontal crash. The forward motion of drivers while braking in real life driving conditions was studied in passenger vehicles; mean forward motions were 97mm (S.D. 47mm) for the head, and 55mm (S.D. 26mm) for the chest (Carlsson *et al.* 2011). Under 0.8g of deceleration and relaxed muscle conditions, the head was reported to move forward by ~60cm (Ejima *et al.* 2007). As a consequence the subjects got closer to harmful surfaces (steering wheel, dashboard) and thus closer to the airbag in the early crash. It has also been observed that lateral accelerations caused by emergency steering

may make the shoulder belt slip off the shoulder (Zuppichini *et al.* 1997). Last but not least, all subjects were found to be OOP at the impact time (Pacaux-Lemoine *et al.* 2006). These facts added to the potential benefit of for PPT systems, which provide the possibility to reduce OOP from the very beginning of the crash. In terms of timing (Figure 21), it was found that a passenger car starting decelerating (reached 5% of the maximum deceleration) ~200ms after the trigger signal, and reached full deceleration ~700ms after the trigger signal (Östh *et al.* 2013). The PPT was found to need ~100ms to respond and remove the belt slack, and ~250ms to reach its maximum (Figure 9). In addition, the kinematics of the occupant was stable at ~600ms for Position 1 (Figure 10), ~1s for Position 2 and 3 (Figure 11 and Figure 12).

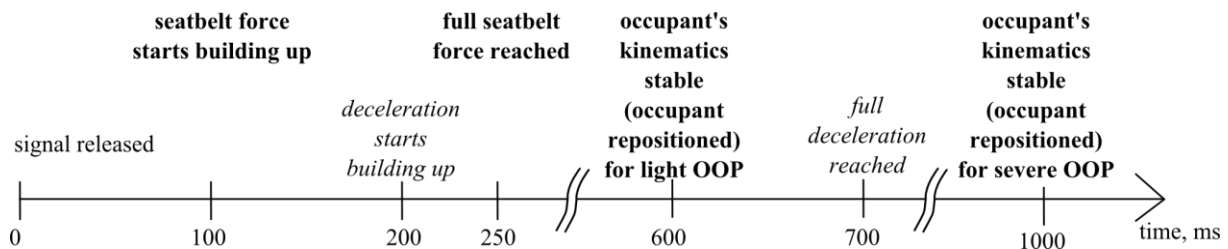


Figure 21: timing of pre-pretensioner load in stationary conditions (*bold*) and of deceleration events (*italic*)

A first proposal is the following; 1) trigger the PPT, removing all belt slack and repositioning the occupant and 2) take an emergency action (auto-braking maneuver for instance). This tentative strategy proposes to take action in the interior of the vehicle first, and then to the dynamics of the vehicle. The issue is the time required to reposition the occupant, that was observed to reach ~1s (Figure 21). Sequencing PPT loading and braking would therefore require ~1,7s – to which the time to brake shall be added. A time-to-collision threshold greater than 2s would be required, which would trigger the PPT quite often in dense traffic. Not only this would pose problems of acceptance of the system, this might also be problematic for elderly in terms of thorax injuries (see following discussion item). A way of reducing this timing would be fine-tuning of the brake system and PPT triggering time, so that the correct deceleration level arises at the right timing. A too early trigger would require a stronger PPT as the deceleration of the vehicle would push the occupant forward while the PPT load pulls him/her rearward. A too late trigger would result in a loss of performance. This requires in-depth investigations.

**PPT loading and at-risk populations.** One concern raised both during the presentation of this study at the International Center of Automotive Medicine (University of Michigan) and the discussion session at the 9<sup>th</sup> Injury Biomechanics Symposium was the tolerance of elderly to PPT loading, particularly in terms of chest injuries, and rib fractures. Measuring chest compression under PPT loading would provide data on this topic. The effect of subcutaneous fat on the response and the repositioning potential of PPTs would also be worth a study, as obese population was found to be growing (WHO 2013). Last, but not least, the benefit of PPTs on children occupants in the rear seat shall be investigated, as mechanical properties hence tolerance to external loading were reported to differ from those of adults (Poitout 2004).

**PPT loading and sideway/rearward facing.** Subject rotating the head were found to be more at risk in terms of whiplash injury severity than subjects with a straight head (Appendix 7.1). It should be noted that rearward facing, expected to involve the rotation of the head and spine close to the limit of the range-of-motion, was found to seldom occur in different traffic context. One might wonder what the injury outcome of pre-pretensioning would be, should the occupant face rearwards.

**Tentative improvement suggestions to the construction of the ATDs.** Both ATDs had difficulties reproducing the head rotation, which points towards a modification of

the upper spine and potentially the occipital joint. In addition, modifications to the neck may help reproducing the initial flexion. The latter changes would get a high priority as they would help improving the head motion with regards to the upper body – which affects neck loading and geometry (local extension or flexion). Changes to the stiffness (section and material of torsion bars in the neck and thoracic spine, pretension of muscle substitutes) and damping (rotational damper, rubber blocks) properties are potential tracks of improvement. For far forward leaning positions, both ATDs posed too low T1 x-displacement; by reducing the friction in the pelvis joint this point might be improved. The pelvis could also be modified in a pedestrian pelvis manner in order to gain in stability in extreme OOP cases.

## 5 Future work

The following bullet points listed both recommendations and ideas for future work raised during the Master's thesis work.

- Recommendations regarding methods and material:
  - H-Point outside the frame; take sufficient margin around the area of interest
  - Use a normal lens whenever applicable
  - Complete chess board behind the motion plane, not only chess stickers (bands). More stable references for coordinate system while tracking films.
  - Pilot studies; Practise data acquisition and data processing before testing
  - Data processing routines ready and operational during testing; conducting a direct analysis may provide the test leader with quick metrics evaluating the validity of the experiments.
- More detailed studies on how all road vehicle occupants (any sitting posture, any age, any gender etc.) shall fund the sitting posture selection. It seems that it is a need to know how all occupants do sit in road vehicles to improve restraints effectiveness.
- A protocol to assess the effectiveness of active restraints, taking into account the OOP issue.
- In depth investigations for pre-crash strategy: when to prepare the interior, when to modify the dynamics of the vehicle
- Investigating the effect of PPT on population at risk (elderly, children, obesity)
- Adapting PPT loading to anthropometry (gender, weight, seated height)
- Discussing the benefit of PPT under unusual OOPs scenarios (e.g. rotated head or leaning sideways resulting in head to HR misalignment ) and non longitudinal impact directions (side impact)
- Investigating the potential of using even lighter loads - type of haptic warning, so the occupant repositioned on his/her own. Less risks and better acceptance?
- PPT on 4-point belts, type criss-cross, for outboard leaning repositioning.

## 6 Conclusions

Over the three tests conducted at the front row (driver and front passenger seats), BioRID-II was found to reproduce the volunteer subjects mean response more appropriately than THOR-NT. Both ATDs showed limitations with regards to the reproduction of the full head-neck complex global kinematics and upper torso x-displacement of the volunteers. Construction changes to the spine, pelvis and occipital joints may lead to improvements in the biofidelity of these ATDs under pre-pretensioner loading. The latter loading reduced the degree of OOP towards more tolerable levels of backsets which shows the potential of this type of active restraint in injury prevention for 50<sup>th</sup> percentile male subjects, in the driver and front passenger seat, in rear-end collisions. Furthermore, data for four sizes of volunteer subjects (AF05, AF50, AM50 and AM95) were collected and partly analysed. Tests conducted in the rear seat showed faster T1 x-displacement than in the front seats. Similar tests conducted with AF05 and AM50 also presented faster rearward motion with lighter volunteer subjects. These early results indicate the need for PPT loading levels adapted to the anthropometry of the occupant. The analysis of remaining volunteer and ATD data would contribute to the evaluation of the biofidelity of other size groups and gender, including tests in the rear seat, would actively contribute to the development and implementation of pre-pretensioners in road vehicles of tomorrow.



## 7 Appendices – AM50 volunteer subject data

### 7.1. Literature review – different OOP cases

**Sitting upright** An observational study, shooting road vehicles from a static point of the traffic environment was ran in different traffic contexts and in three countries (smoothing fleet state and culture habits effects) estimated that 78% of drivers had a backset qualified as “medium” or “relatively normal in appearance” (Bingley *et al.* 2005), which could be interpreted as if they sat in a posture close to the *nominal sitting posture*. Two recent (important because of potential seat and head restraints design improvements) studies provided real-life measurements of backset during driving in different contexts, and respectively reported average backsets of 77mm, 85mm for males (Jonsson *et al.* 2008, Shugg *et al.* 2011). These figures exceeded recommendations (see §1.2 p.2).

While only one vehicle occupant (the driver) was reported in 78% of the observations, the presence of a front passenger occurred in 20% of those observations (Bingley *et al.* 2005), making investigations at this seat rather relevant. Adult front passengers participating to a survey on which posture they take at the front passenger seat reported to sit in the “*nominal sitting posture*” ~45% of the time (Zhang *et al.* 2004). It should be noted that this study did not report backset indications, and that participants answered a questionnaire; therefore the estimation of the “*nominal sitting posture*” was subjected to bias. Observations were led in order to validate data from the survey. By grouping all postures close to the latter “*nominal sitting posture*”, participants reported a number of 60% - while observations found an usage rate of ~80% (Zhang *et al.* 2004). The latter observations were consistent with the finding from (Bingley *et al.* 2005), reporting 82% for front passengers.

**Rotated head.** A cohort study examining and interviewing N=100 subjects suffering from whiplash injury found that rotated heads were associated with a higher risk of suffering from severe symptoms than straight heads (Sturzenegger *et al.* 1994). More recently, car occupants with a rotated head were reported to have higher initial AIS1 neck injury risk (0.4) than straight heads (0.3) (Jakobsson 2004). In terms of occurrence, facing sideways accounted for 3.4% of front passengers and 5% of rear passengers postures in (Bingley *et al.* 2005), while a number of ~10% was reported in (Zhang *et al.* 2004, Bingley *et al.* 2005), and <=1% of passengers faced rearwards (Bingley *et al.* 2005). No estimation of the head rotation angle was reported, making the design of a testing position difficult. It was also observed that drivers not only turn the head but also tend to lean towards the direction of the corner before turning (Dinas *et al.* 2002). No combination between leaning sideways and rotating the head was reported in (Zhang *et al.* 2004).

**Leaning forward** has been extensively studied (Benson *et al.* 1996, Lorenz *et al.* 2001, Jakobsson 2004, Zhang *et al.* 2006, Good 2008, Charlton *et al.* 2010, Viano *et al.* 2011). Neck loads were found to increase with the degree of forward leaning (Benson *et al.* 1996). In terms of frequency, leaning half as well as full forward were found to be rare, with an usage rate <2% for front passengers (Zhang *et al.* 2004). Large backset, somehow forward leaning, represented 5% of all drivers observation (Bingley *et al.* 2005). In addition leaning forward was the most frequent OOP event for young children, accounting for one third (Charlton *et al.* 2010).

From this discussion, half and full range of forward leaning are recommended for testing, for front and rear passengers. Due to less motion freedom the driver may only test the half forward position, under two conditions (relaxed, and bracing against the steering wheel). Given that seats in front are identical on both sides, it would be redundant to test the half

forward position on the driver. The full forward position might be tested for the front passenger only.

**Leaning sideways.** Lateral OOP of front row occupants was monitored in straight roads in urban context; it was found that the mean position was very close to the in-position (-8.6mm, S.D. 37mm), and that drivers tended to lean towards the direction of the upcoming turn (Dinas *et al.* 2002). Front passengers were reported to lean sideway slightly below 10% of the cases (estimated usage rate for each side) (Zhang *et al.* 2004). In terms of injury risk, rigid body simulations of a braking maneuver reported three times higher exposition to head injuries (based on the HIC) when the occupant leaned inboard, as compared to the normal sitting position (Bose *et al.* 2010). Nor the neck (based on the Nij) neither the chest (based on the chest deflection) were found to be affected by this OOP scenario; piece of explanation might lie in the head restraint effectiveness. In the rear seat, lateral shifts represented ca. 30% of all of the OOP events, knowing that children in a Child Restraint System sit OOP 70% of the time during their journey (Charlton *et al.* 2010).

The effectiveness of PPT in the inboard and outboard cases would be expected to differ because of the three point belt dissymmetry. The mitigation in the inboard case might be easier as the direction of the seatbelt would be close to that of the repositioning trajectory; friction between the seatbelt and the clothes on the chest would also be expected to help the repositioning. In the outboard case, the occupant might be taken back, but not in the middle of the seat. A four point seatbelt in a symmetrical layout such as the criss-cross may have some potential.

Would the head be misaligned with the HR, PPT loading would not correct the alignment as tensioning the seatbelt only reduces the gap between the occupant and the seat back.

Making the ATDs lean sideways was expected to be difficult for stability reasons.

**Leaning forward and sideward** is the most extreme OOP with regards to the amount of webbing pulled out. No usage rate was found in literature. Leaning forward and inboard would be observed when drivers search inside the glove box, or rear passengers talking to front row occupants. Rear-end impact (21g, 48km/h) tests were conducted with the Hybrid-III AM95, in-position and leaning forward and sideward; HIC and neck loads were at least twice greater in the in- and outboard scenarios than in the normal sitting position (Viano *et al.* 2009). Depending on the side (inboard or outboard), the values differ since the three points seatbelt is asymmetric. This side-dependant trend is confirmed by (Bose *et al.* 2010), who ran rigid body simulations of 50<sup>th</sup> percentile male (57km/h, 0.8g, frontal impact).

**Leaning rearwards** was observed when the seat back angle was bigger than the standard position. Its evaluation in a standard observational study setup would be hindered by door and B-pillar, which might explain why it has not reported in observational studies to the author's knowledge. Rigid body simulations reported higher neck injury risk (almost doubled for the Nkm and Nij) for a frontal impact when the subject leaned rearwards compared to a normal seating position (Jakobsson 2004).

**Choice of OOP scenarios.** ATD limitations in terms of range of motion, due to their construction, did not allow for head or spine rotation. In addition, no sideway leaning was implemented as it was expected to disturb the stability of ATDs, making them hard to position. Indeed, this type of position was more complex to repeat and reproduce (need for two support rods – one longitudinal and lateral). As a result, only OOP comprised in the mid-sagittal plane were selected. Two types of OOP scenarios were implemented; 1) occurring frequently (driver's backset slightly exceeding recommendation, and similar in the rear seat), and 2) presenting a high injury risk high freq (far forward leaning, at driver seat – increasing visibility at intersection – and at front passenger seat – searching the glovebox).

## 7.2. Ethical considerations

Pilot tests ran at Autoliv on crash test dummies showed that peak acceleration was less than 0.5g (ca.  $5\text{m/s}^2$ ) at the head centre of gravity, and less than 1g ( $9.81\text{m/s}^2$ ) at T1 and T8. The peak tension force in the seatbelt reaches 250N. Similar test conducted at Volvo Safety Centre report force levels of 300N. Previous experiments aiming at determining the tolerance level of seatbelt force were performed in very similar conditions to the present study in Germany; the subjects sat out-of-position in a parked car, and the test leader triggered the seatbelt tensioning. The volunteer was then brought back against the seat. Of all tests, the maximum peak acceleration at the head's centre of gravity was recorded at 2.9g and lasted less than 0.1s (Lorenz *et al.* 2001). Besides, the maximum seatbelt tension force used in those tests was 290N (Lorenz *et al.* 2001). This study was approved by the local ethical board. This is a percentage difference of 3% compared to the peak force the participants in this study will be exposed to. In comparison, seatbelt load limiters currently mounted on numerous passenger cars have a threshold of ca. 4kN (Foret-Bruno *et al.* 2001). This means that the force applied on the seatbelt in the present tests is at least 13 times lower than the limit current seatbelt take before a crash via the load limiter. In (Lorenz *et al.* 2001), out of twenty-four subjects, only one reported slight pain; the skin close to the clavicle showed some redness. Immediate medical examination observed that the clavicles were protruding slightly more than usual but didn't report any complication. On the following day both pain and redness had completely subsided. No other volunteer ever complained about pain due to the seatbelt action.

Besides, an on-going study performed at Chalmers University of Technology involving volunteer subjects and seatbelt pre-pretensioners has been recently approved by the Gothenburg Office for Ethical review of research involving humans at Gothenburg University (Östh 2012). The pre-pretensioner device was similar to those used in the present study. Volunteers were subject to real driving conditions on regular roads. From a nominal speed of 70km/h the experimenter triggered multiple (twenty-one) autonomous braking manoeuvres. In half of these events, the pre-pretensioner device was first triggered and pulled the driver back then the car braked. In a follow-up study regarding potential injuries induced by the experiments, no volunteer subject reported issues due to the belt applications (Östh 2012). This proves that volunteers subjected to higher loads than the present study did not suffer from any complication. From these studies which conducted experimentations in almost identical or more demanding conditions, the present experimental conditions (static environment, state-of-the art thus approved by relevant authorities' passenger car seat, head rest and seatbelt) were assumed to be harmless for the volunteers. In addition, overall biomechanical knowledge within the Division of Vehicle Safety at Chalmers clearly indicated that the burden on the body was less than the tolerance levels for risk of injury.

Subjects with previous long-term or periodic spine and/or neck symptoms experience were not allowed to participate to the tests. In addition, as an extra safety measure, the experimenter made sure the seatbelt was correctly positioned. For optimum protection, the state of the seatbelt was controlled before running each test. Each subject only participated in a limited number of tests, and research subjects had the opportunity to interrupt the study whenever desired, without giving any reason. Research subjects were rewarded by compensation (two movie tickets) regardless of whether or not the study was discontinued. They contributed to the long-term goal of improving road vehicle safety and mitigating road traffic accidents outcomes. They helped saving lives. An ethical approval was submitted to the regional ethical board (EPN) and filed. Insurance coverage was in place.

### 7.3. IAR of the lower neck

In extension and flexion motions, the Instantaneous Axis of Rotation (IAR) of a cervical vertebra was reported to be located in the region of the body of the vertebra below (White *et al.* 1978, Ono *et al.* 1999) (see Figure 22). A later contribution to the topic refined the location of this IAR for extreme flexion and extensions; it appeared to lie in the region of the center of the body of the vertebra below (Penning *et al.* 1987) (Figure 23). Besides, the joint itself was observed to slide during the motion (Kapandji 1974), and the location of the IAR was reported to be time-dependant (White *et al.* 1978).

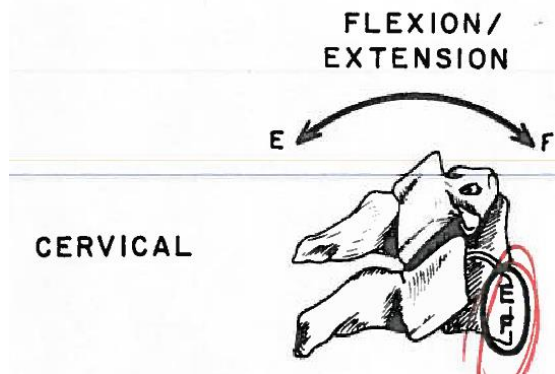


Figure 22: the IAR of a cervical vertebra for mid-sagittal plane motions, located in the region of the body of the vertebra situated below. From (White *et al.* 1978)

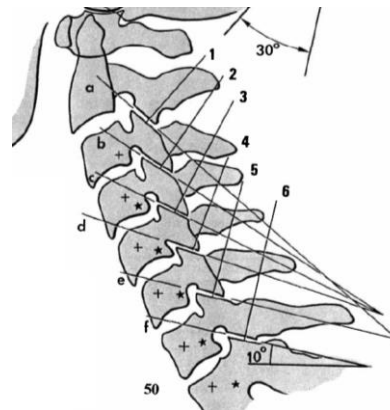


Figure 23: Centre of movement in extreme flexion and extension, indicated by a + symbol. From (Kapandji 1974, Penning *et al.* 1987)

Knowing that mainly mid-sagittal motions were foreseen for this study, the choice of locating the IAR of C7 (lower neck) in the center of the body of the vertebra T1 was made. The error induced by this approximation was estimated to  $\sim\pm 10\text{mm}$  in vertical and horizontal directions. This order of magnitude was expected to be similar to that induced by volunteer subject preparation.

### 7.4. Specificities of the rear seat

Differences in construction between front and rear seats have been the focus of recent studies. Based on the NASS-CDS database, it was found that belted passengers older than twenty-five years-old are more exposed to injuries in the rear seats than in the front seat for recently produced cars (between 2000 and 2009) (UNECE 2008). Similar conclusions were drawn in another study (Sahraei *et al.* 2010), and pieces of explanations suggested (Kuppa *et al.* 2005). In addition, females were found to have greater whiplash injury risks than males in the rear seat (Krafft *et al.* 2003); the latter concludes on the need for considering whiplash injury risk also in the rear seat. In fact, the location of anchorage points of the seatbelt and the energy-absorption properties of the padding were pointed out (Parenteau *et al.* 2003). Optimizing restraints systems for rear seat passengers was suggested (Zellmer *et al.* 1998), starting with introducing belt pre-tensioning and load limiters. The implementation of PPTs in the rear seats may have some injury mitigation potential.

### 7.5. Validation of the lense calibration

Calibration of the lenses had been previously performed with the same set of camera-lense than those used in the present work. Parameters from this calibration were imported into TEMA, in the mode “skewed-plane”. After defining the coordinate system and the scale, TEMA generates a virtual grid in yellow (Figure 24). A chess board was fitted in the car and

levelled during testing in order to provide physical reference points. Counting the number of squares on the chess board provided coordinates; the latter constituted reference values. They were compared to the coordinates of the Head and Neck points. Refining distance from camera to motion plane, azimuth and elevation angles allowed for reducing the error in position of these two points. As a result the fit of the virtual grid on the chess board was improved and the error reduced to less than 2mm (<1%).

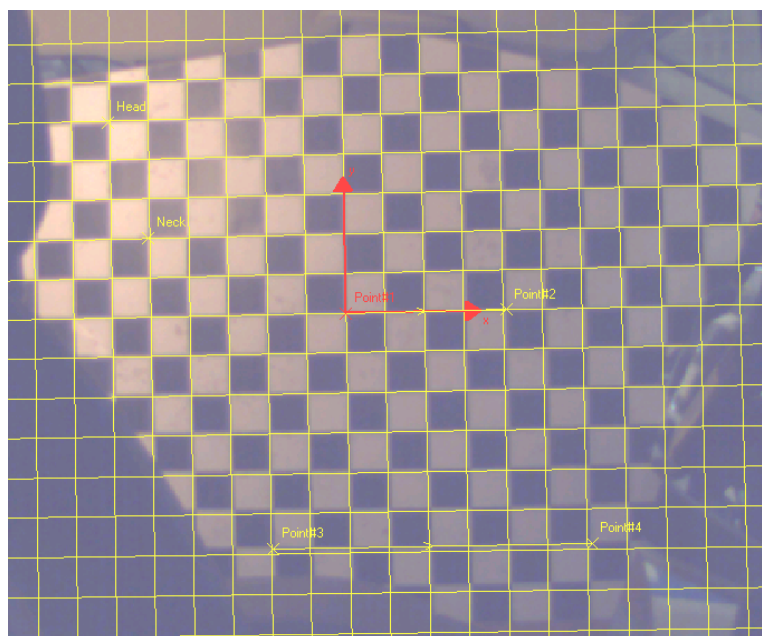


Figure 24: evaluation of the anti-distortion algorithm of TEMA. Cropped view from the camera shooting at tests performed at the driver seat.

## 7.6. Use of the morphomics database to locating the center of the body of T1

The morphomics database from the International Center for Automotive Medicine (Ann Arbor, MI) resulted from image processing of CT Scans. A set of N=150 subjects was shared. The latter subjects were grouped based on anthropometric criteria identical to those used for volunteer subject recruitment (§2.1), resulting in N=3 AF05 subjects and N=10 AM50 subjects. The following assumptions were formulated as a result from real-life observations; distance from the skin to the center of the film target=-15mm in x, distance from the skin to the center of the film target=+50mm in x. It should be noted that while the target called “T1 target at spinous process” was used on volunteer subjects, the target called “T1 spinous process was used on ATDs” (Figure 25) for experimental reasons.

Table 13: location of the center of the body of T1 with regards to anthropometric landmarks

Notation	Distances [mm]	AF05		AM50	
		Average	SD	Average	SD
l	clavicle target to T1 skin target at spinous process	182	20	212	18
a	clavicle target to the center of the body of T1	102	7	109	9
b	T1 skin target at spinous process to the center of the body of T1	82	13	104	12
c	spinous process of T1 to the center of the body of T1	47	2	55	4
m	spinous process of T1 to clavicle target	148	9	164	12

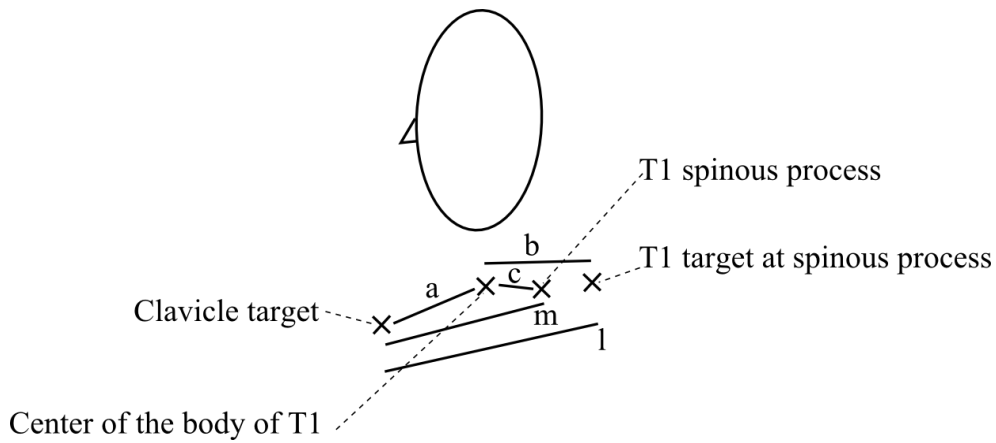


Figure 25: definition of landmarks and distances for Table 13.

This method was validated against skeleton projections for AM50 (Schneider *et al.* 1983); hand measurements were conducted on full scale drawing, on which film targets were stuck while taking into account the geometry of the target support (Figure 26).

Table 14: Validation of the method

N=10 Distances [mm]	Morphomics		UMTRI Schneider 1983	relative difference	percentage difference
	Average	SD			
clavicle target to T1 skin target at spinous process	240	17	220	-20	-9%
clavicle target to the center of the body of T1	110	9	104	-6	-6%
T1 skin target at spinous process to the center of the body of T1	131	11	117	-14	-12%

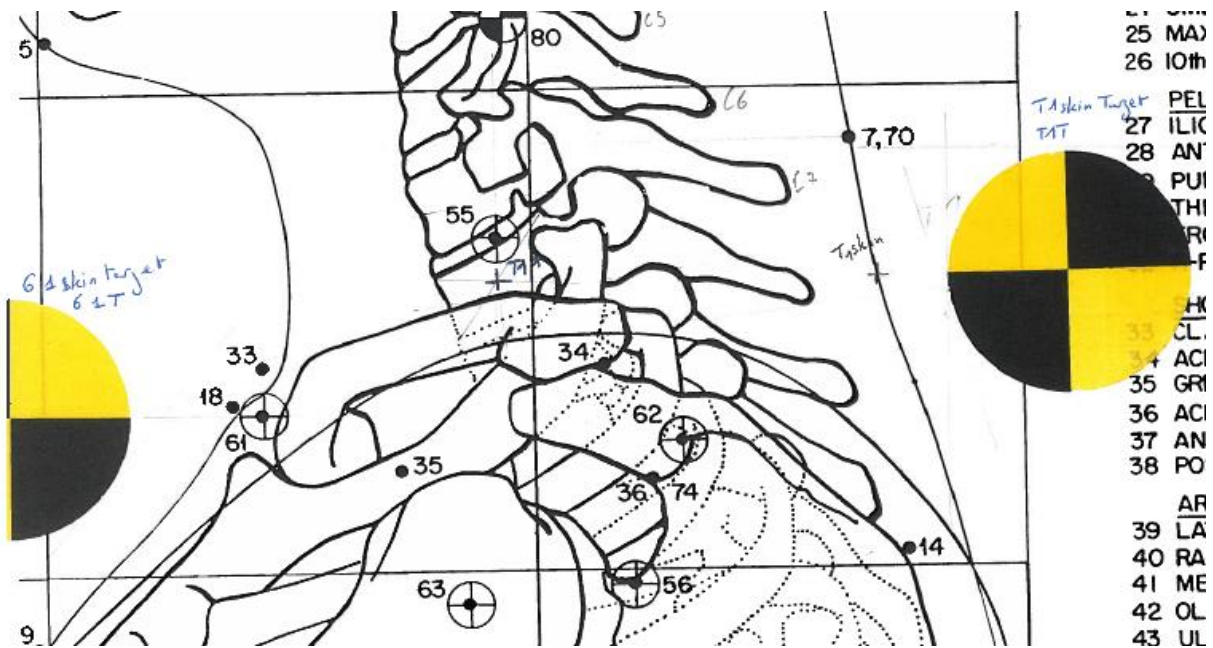
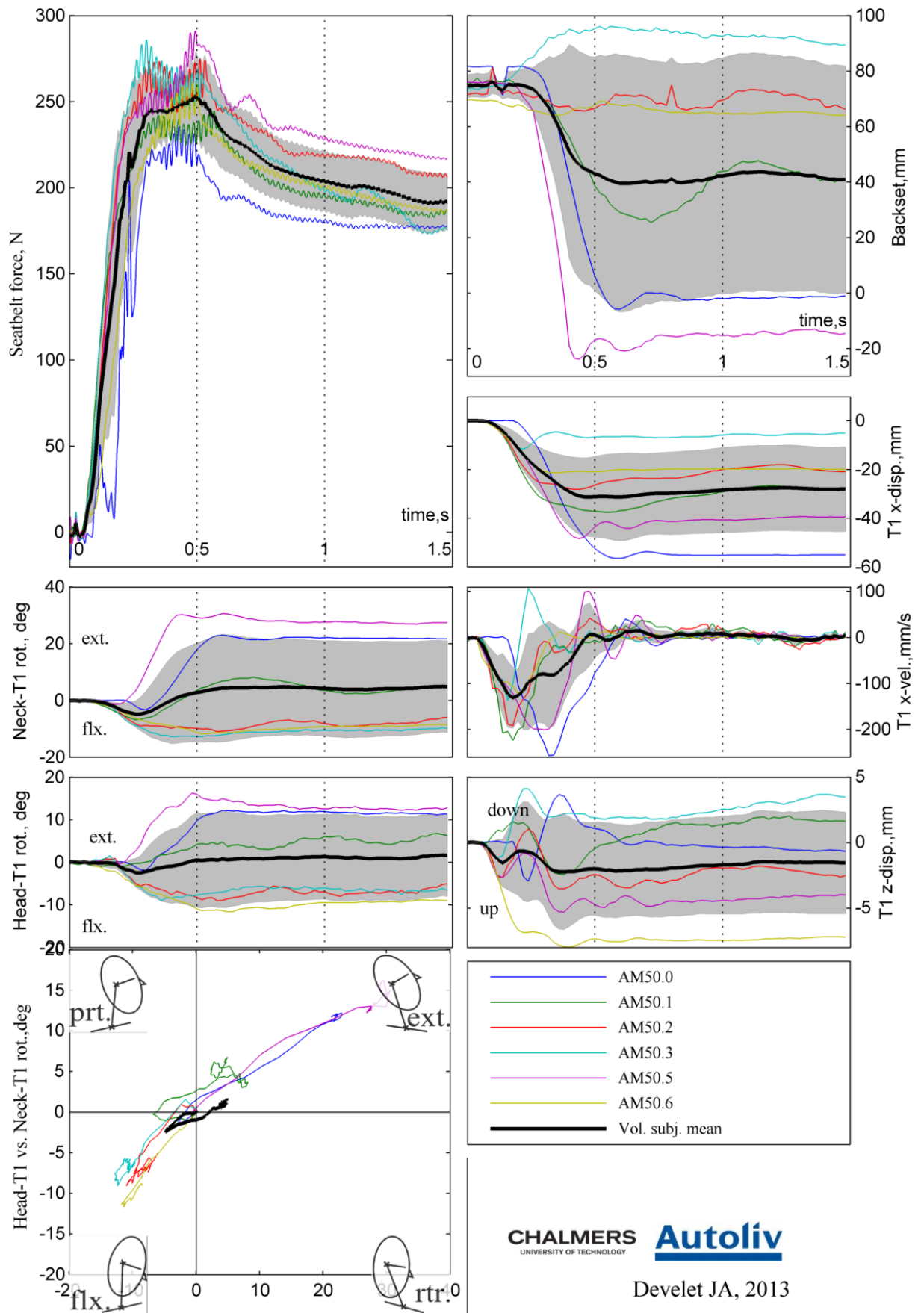
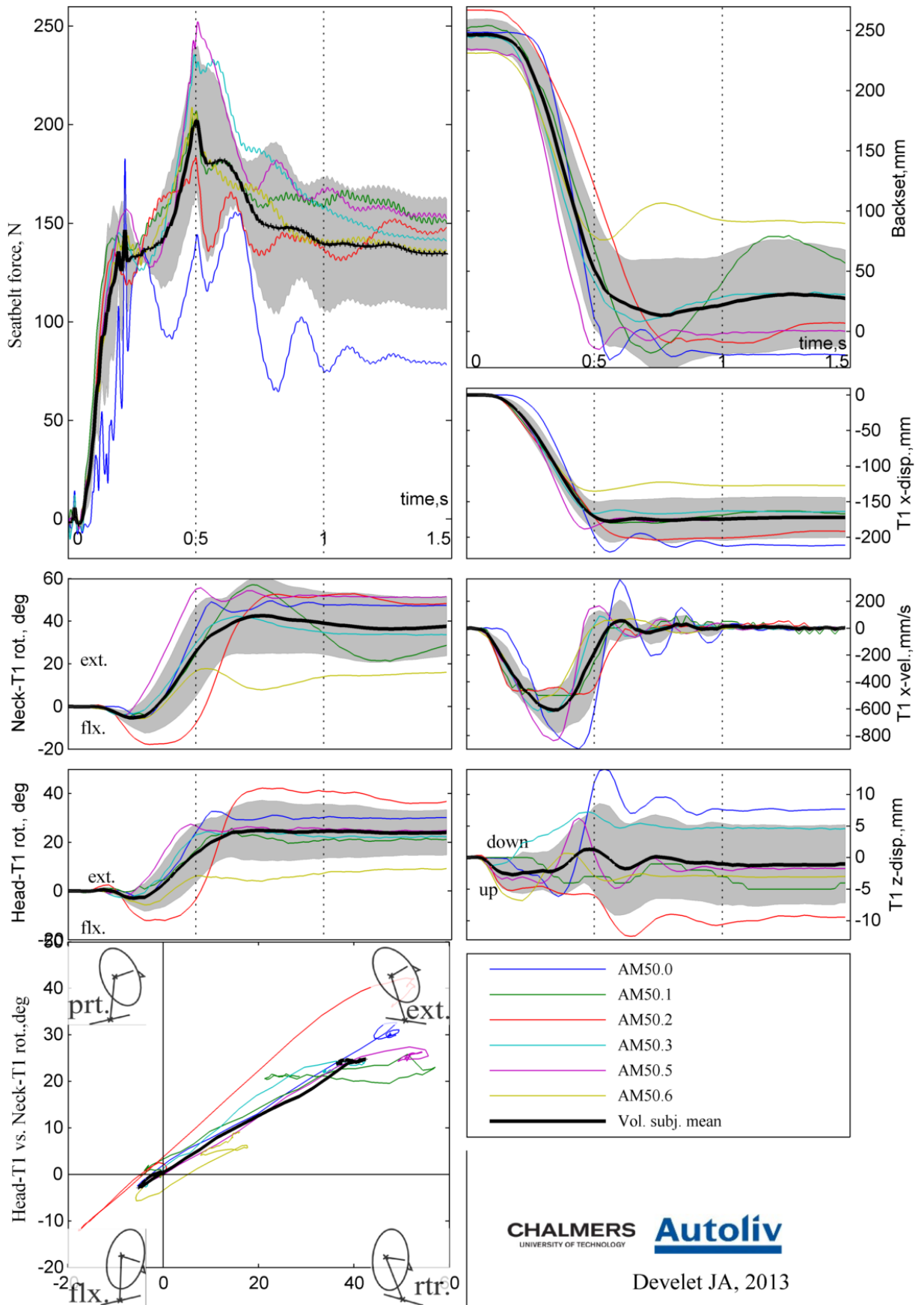


Figure 26: validation with data from the UMTRI

## 7.7. AM50, Position 1. Real life driving posture

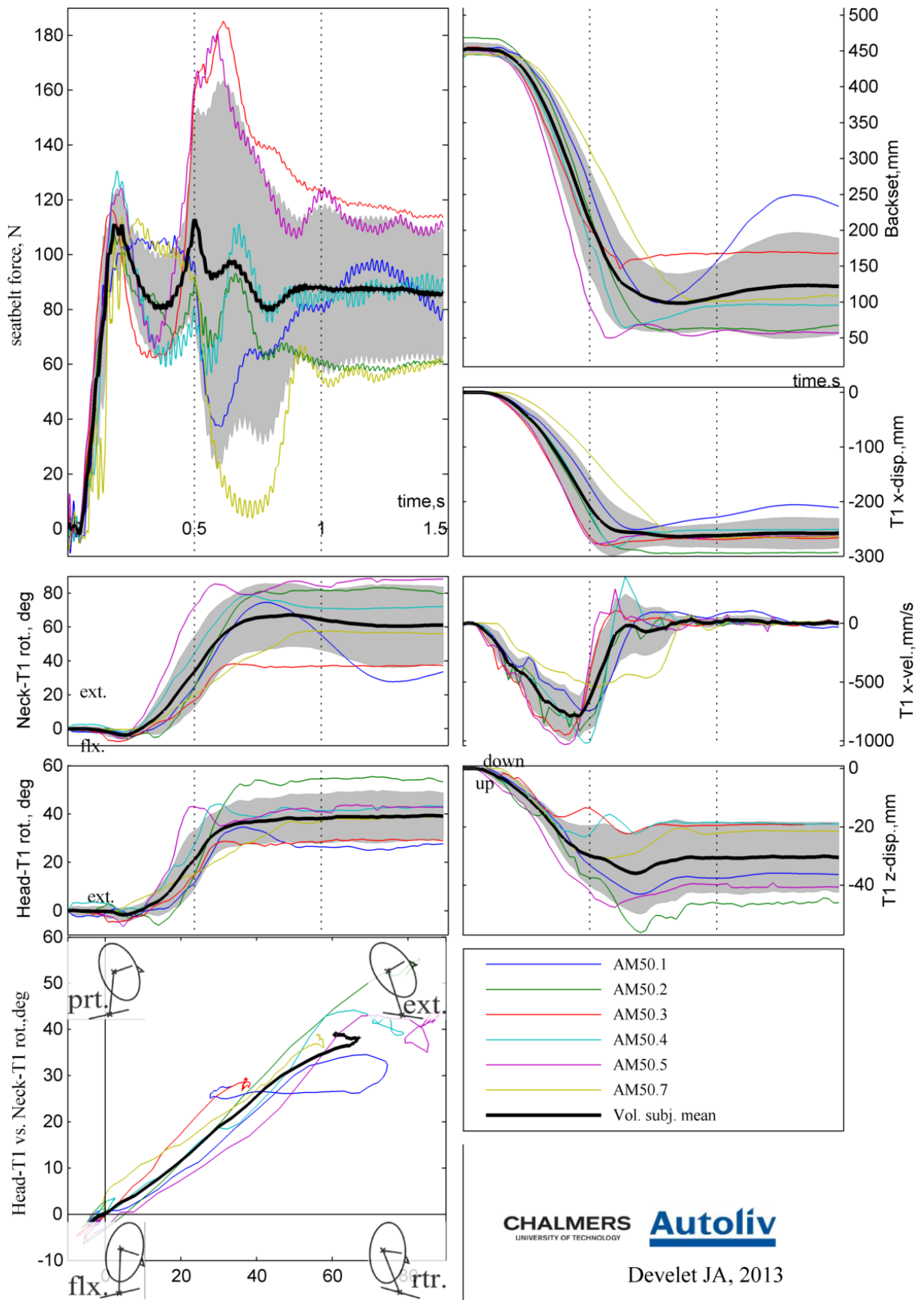


## 7.8. AM50, Position 2. Attempting to increase visibility at intersections.

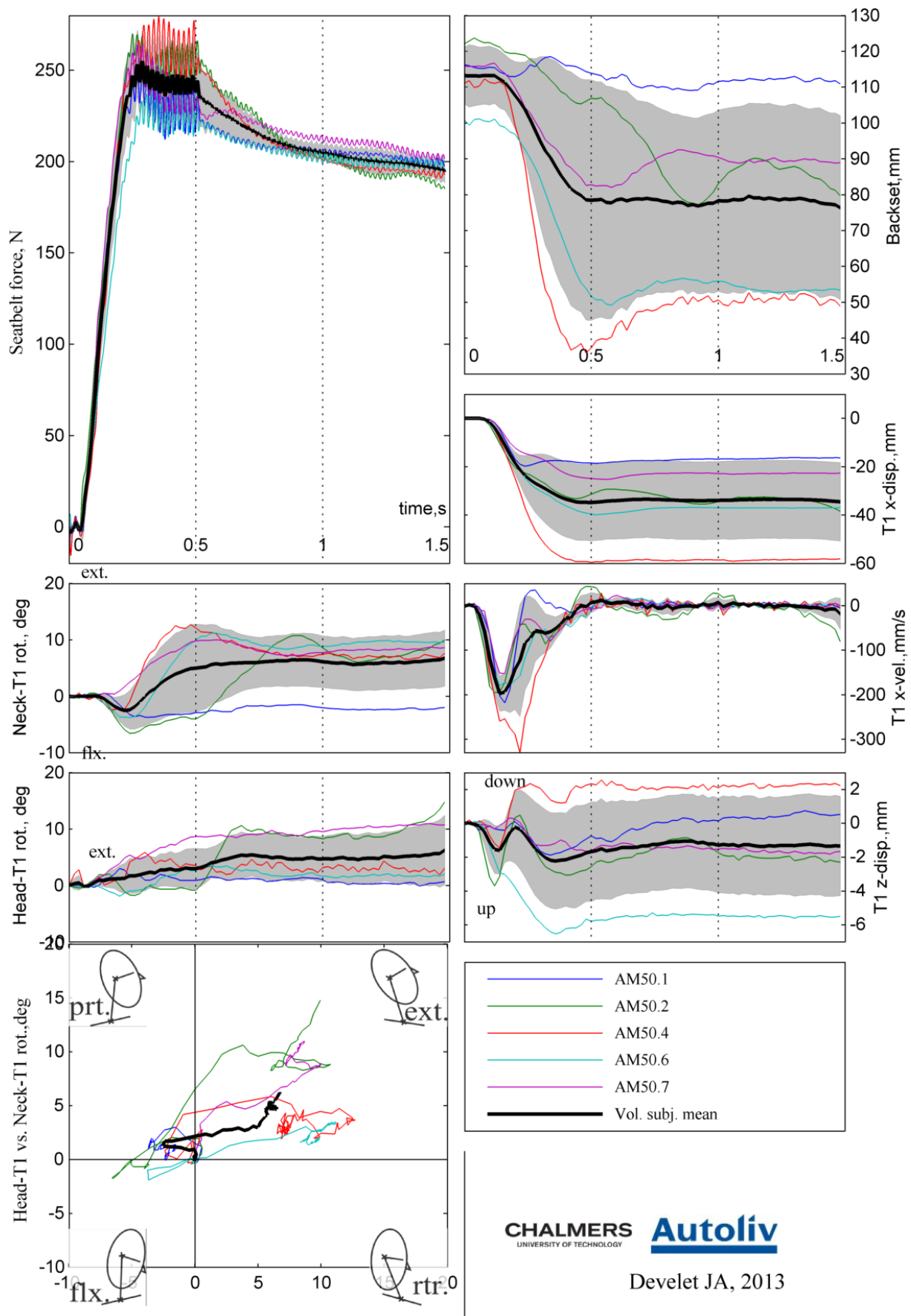




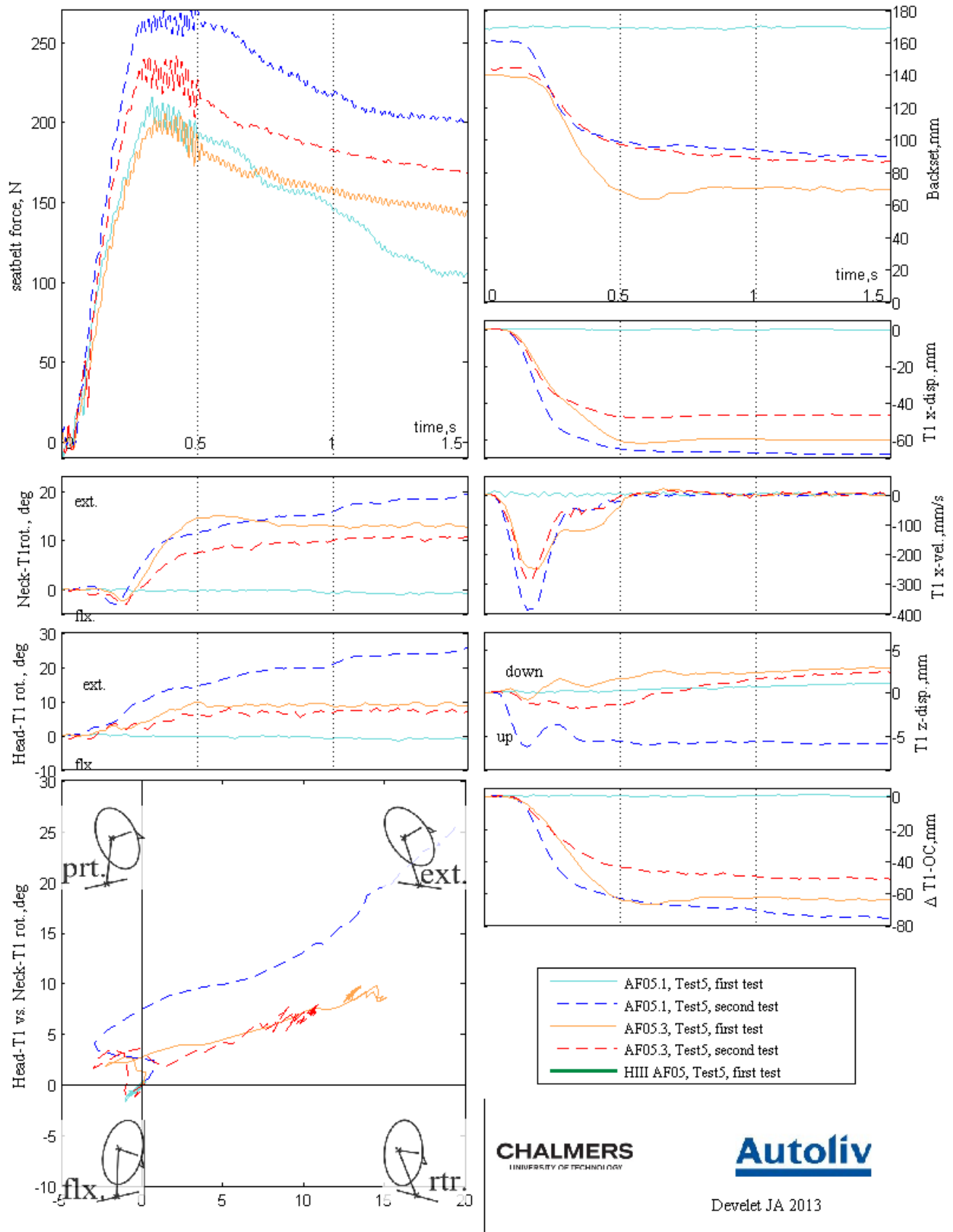
## 7.9. AM50, Position 3. Searching the glove box.



## 7.10. AM50, Position 4. Talking to front row occupants.



## 7.11. AF05, Position 4. Talking to front row occupants.



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